

Sleep Disturbances and Heart Failure: Observational Study and Mendelian Randomization Study

Keywords

Insomnia, Heart Failure, Observational Study, Sleep Disturbances, Mendelian Randomization Study

Abstract

Introduction

Sleep disturbances are prevalent among patients with heart failure (HF) and may trigger acute exacerbations of the condition. However, the causal relationship between sleep disturbances and HF remains uncertain. This study aims to explore the association and potential causal relationship between sleep disturbances and HF.

Material and methods

Observational Study: NHANES data (2005-2008) involving 10,432 participants. Sleep disturbances defined as insomnia, sleep disorders, difficulty falling asleep, trouble sleeping, and waking up during the night. Mendelian randomization (MR) Study: Genetic variants linked to sleeplessness were obtained from GWAS datasets. MR Two-sample analysis was conducted using summary statistics from sleeplessness and HF GWASs.

Results

After full adjustment, the association between insomnia and HF remained significant, with an OR of 5.10 (1.81–14.33, $P = 0.003$). After full adjustment, the association between sleep disorder and HF remained significant, with an OR of 3.51 (1.67–7.39; $P = 0.002$). The IVW method provided evidence supporting a causal association between sleeplessness and HF (OR = 1.535, SE = 0.177, $P = 0.016$). MR-Egger analysis demonstrated a causal association between sleeplessness and HF (OR = 3.333, SE = 0.493, $p = 0.023$). Our observational study may be influenced by unaddressed confounding factors; however, Mendelian randomization helps mitigate the bias and confounding commonly found in non-genetic observational research.

Conclusions

Our study identified a correlation between sleep disturbances and HF, potentially suggesting a causal relationship. Addressing sleep disturbances may be a key strategy in reducing the risk of HF.

1 **Sleep Disturbances and Heart Failure:**
2 **Observational Study and Mendelian**
3 **Randomization Study**

4 Junwen WANG^{1#} (M.D.); Ziyi SUN^{2#} (M.M.); Yi ZHONG³ (M.M.);
5 Yuyang YE¹ (M.M.); Xuefeng CHEN¹ (M.M.); Xinru HU¹ (M.M.); Yong
6 PENG¹ (M.D.)

7 1Department of Cardiology, West China Hospital, Sichuan University, 37
8 Guoxue Street, Chengdu 610041, China.

9 2 Department of Intensive Care Unit, The Seventh Affiliated Hospital,
10 Sun Yat-sen University, Guangdong, Shenzhen, 518107, China

11 3 Department of Cardiovascular Center, The Seventh Affiliated Hospital,
12 Sun Yat-sen University, Guangdong, Shenzhen, 518107, China

13 #These authors contributed equally.

14
15 Correspondence: Yong Peng, Department of Cardiology, West China
16 Hospital, Sichuan University, 37 Guoxue Street, Chengdu 610041, China.

17 Email: pengyong@scu.edu.cn

18
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2 **Background**

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4 and may trigger acute exacerbations of the condition. However, the
5 causal relationship between sleep disturbances and HF remains uncertain.
6 This study aims to explore the association and potential causal
7 relationship between sleep disturbances and HF.

8 **Methods**

9 Observational Study: NHANES data (2005-2008) involving 10,432
10 participants. Sleep disturbances defined as insomnia, sleep disorders,
11 difficulty falling asleep, trouble sleeping, and waking up during the night.
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13 sleeplessness were obtained from GWAS datasets. MR Two-sample
14 analysis was conducted using summary statistics from sleeplessness and
15 HF GWASs.

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17 After full adjustment, the association between insomnia and HF remained
18 significant, with an OR of 5.10 (1.81–14.33, $P = 0.003$). After full
19 adjustment, the association between sleep disorder and HF remained
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21 provided evidence supporting a causal association between sleeplessness
22 and HF (OR = 1.535, SE = 0.177, $P = 0.016$).MR-Egger analysis

1 demonstrated a causal association between sleeplessness and HF (OR =
2 3.333, SE = 0.493, p =0.023). Our observational study may be influenced
3 by unaddressed confounding factors; however, Mendelian randomization
4 helps mitigate the bias and confounding commonly found in non-genetic
5 observational research.

6 **Conclusion**

7 Our study identified a correlation between sleep disturbances and HF,
8 potentially suggesting a causal relationship. Addressing sleep
9 disturbances may be a key strategy in reducing the risk of HF.

10

11 **Key Words:** Sleep Disturbances; Insomnia; Heart Failure; Observational
12 Study; Mendelian Randomization Study

1 **Introduction**

2 Heart failure (HF) occurs when the heart is unable to pump blood
3 effectively due to structural or functional abnormalities, leading to a set
4 of symptoms and signs that define the condition[1]. Globally, there are
5 approximately 26 million HF patients, posing a serious threat to life and
6 health[2]. In the United States and Europe, about one million new cases
7 of HF are reported annually[2]. HF represents the end stage of many
8 cardiovascular diseases, with high mortality and prevalence rates. Overall,
9 the prevalence of HF ranges from 6.3% to 13.3%, with a five-year
10 mortality rate as high as 75.4%[3]. Common causes of HF encompass a
11 spectrum of conditions, including obesity, diabetes, myocardial ischemia,
12 myocarditis, coronary artery disease, and arrhythmias[4, 5]. Sleep
13 disturbances frequently serve as a common triggering factor for acute
14 exacerbations of HF in patients during the stable phase of the
15 condition[6]. Additionally, sleep disturbances are commonly present in
16 patients with HF[7].

