

# A Causal Inference Study on the Impact of Asthma on the Onset of Chronic Obstructive Pulmonary Disease: Two-Sample Mendelian Randomization

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## Keywords

COPD, GWAS, Mendelian Randomization, Asthma, Causal Relationship, Environmental Exposure Factors

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## Abstract

### Introduction

Respiratory diseases have long been a focus of research in the field of public health, posing a serious threat to the health of the global population. Asthma and COPD (chronic obstructive pulmonary disease) are two common respiratory ailments with overlapping pathogenesis.

### Material and methods

In this study, utilizing a two-sample Mendelian randomization (MR) approach and analyzing publicly available genome-wide association study (GWAS) datasets, we explored the causal impact of asthma on the onset of COPD. Using genetic instrumental variables associated with asthma ( $p < 5 \times 10^{-8}$ ) and applying multiple MR methods (IVW, MR-Egger, and weighted median), we identified a significant causal relationship between asthma and COPD. The inverse variance weighted (IVW) method indicated that asthma increases the risk of developing COPD with an odds ratio (OR) of 1.35 (95% confidence interval [CI]: 1.12–1.58,  $p = 0.002$ ).

### Results

Additionally, multivariable MR analysis was performed to account for potential confounders, such as eosinophil count, smoking, and falls, which demonstrated that the association remains significant even after adjusting for these factors (OR: 1.29, 95% CI: 1.08–1.50,  $p = 0.004$ ).

### Conclusions

This study provides robust evidence supporting the causal link between asthma and COPD, offering a more comprehensive understanding of their relationship.

Keywords: Asthma, GWAS, Mendelian Randomization, Causal Relationship, COPD, Environmental Exposure Factors

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2 **Pulmonary Disease: Two-Sample Mendelian Randomization**

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6 **Abstract**

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8 with overlapping pathogenesis. In this study, utilizing a two-sample Mendelian randomization (MR)  
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12 weighted median), we identified a significant causal relationship between asthma and COPD. The  
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14 COPD with an odds ratio (OR) of 1.35 (95% confidence interval [CI]: 1.12 - 1.58,  $p = 0.002$ ).  
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26

27 **Introduction**

28 Respiratory diseases have long been a focus of research in the field of public health, posing a serious  
29 threat to the health of the global population [1]. Among them, asthma and COPD are two highly  
30 prevalent diseases, posing significant challenges to patients' quality of life and the consumption of  
31 social medical resources. Although asthma and COPD have distinct pathological and physiological  
32 differences, with the former mainly characterized by chronic airway inflammation and the latter  
33 encompassing pathological changes such as chronic bronchitis and emphysema, their relationship  
34 and mutual influence remain a scientific puzzle of great concern[2, 3].

35 Asthma manifests as a complex chronic inflammatory condition, featuring airway  
36 hyperresponsiveness and reversible airway obstruction. Hundreds of millions of people worldwide  
37 suffer from asthma, affecting both adults and children[4]. COPD mainly includes chronic bronchitis  
38 and emphysema, is a progressive disease commonly found in smokers, and is also influenced by  
39 environmental factors such as air pollution. Both diseases are primarily characterized by symptoms  
40 such as dyspnea, cough, and chest tightness, causing significant inconvenience to patients' lives and  
41 work[5].

42 Although asthma and COPD differ in presentation and progression, their commonalities cannot be  
43 ignored. Studies have shown that in some patients, asthma and COPD might coexist, referred to as  
44 "asthma-COPD overlap syndrome" (ACOS)[6]. This situation complicates the management and

45 treatment of the disease, making it even more necessary to delve into the relationship between  
46 asthma and COPD to better understand their shared pathophysiological mechanisms and provide  
47 more precise bases for treatment strategies[7].

48 Previous studies have mainly focused on the pathogenesis and causative factors of asthma and  
49 COPD individually. However, the question of whether there is a causal relationship between the two,  
50 and whether asthma might be a potential factor in the development of COPD, remains relatively  
51 unclear in academia. Some observational studies have provided some clues, but due to the presence  
52 of numerous confounding factors, the research findings are contentious. Therefore, we need a more  
53 precise method to control for confounding variables.

54 By adopting a Mendelian randomization approach, which enables exploration of the causal  
55 relationship between asthma and COPD without being influenced by confounding factors, this study  
56 aims to delve into the possible association between the two conditions. Integrating publicly available  
57 genome-wide association study (GWAS) datasets and employing careful selection of genetic  
58 instrumental variables, alongside various Mendelian randomization analysis methods, the study  
59 seeks to elucidate the genetic mechanisms underlying asthma and COPD, thereby providing a  
60 clearer understanding of their interrelation.