17 Sleep disorders manifest in various forms, including insomnia, difficulty
18 falling asleep, trouble sleeping, and waking up during the night. About
19 10-15% of the population is affected by sleep disorders, especially
20 common among middle-aged and elderly individuals[8]. Sleep disorders
21 can compromise health, not only reducing quality of life but also leading
22 to mental disorders and cardiovascular diseases such as hypertension[9,

1 10]. Sleep disorders contribute to endothelial dysfunction, systemic
2 inflammation, oxidative stress, and neurohormonal imbalance, which can
3 lead to myocardial remodeling, ventricular dysfunction, and the
4 progression of HF[11]. Previous studies suggest a correlation between
5 sleep disorders and HF[12]. A cohort study with over 10 years of follow-
6 up demonstrated that insomnia is associated with the onset of heart failure,
7 exhibiting a dose-response relationship[13]. Another study confirmed that
8 insomnia symptoms, both individually and cumulatively, are associated
9 with incident HF in middle-aged and older adults[14]. However, residual
10 confounding and reverse causality present alternative explanations for the
11 observed strong correlation between sleep disorders and HF, both of
12 which are difficult to disentangle in traditional observational studies[15].
13 While studies have shown a link between sleep disturbances and HF, they
14 are limited to establishing correlation rather than causality. Mendelian
15 randomization (MR), by leveraging genetic properties, provides a
16 methodological approach to facilitate causal inference[15]. A recent trend
17 in MR studies involves utilizing genetic variants as instrumental variables
18 to simulate a randomized controlled trial design[16, 17]. In this approach,
19 genotypes are assigned randomly to individuals before birth, allowing for
20 causal inferences to be drawn[16, 17]. Several pioneering MR studies
21 have investigated the causal relationship between sleep and
22 cardiovascular disease[18-20]. However, research on the association

1 between sleep disturbances and HF is lacking. Therefore, further MR
2 studies are needed to clarify the causal association between sleep
3 disturbances and HF.

4 This study initially examines the association between sleep disturbances
5 and HF through an observational study. Subsequently, it delves deeper
6 into the causal relationship between insomnia and HF using MR studies.

7 Our study synthesizes observational research and Mendelian
8 randomization to examine the association between insomnia and heart
9 failure. By harnessing the extensive data available from observational
10 studies alongside the causal inference strengths of Mendelian
11 randomization, we seek to deliver robust and comprehensive insights into
12 this relationship.

1 **Methods**

2 **Observational study**

3 **Study Population**

4 The National Health and Nutrition Examination Survey (NHANES) is a
5 comprehensive cross-sectional survey conducted by the National Center
6 for Health Statistics to gather data on the noninstitutionalized U.S.
7 civilian population. Our study utilizes NHANES survey data collected
8 from 2005 to 2008, which included 10,432 participants. Flowchart of the
9 inclusion procedure of patients in the present study. All participants
10 provided informed consent after the study protocols received approval
11 from the Institutional Review Board (IRB) of the National Center for
12 Health Statistics.

13 **Definition of Sleep Disturbances**

14 Sleep disturbances in this study encompassed insomnia, sleep disorders,
15 difficulty falling asleep, trouble sleeping, and waking up during the night.
16 Participants were queried regarding the frequency of experiencing trouble
17 falling asleep and waking up during the night, with response options
18 including "Always", "Most of the time", "Sometimes", "Rarely", and
19 "Never". In this study, "Always", "Most of the time", and "Sometimes"
20 were categorized as "Yes", while "Rarely" and "Never" were categorized

1 as "No". Since "Rarely" occurs only once a month, it is reclassified as
2 "No" to simplify categorization and maintain data consistency.
3 Participants were asked if they had ever been informed by a doctor about
4 trouble sleeping, sleep disorders, or insomnia, with response options
5 including "Yes" or "No".

6 **Other Data**

7 Potential confounders were identified a priori based on a review of the
8 existing literature. An in-home interview was conducted to collect
9 comprehensive information regarding the patient's medical history and
10 current pharmacological regimen, encompassing medications for
11 hypertension, diabetes (both type 1 and type 2), hyperlipidemia, coronary
12 heart disease, myocardial infarction, congestive heart failure, stroke, and
13 angina. A physical examination included measurements of blood pressure,
14 weight, and height, followed by calculation of body mass index (BMI) by
15 dividing weight in kilograms by the square of height in meters.

16 Samples collected from the mobile examination center were stored at -
17 20°C before being analyzed at central laboratories using standard
18 methods for measuring high-density lipoprotein cholesterol, low-density
19 lipoprotein (LDL) cholesterol, creatinine, and plasma glucose. LDL
20 cholesterol and glucose levels were assessed only in a subset of survey
21 participants who had fasted for at least eight hours prior to the survey.

1 **Statistical analysis**

2 Following NHANES analytic guidelines, our analyses incorporated
3 sample weights, clustering, and stratification to ensure the findings'
4 generalizability to the entire U.S. population. To address NHANES's
5 complex sampling design, we applied suitable weights to achieve a
6 representative sample of the U.S. national population. Weighted
7 multivariate *Logistic regression* analyses were performed, with insomnia,
8 sleep disorders, difficulty falling asleep, trouble sleeping, or waking up
9 during the night as the independent variable and HF as the dependent
10 variable. The objective of these analyses was to evaluate whether sleep
11 disturbances is independently associated with HF. Patients with
12 incomplete primary variable data were excluded from the analysis. For
13 categorical covariates with missing data, a distinct category was created,
14 whereas missing continuous variables were addressed through multiple
15 imputation techniques. **The R programming language was employed to
16 address missing data through the utilization of the Mice package, which
17 implements Multiple Imputation techniques.**

18 **In our study, we utilized three predefined models for adjustment: Model 1:
19 This model was unadjusted to provide a baseline estimate of the
20 association between sleep disturbances and heart failure. Model 2: We
21 adjusted for demographic variables, including age, sex, ethnicity/race,
22 marital status, poverty income ratio level, and education[21]. These**

1 factors are essential as they can influence both the prevalence of sleep
2 disturbances and heart failure risk[21]. Model 3: This model included
3 additional clinical variables—hypertension, diabetes mellitus, coronary
4 heart disease, heart attack, stroke, smoking, angina, alcohol consumption,
5 systolic blood pressure, HbA1c, creatinine, LDL cholesterol, uric acid,
6 and fasting triglyceride levels[22]. These adjustments are critical because
7 they represent established cardiovascular risk factors and can
8 significantly impact heart failure outcomes[22].

9 Analyses were performed using R version 4.1.3 (R Foundation for
10 Statistical Computing, Vienna, Austria). A two-tailed p-value less than
11 0.05 was considered statistically significant.

12 **MR study**

13 **Data sources and selection of genetic variants**

14 We conducted a search on the IEU (<https://gwas.mrcieu.ac.uk/>), a
15 comprehensive catalog containing reported associations from published
16 GWAS studies. For exposure, we utilized publicly available summary
17 statistical datasets of GWAS on sleeplessness from
18 <https://gwas.mrcieu.ac.uk/datasets/ukb-a-13/> (n = 336,965). As an
19 outcome, we employed summary statistics from a GWAS involving
20 47,309 patients with HF of European descent[23]. A two-sample MR
21 study employs genetic variants associated with gout as instrumental

1 variables (IVs) to enhance inference. We acquired summary statistics
2 (beta coefficients and standard errors [SE]) for single-nucleotide
3 polymorphisms (SNPs) associated with sleeplessness as instrumental
4 variables (IVs) from GWASs.

5 **Statistical analysis for Mendelian randomization**

6 MR analysis necessitates genetic variants to be associated with, but not
7 act as potential confounders of, an exposure[24]. Firstly, we evaluated the
8 independent association of SNPs with sleeplessness. Secondly, we
9 investigated the association between each SNP and the risk of HF. Thirdly,
10 we integrated these findings to estimate the unconfounded causal
11 association between sleeplessness and the risk of HF using MR analysis.
12 We conducted a two-sample MR analysis, a method utilized to estimate
13 the causal effect of an exposure (sleeplessness) on outcomes (HF), using
14 summary statistics from GWASs[25]. This enabled us to evaluate the
15 causal relationships between sleeplessness and HF risk, employing
16 summary data from sleeplessness and HF GWASs with SNPs as IVs. To
17 identify genetic loci associated with sleep disturbances, we utilized single
18 nucleotide polymorphisms (SNPs) with P-values less than 5×10^{-8} as
19 instrumental variables, thereby excluding weak associations. The strength
20 of each independent variable was assessed using the F statistic (β^2 / SE^2),
21 with a threshold of over 10 to ensure sufficient strength.

22 The IVW method employs a meta-analysis approach to integrate the Wald

1 ratio estimates of the causal effect derived from various SNPs. It yields a
2 consistent estimate of the causal effect of the exposure on the outcome,
3 provided that each genetic variant meets the assumptions of an IV[26].
4 To explore and address pleiotropy, we employed the weighted median
5 estimator and *MR-Egger* regression methods. *MR-Egger* regression
6 analysis examines and corrects for potential unbalanced pleiotropy by
7 introducing a parameter for this bias, incorporating summary data
8 estimates of causal effects from multiple individual variants. This method
9 is robust for invalid IVs[27]. *MR-Egger* conducts a weighted linear
10 regression of the gene-outcome coefficients on the gene-exposure
11 coefficients[27]. The slope of this regression represents the estimate of
12 the causal effect, and the intercept can be interpreted as an estimate of the
13 average horizontal pleiotropic effect across the genetic variants[28]. The
14 weighted median estimator yields a consistent estimate of the causal
15 effect, even when up to 50% of the information contributing to the
16 analysis is derived from genetic variants that are invalid IVs[29]. The
17 weighted median estimator offers the advantage of maintaining greater
18 precision in the estimates compared to *MR-Egger* analysis[29].
19 We evaluated heterogeneities between SNPs using *Cochran's Q statistics*
20 and funnel plots[26]. Additionally, we conducted a "leave one out"
21 analysis to explore whether the causal association was influenced by a
22 single SNP. The analyses were conducted using the *TwoSampleMR*

1 package (version 0.4.25) and *MRPRESSO* (version 1.0) in R (version
2 4.1.3). The significance threshold was set at 0.05, with $p < 0.05$
3 considered statistically significant.

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1 **Results**

2 **Results of observational study**

3 Our study comprised 10,432 individuals (Table 1 and eFigure1), with
4 2,394 experiencing troubles sleeping and 8,038 without (Table 1). The
5 average age of the group without trouble sleeping was 46.63 years,
6 significantly lower than that of the trouble sleeping group, which had an
7 average age of 49.91 years ($P < 0.001$) (Table 1). The group without
8 trouble sleeping had a higher proportion of male individuals (50.94%)
9 compared to the trouble sleeping group (39.28%) (Table 1). The average
10 blood glucose level in the group without trouble sleeping (5.83 mmol/L)
11 was slightly lower than that in the trouble sleeping group (5.94 mmol/L, P
12 = 0.36). Similarly, there were no significant differences between the
13 groups without trouble sleeping and with trouble sleeping in terms of
14 high-density lipoprotein cholesterol (1.38 mmol/L vs. 1.38 mmol/L, P =
15 0.44) and low-density lipoprotein cholesterol (2.98 mmol/L vs. 3.00
16 mmol/L, P = 0.74) (Table 1).

17 The odds ratios (OR) for HF were 2.91 (95% CI 1.58–5.38; P = 0.001) in
18 the group with insomnia compared to the group without insomnia (eTable
19 1 and Figure 1). After full adjustment (model 3), the association between
20 insomnia and HF remained significant, with an OR of 5.10 (1.81–14.33, P
21 = 0.003) (eTable 1 and Figure 1). The odds ratio (OR) for HF was 3.81
22 (95% CI 2.96–4.90; $P < 0.001$) in the group with sleep disorder compared

1 to the group without sleep disorder (eTable 1 and Figure 1). After full
2 adjustment (model 3), the association between sleep disorder and HF
3 remained significant, with an OR of 3.51 (1.67–7.39; $P = 0.002$) (eTable 1
4 and Figure 1). The odds ratio for HF was 1.51 (95% CI 1.18–1.93; $P =$
5 0.002) in the group with trouble falling asleep compared to the group
6 without trouble falling asleep (eTable 1 and Figure 1). After full
7 adjustment (model 3), the association between trouble falling asleep and
8 HF remained significant, with an OR of 1.55 (1.01–2.36; $P = 0.04$)
9 (eTable 1 and Figure 1). The OR for HF was 2.21 (95% CI 1.77–2.76; P
10 < 0.001) in the group with trouble sleeping compared to the group
11 without Trouble sleeping (eTable 1 and Figure 1). After full adjustment
12 (model 3), the association between trouble sleeping and HF remained
13 significant, with an OR of 2.22 (1.49–3.30; $P < 0.001$) (eTable 1 and
14 Figure 1). The odds ratio (OR) for HF was 1.49 (95% CI 1.14–1.95; $P =$
15 0.005) in the group with wakeup during night compared to the group
16 without Wakeup during night (eTable 1 and Figure 1). However, after full
17 adjustment (model 3), the association between wakeup during night and
18 HF remained significant, with an OR of 1.47 (0.99–2.20; $P = 0.06$)
19 (eTable 1 and Figure 1).

20 **Result of Mendelian randomization study**

21 25 SNPs identified in sleeplessness GWASs were chosen as instrumental
22 variables (IVs). These SNPs are listed in eTable 2 and Figure 2. They

1 exhibit genome-wide significant associations with sleeplessness (eTable
2 2). All of these SNPs showed positive associations with HF (eTable 2).

3 The IVW method provided evidence supporting a causal association
4 between sleeplessness and HF (OR = 1.535, SE = 0.177, P = 0.016)
5 (Table 2 and Figure 2, 3). The intercept represents the average pleiotropic
6 effect across the genetic variants, indicating the average direct effect of a
7 variant on the outcome. If the intercept differs significantly from zero (as
8 determined by the MR-Egger test), it suggests evidence of directional
9 pleiotropy. MR-Egger regression analysis indicated that directional
10 pleiotropy was unlikely to bias the results (intercept = -0.01; P = 0.107).

11 MR-Egger analysis demonstrated a causal association between
12 sleeplessness and HF (OR = 3.333, SE = 0.493, P = 0.023) (Table 2 and
13 Figure 2, 3). Similarly, the weighted median approach provided evidence
14 of a causal association between sleeplessness and HF (OR = 2.102, SE =
15 0.231, P = 0.001) (Table 2 and Figure 3). The association between
16 sleeplessness and HF was consistent across the IVW, weighted median,
17 weighted mode, and MR-Egger methods. The results of the MR analysis
18 suggest a potential causal association between sleeplessness and an
19 increased risk of HF.

20 The Cochran Q-test derived P-values for MR-Egger methods indicated no
21 heterogeneity (Q = 32.63; P = 0.087), and in the absence of a significant
22 intercept, no directional pleiotropy was observed (eFigure 2). Results

1 from a leave-one-out analysis demonstrated that only one single SNP
2 (rs113851554) did not strongly violate the overall effect of sleeplessness
3 on HF, while other single SNPs strongly violated the overall effect of
4 sleeplessness on HF (Figure 4).

5 **Key Findings**

6 The OR for HF were as follows: 5.10 for insomnia, 3.51 for sleep
7 disorder, 2.22 for trouble falling asleep, 2.22 for trouble sleeping, and
8 1.47 for waking up during the night. MR analysis using the IVW method
9 provided evidence supporting a causal association between sleeplessness
10 and HF, with an OR of 1.535.

1 **Discussion**

2 Our cross-sectional study found an association between sleep
3 disturbances, including insomnia, sleep disorders, difficulty falling asleep,
4 trouble sleeping, and waking up during the night and HF. Furthermore,
5 MR studies have confirmed a causal relationship between insomnia and
6 HF. Improving sleep disturbances may potentially reduce the risk of HF.

7 Sleep disturbances, characterized by difficulties initiating or maintaining
8 sleep, non-restorative sleep, and early morning awakening, is a prevalent
9 sleep disorder with significant implications for cardiovascular health.

10 Previous research has indeed established a correlation between sleep
11 disturbances and HF, which aligns with the conclusions of our study[30].

12 Epidemiological evidence indicates a reciprocal relationship between
13 insomnia and HF, wherein each condition increases the risk of developing
14 the other[31]. Sleep disturbance is a common comorbidity among

15 individuals with HF, with prevalence rates reported to range from 30% to
16 70%[32, 33]. Individuals suffering from chronic insomnia are at an

17 increased risk of developing HF, underscoring the bidirectional nature of
18 this association[34]. Our study found a significant correlation between

19 various sleep disorders, such as insomnia, difficulty falling asleep, trouble
20 sleeping, waking up during the night, and HF. However, these findings

21 cannot prove a causal relationship between insomnia and HF. We

1 conducted further research to investigate the causal relationship between
2 insomnia and HF.

3 Our study, employing MR analysis, confirmed the causal relationship
4 between insomnia and HF. One potential explanation for the observed
5 phenomena is that disruptions in sleep patterns lead to changes in the
6 growth hormone/insulin-like growth factor-1 (GH/IGF-1) pathway[14].

7 In the experimental environment, rats deprived of sleep exhibit decreased
8 serum levels of growth hormone (GH) and insulin-like growth factor-1
9 (IGF-1)[35]. In humans, obstructive sleep apnea syndrome is correlated
10 with diminished secretion of GH and insulin-like IGF-1[36]. Prior
11 research has indicated that decreased serum concentrations of IGF-1
12 serve as a prognostic indicator for the likelihood of developing HF in the
13 future[37]. Moreover, the occurrence of sleep disturbances may serve as
14 a potential marker for depression, a known independent risk factor for HF
15 in elderly individuals with hypertension[38].

16 An alternative explanation is that disruptions in sleep patterns may be
17 associated with heightened inflammatory responses. Recent research has
18 demonstrated elevated levels of C-reactive protein in individuals
19 experiencing sleep deprivation[39]. Therefore, it is plausible that
20 inflammation serves as a potential pathway through which sleep
21 disruptions contribute to the development of HF, as elevated levels of

1 inflammatory markers have been shown to be predictive of the onset of
2 HF[40, 41]. The complex pathophysiological mechanisms that connect
3 insomnia and HF are diverse[42]. Sleep disturbances have been shown to
4 disrupt the function of the autonomic nervous system, resulting in
5 increased sympathetic activity, decreased parasympathetic activity, and
6 changes in heart rate variability[43]. The aforementioned physiological
7 alterations play a role in the development of endothelial dysfunction,
8 systemic inflammation, oxidative stress, and neurohormonal
9 dysregulation, ultimately leading to myocardial remodeling, ventricular
10 dysfunction, and the advancement of HF[11]. These studies might
11 elucidate the mechanisms underlying the causal association between
12 insomnia and HF.

13 Our study confirms an association between sleep disorders and heart
14 failure, with Mendelian randomization analysis establishing a causal
15 relationship. Sleep disturbances may contribute to HF development
16 through several pathophysiological mechanisms[31]: Dysregulation of
17 the hypothalamic-pituitary-adrenal axis, leading to insulin resistance and
18 diabetes onset[44]; Activation of the sympathetic nervous system, driven
19 by increased cortisol and norepinephrine, leading to elevated resting heart
20 rate, increased blood pressure, and altered heart rate variability[45];
21 Elevated secretion of pro-inflammatory cytokines, including C-reactive
22 protein, tumor necrosis factor- α , and interleukin-6, which promote

1 atherosclerosis[11, 39, 46]; Impaired glucose tolerance and dyslipidemia.
2 These mechanisms may account for our findings; however, further
3 research is required to fully elucidate these mechanisms and their
4 interconnections.

5 Our research indicates a significant association between sleep
6 disturbances and heart failure, establishing a causal relationship between
7 these conditions. Consequently, addressing sleep disturbances could be a
8 crucial strategy in mitigating the incidence of heart failure. Evidence-
9 based interventions, such as cognitive behavioral therapy for insomnia
10 (CBT-I), pharmacological treatments like melatonin, and lifestyle
11 modifications, may substantially reduce the risk of heart failure by
12 targeting sleep disturbances[47-50]. When judiciously administered,
13 pharmacological treatments can further facilitate sleep restoration while
14 minimizing adverse effects[47]. Integrating sleep management into
15 cardiovascular care, particularly for high-risk populations, is crucial for
16 comprehensive disease prevention strategies[51]. The treatment of sleep
17 disorders generally involves a comprehensive approach, with lifestyle
18 interventions as the primary strategy, supported by medication when
19 necessary[52].

20 **Our findings suggest that sleep disturbances may significantly contribute**
21 **to the development and progression of HF. We agree that it is crucial to**
22 **focus on patients at risk of HF, particularly those with a history of acute**

1 coronary syndromes or myocardial infarctions[53]. Excessive exercise is
2 not recommended for these patients, as the anxiety caused by their
3 condition may exacerbate sleep disturbances. In such cases, stronger
4 interventions, such as medications, might be effective. We also recognize
5 the importance of addressing sleep disturbances in individuals undergoing
6 primary prevention. Early identification and management of sleep
7 disturbances in these patients may help reduce the risk of developing HF
8 later in life[54]. The prevention of atherosclerotic cardiovascular disease
9 (ASCVD) primarily focuses on lifestyle modifications (e.g., exercise,
10 smoking cessation) and managing risk factors (hypertension, diabetes,
11 dyslipidemia)[55]. Incorporating sleep health into the primary prevention
12 paradigm may offer a more comprehensive approach to cardiovascular
13 health. Overall, for patients already at risk of HF, medications may be
14 more effective for prevention[56]. In contrast, lifestyle interventions may
15 be more effective for primary prevention of ASCVD[57].

16 Personalized management and intervention for sleep disorders could be
17 an effective strategy to reduce the burden of heart failure. Incorporate
18 sleep assessment and management into the prevention and treatment
19 protocols for heart failure and other cardiovascular diseases. It is
20 important to emphasize that excessive sleep duration may be harmful, as
21 prolonged sleep may increase the risk of kidney damage, which in turn
22 could elevate the risk of heart failure[58, 59]. Healthcare providers should

1 be encouraged to systematically evaluate and address patients' sleep
2 health as an integral component of cardiovascular care.

3 **Limitation**

4 This study also encountered certain limitations. First, our observational
5 study investigated the association between sleep disturbances, such as
6 insomnia, and HF. The MR analysis primarily employed GWAS data on
7 insomnia to examine the causal association between sleep disturbances
8 and HF. However, these findings alone are adequate to establish both the
9 correlation and potential causal link between sleep disturbances and HF.
10 Second, the observational study primarily depended on self-reported data
11 regarding sleep disturbances, potentially introducing reporting bias.
12 Moreover, the observational study was confined to a single baseline
13 evaluation of serum electrolytes. To mitigate these limitations, MR
14 analysis was performed to supplement the findings of the observational
15 study. Third, limitations of the observational study encompass residual
16 confounding, time-varying confounding, unmeasured confounding, and
17 potential selection bias. In the observational study, we adjusted for a
18 comprehensive range of heart failure risk factors. Additionally, the MR
19 study employed a randomization method that helped mitigate other
20 potential confounding factors. Future experimental studies and cohort
21 investigations are needed to enhance our understanding of the underlying

1 relationship between sleep disturbances and HF.

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1 **Conclusion**

2 Our study identified an association between sleep disturbances, such as
3 insomnia, sleep disorders, difficulty falling asleep, trouble sleeping, and
4 nocturnal awakenings, and HF.

5 Additionally, MR studies have verified a causal link between insomnia
6 and HF.

7 Addressing sleep disturbances could potentially lower the risk of
8 developing HF.

9 Future longitudinal, randomized controlled, and interventional studies are
10 necessary to validate the present findings.

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1 **Legend**

2 **Table 1** participants baseline information

3 **Table 2** MR estimates from each method of the causal effect of
4 sleeplessness on heart failure risk

5 **Figure 1** OR (95% CI) of heart failure risk according to sleep disturbance
6 status

7 **Figure 2** Forest plot of the causal effects of sleeplessness associated
8 SNPs on heart failure

9 **Figure 3** Scatter plots of genetic associations comparing sleeplessness to
10 the genetic associations with heart failure.

11 **Figure 4** Leave-one-out analysis to investigate the possibility that the
12 causal association was driven by a unique single-nucleotide
13 polymorphism (SNP)

14 **Supplementary appendix**

15 **eFigure 1** Flowchart of the inclusion procedure of patients

16 **eTable1** OR (95% CI) of heart failure risk according to sleep disturbance
17 status.

18 **eTable 2** Instrumental SNPs from sleeplessness and GWAS of heart
19 failure

20 **eFigure 2** Funnel plot to assess heterogeneity

21

22

1 **Author Contributions**

2 Junwen Wang, Ziyi SUN and Yong PENG had full access to all of the
3 data in the study and take responsibility for the integrity of the data and
4 the accuracy of the data analysis. Concept and design: Junwen Wang, Ziyi
5 Sun, Yi ZHONG, Yuyang YE, Xuefeng CHEN, Xinru HU and Yong Peng.
6 Acquisition, analysis, or interpretation of data: Junwen Wan, Ziyi SUN,
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11 **Conflict of Interest Disclosures**

12 No Potential Conflicts of Interest.

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3 **Data Availability**

4 Data sharing not applicable to this article as no datasets were generated or
5 analyzed during the current study.

6 **Ethics Approval**

7 The Institutional Review Board of the National Center for Health
8 Statistics approved this study.

9 **Consent to Participate**

10 Study protocols were ethically approved by the Institutional Review
11 Board of the National Center for Health Statistics, and all participants
12 provided written informed consent.

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10

Preprint

Sleep disorders

Heart Failure

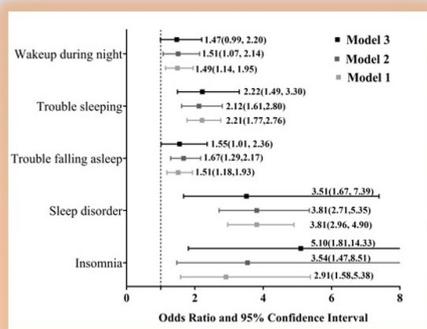


Increase Risk

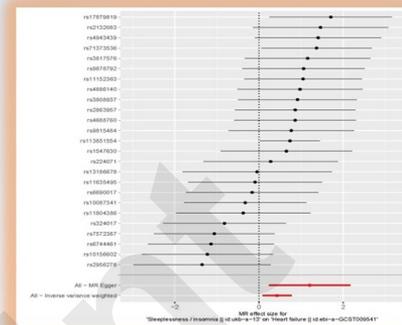


observational study

Mendelian Randomization



&



Preprint

Table 1 participants baseline information

Variable	Total (N=10432)	Non trouble sleeping (N=8038)	Trouble sleeping (N=2394)	P value
Age, years	46.63(0.42)	45.58(0.46)	49.91(0.45)	< 0.001
Poverty income ratio	3.06(0.06)	3.06(0.06)	3.08(0.07)	0.6
Fast Glucose, mmol/L	5.86(0.04)	5.83(0.04)	5.94(0.05)	0.04
HbA1c	5.52(0.02)	5.49(0.02)	5.61(0.03)	< 0.001
Creatinine, umol/L	79.59(0.45)	79.59(0.52)	79.58(0.59)	1
Uric acid, umol/L	322.93(1.36)	322.50(1.39)	324.25(2.84)	0.56
Iron, umol/L	15.61(0.13)	15.73(0.13)	15.22(0.23)	0.02
HDL cholesterol, mmol/L	1.38(0.01)	1.38(0.01)	1.38(0.01)	0.44
LDL cholesterol, mmol/L	2.99(0.02)	2.98(0.02)	3.00(0.05)	0.74
BMI, kg/m ²	28.55(0.14)	28.22(0.16)	29.57(0.16)	< 0.001
SBP, mmHg	121.65(0.29)	121.37(0.30)	122.52(0.37)	0.001
DBP, mmHg	70.58(0.24)	70.26(0.26)	71.58(0.37)	0.001
Sex				< 0.001
Female	5383(51.90)	3957(49.06)	1426(60.72)	
Male	5049(48.10)	4081(50.94)	968(39.28)	
Heart attack				< 0.001
no	9934(96.39)	7729(97.17)	2205(94.36)	
yes	486(3.51)	301(2.83)	185(5.64)	
Smoke				< 0.001
former	2614(24.42)	1924(23.32)	690(27.88)	
never	5502(52.15)	4420(54.16)	1082(46.05)	
now	2308(23.37)	1686(22.52)	622(26.07)	
DM				< 0.001
no	8270(86.11)	6476(88.79)	1794(83.31)	
yes	1778(12.37)	1232(11.21)	546(16.69)	
Stroke				< 0.001
no	9971(96.70)	7761(97.56)	2210(94.71)	
yes	441(3.13)	267(2.44)	174(5.29)	
Angina				< 0.001
no	10107(97.53)	7850(98.28)	2257(96.00)	
yes	301(2.27)	173(1.72)	128(4.00)	
Hyperlipidemia				< 0.001
no	3149(30.88)	2518(32.19)	631(26.85)	
yes	7281(69.10)	5518(67.81)	1763(73.15)	

HbA1C, Glycated Hemoglobin; HDL, High-Density Lipoprotein; LDL, Low-Density Lipoprotein; BMI, Body Mass Index; SBP, Systolic Blood Pressure; DBP, Diastolic Blood Pressure; DM, Diabetes Mellitus

Table 2 MR estimates from each method of the causal effect of sleeplessness on heart failure risk

MR Method	Number of SNPs	OR	SE	Association P value
MR Egger	25	3.333	0.493	0.023
Weighted median	25	2.102	0.231	0.001
Inverse variance weighted	25	1.535	0.177	0.016
Weighted mode	25	2.458	0.301	0.006

MR Mendelian randomization, SNP single-nucleotide polymorphism, OR, odds ratio, SE standard error

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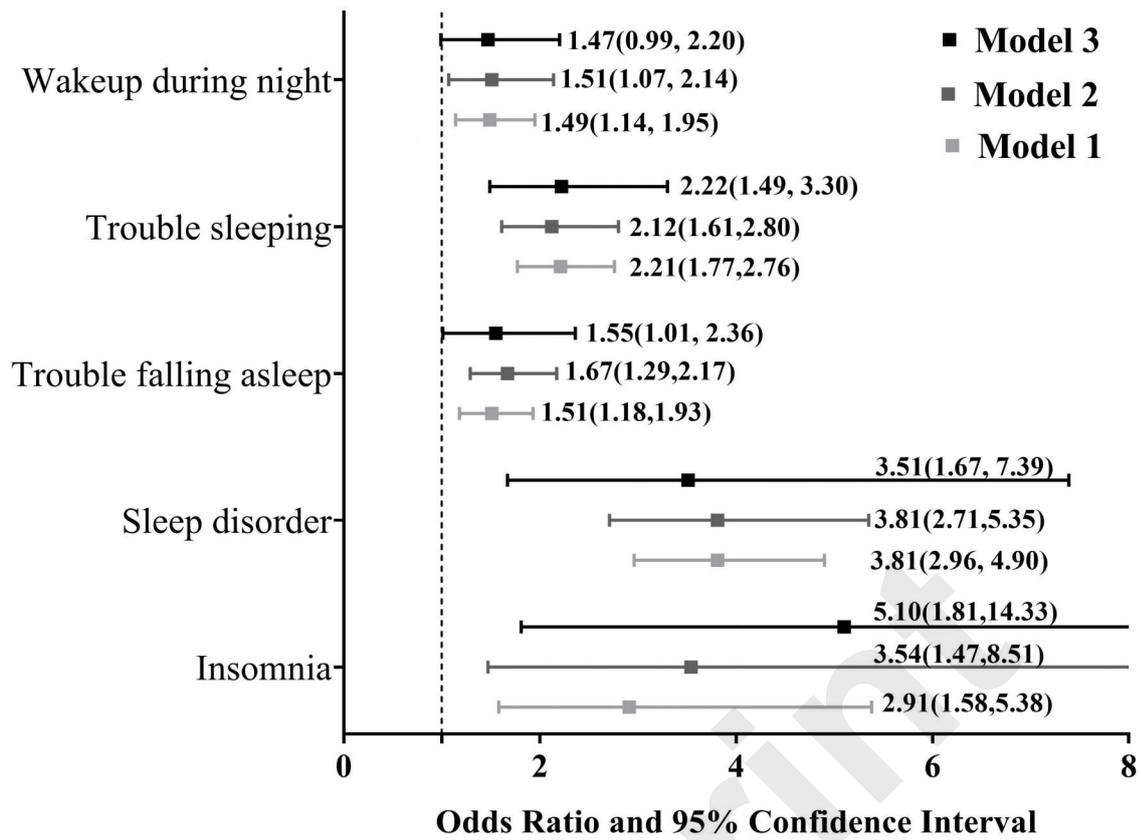


Figure 1 OR (95% CI) of heart failure risk according to sleep disturbance status

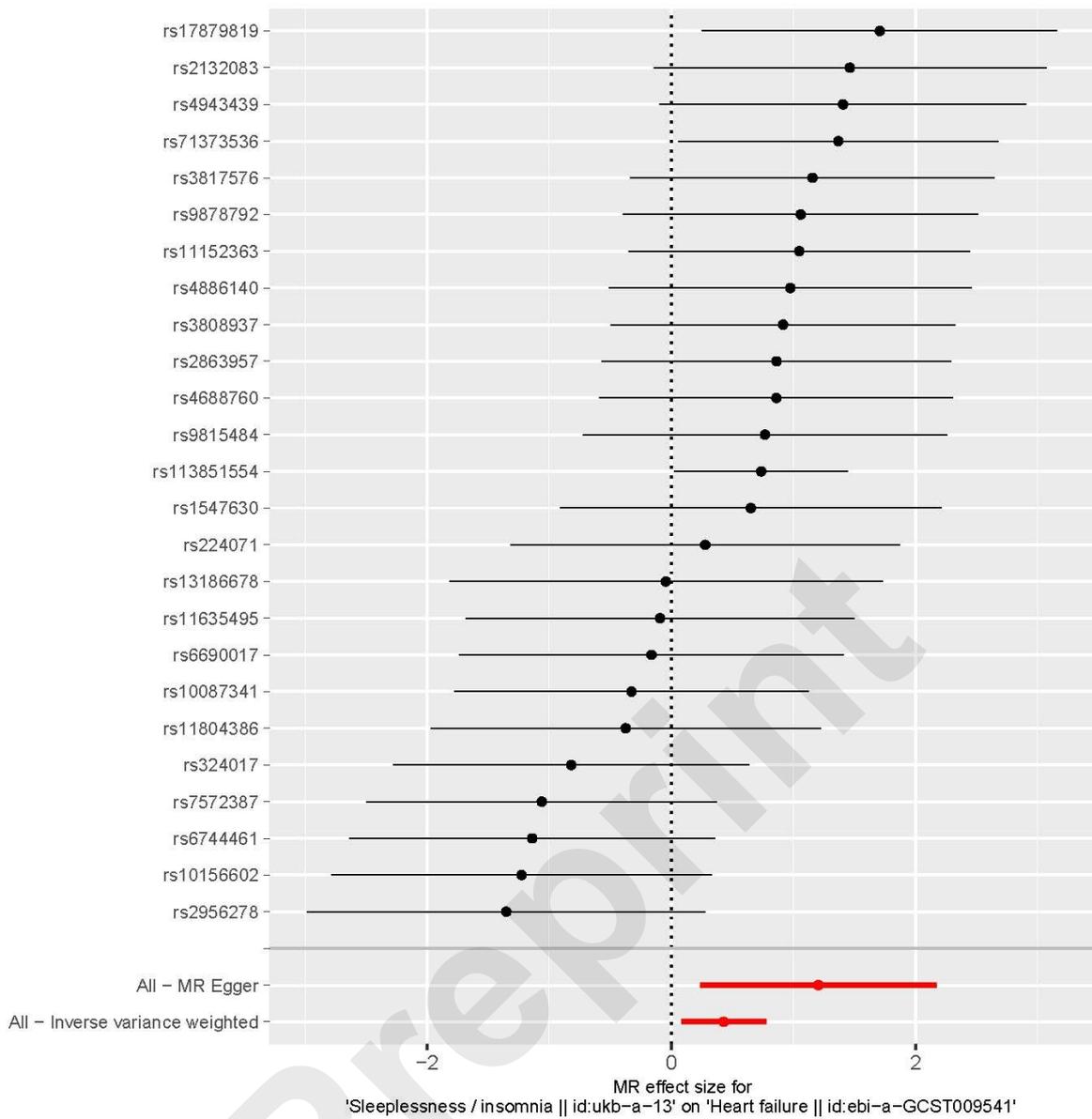


Figure 2 Forest plot of the causal effects of sleeplessness associated SNPs on heart failure

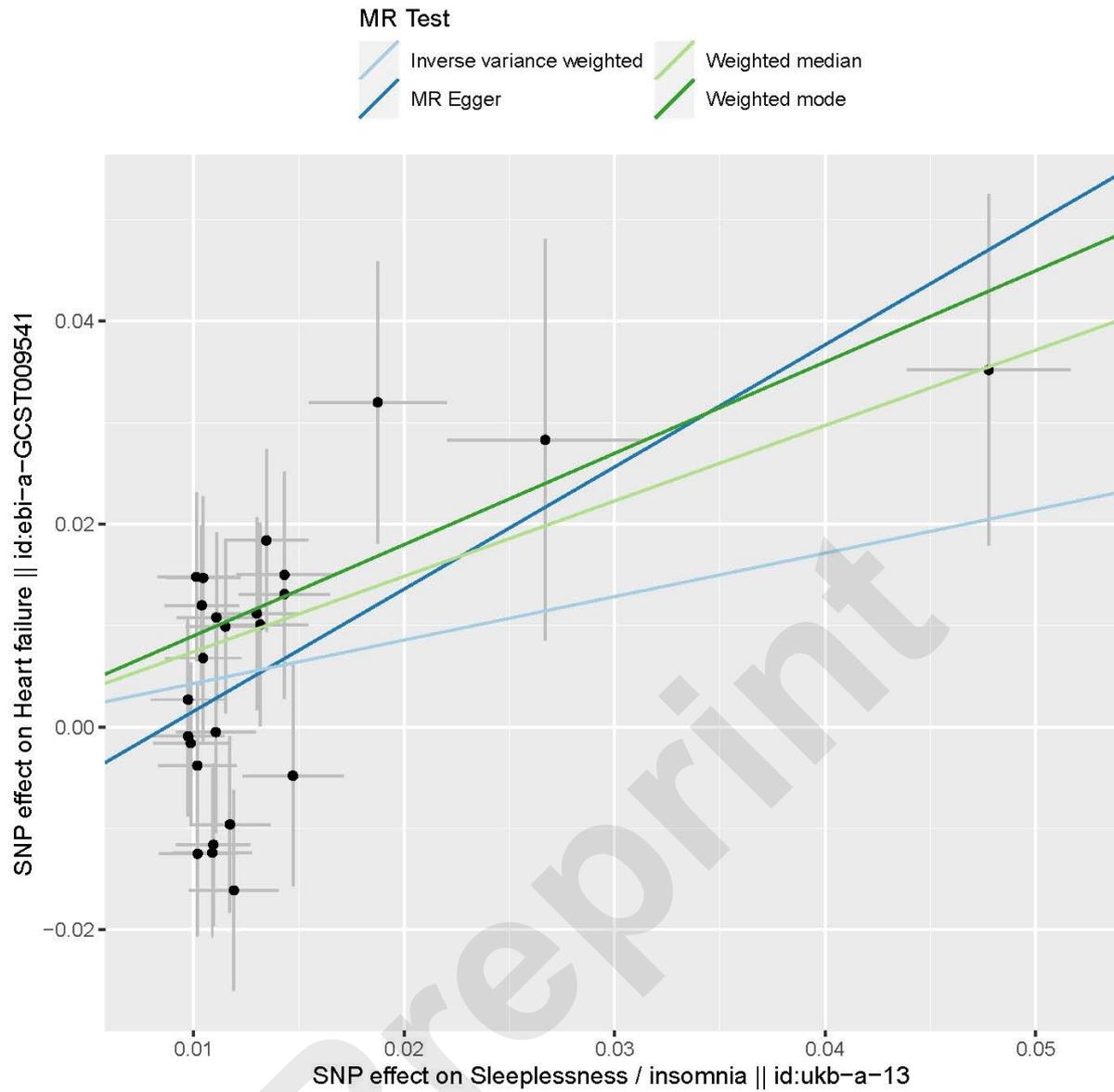


Figure 3 Scatter plots of genetic associations comparing sleeplessness to the genetic associations with heart failure.

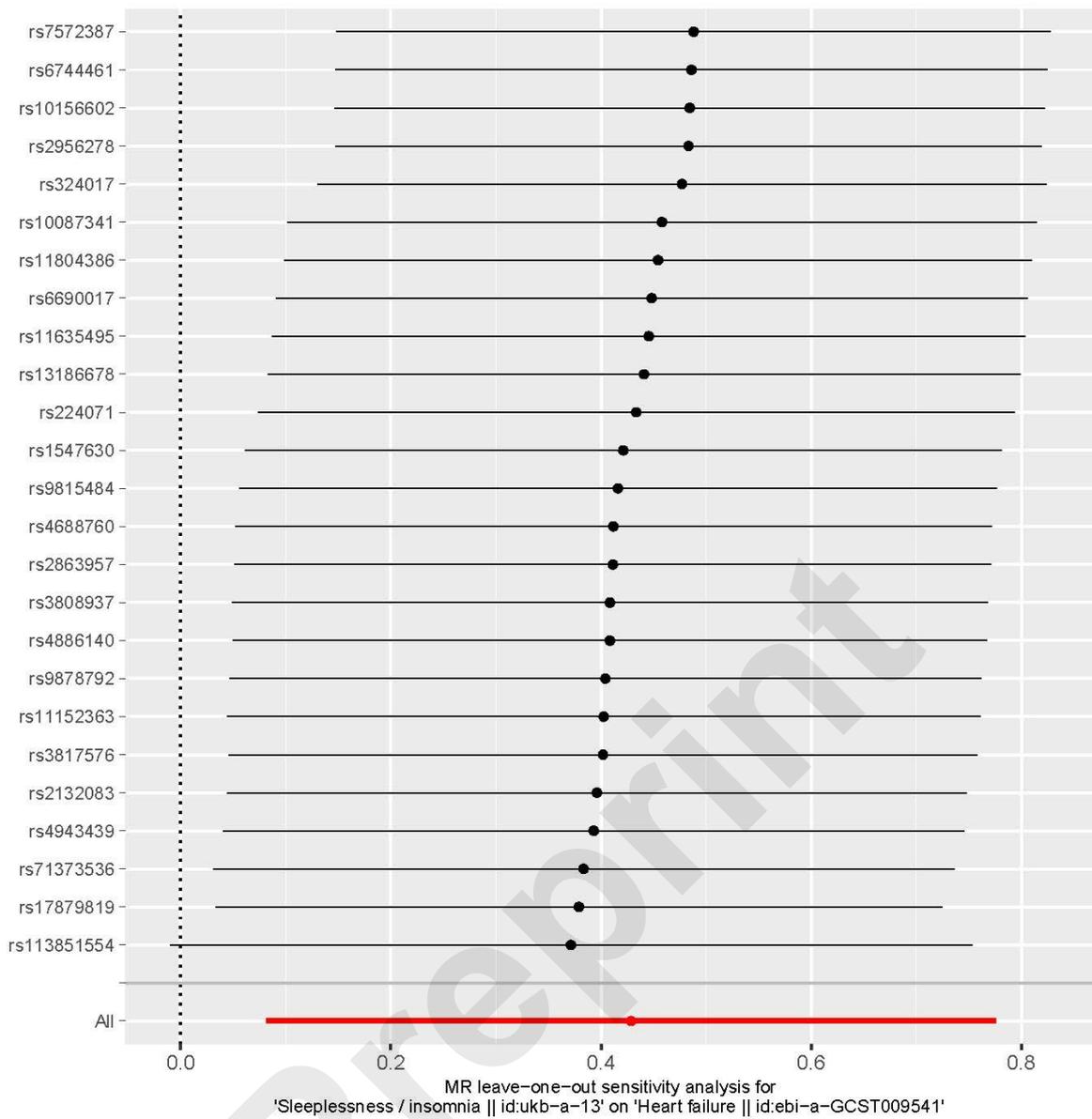


Figure 4 Leave-one-out analysis to investigate the possibility that the causal association was driven by a unique single-nucleotide polymorphism (SNP)