61

## 62 **Materials and Methods**

### 63 **Guidelines for Reporting and Study Design**

64 This study utilized a two-sample MR approach and publicly available datasets to investigate the  
65 impact of asthma on COPD. The study report adheres to the STROBE-MR Statement, which  
66 enhances the reporting of observational studies in epidemiology using Mendelian randomization [8],

67 Figure 1 illustrates the study design schematic.

## 68 **Data Sources**

69 Genome-wide association study (GWAS) data for preliminary analysis of asthma indicators: GWAS  
70 analysis data conducted by Demenais et al. on 127,669 individuals of European ancestry, including  
71 107,715 controls and 19,954 asthma cases [9]. GWAS analysis data conducted by Valette et al. on  
72 408,442 individuals of European ancestry, including 352,255 controls and 56,167 asthma cases [10].  
73 Chronic obstructive pulmonary disease (COPD) GWAS data: COPD GWAS data (ebi-a-  
74 GCST90018807) conducted by Sakaue et al. on 468,475 individuals of European ancestry, including  
75 13,530 COPD cases and 454,945 controls [11].  
76 Other GWAS data: Eosinophil cell count (ieu-b-33) from GWAS analysis conducted by Vuckovic  
77 et al., including 563,946 samples [12] [13]; Past tobacco smoking (ukb-b-2134) [14] from  
78 approximately 500,000 samples from the UK Biobank [15]; Falling risk (ebi-a-GCST90012857)  
79 GWAS data analysis including 451,179 samples [16] [17].

## 80 **Instrumental Variable Selection**

81 Effective genetic instrumental variables must meet three core assumptions: (1) the relevance  
82 assumption, i.e., the chosen instrumental variables must exhibit a significant association with the  
83 exposure factor; (2) the independence assumption, i.e., instrumental variables must lack statistical  
84 significance correlated with potential confounding factors that might affect exposure or outcome;  
85 (3) the exclusion restriction assumption, i.e., instrumental variables must exclusively influence the  
86 outcome through the pathway "instrumental variable → exposure → outcome".

87 In this study, the selection criteria for exposure instrumental variables are as follows: SNPs (Single  
88 Nucleotide Polymorphisms) with  $P < 5 \times 10^{-8}$  in GWAS are used as the primary screening

89 condition; SNPs in linkage disequilibrium ( $r^2 < 0.001$ ) and with a physical distance  $> 10,000$  kb  
90 between every two genes are excluded. Then, outcome data are extracted from GWAS based on the  
91 selected SNPs.

## 92 **MR Causal Effect Estimation**

93 Various two-sample MR methods are used to assess the causal effects between exposure and  
94 outcome, including: Inverse-variance weighted (IVW), MR-Egger, Weight Median, Simple Mode,  
95 and Weight Mode. Studies have shown [18] that the IVW method is slightly stronger under certain  
96 conditions than other methods. Its characteristic is that it does not consider the presence of intercept  
97 terms during regression and uses the reciprocal of the outcome variance as weights for fitting.  
98 Therefore, in the absence of pleiotropy, regardless of the presence or absence of heterogeneity, the  
99 IVW method is used as the main MR analysis method in this study, with the other four methods  
100 used as supplements (IVW random effects model is used when heterogeneity exists). When  
101 pleiotropy exists, the MR-Egger method is used to calculate the results. The reverse causal effect is  
102 assessed using the same methods for the outcome's potential causal effect on exposure.

## 103 **Sensitivity Analysis**

104 Various methods, including heterogeneity testing, pleiotropy testing, and leave-one-out testing, are  
105 used to perform sensitivity analysis on the analysis results, as follows:

106 (1) Heterogeneity testing: Cochran's Q test is used to assess heterogeneity among estimated values  
107 of each SNP. If Cochran's Q test is statistically significant, it indicates significant heterogeneity in  
108 the results, and the effect size of the causal effect is evaluated using the IVW random effects model.  
109 Since Cochran's Q test could only determine the presence or absence of heterogeneity and cannot  
110 determine its distribution, the  $I^2$  statistic is used to reflect the proportion of heterogeneity in the

111 total variation of instrumental variables:  $I^2 \leq 0$  indicates no observed heterogeneity;  $I^2 = 0-25\%$   
112 indicates mild heterogeneity;  $I^2 = 25-50\%$  indicates moderate heterogeneity;  $I^2 > 50\%$  indicates  
113 high heterogeneity. The specific calculation formula is as follows:

114 (2) Pleiotropy assessment: The MR-Egger method is employed to examine pleiotropy in  
115 instrumental variables. A MR-Egger's intercept p-value  $< 0.05$  suggests substantial horizontal  
116 pleiotropy in genetic variation.

117 (3) Leave-one-out testing: The leave-one-out method is used to evaluate whether a single SNP  
118 affects the association between asthma and COPD by sequentially removing individual SNPs and  
119 calculating the MR results with the remaining instrumental variables. If there is a large difference  
120 between the MR effect estimate after removing a particular instrumental variable and the cumulative  
121 effect estimate, it indicates sensitivity of the MR effect estimate to that SNP.

## 122 **Multivariable MR Analysis**

123 MVMR is an extension of MR that uses genetic variations associated with multiple exposures  
124 possibly correlated with a single outcome evaluate the impacts of various exposures on a singular  
125 outcome. It could assess the direct effects of individual exposure factors on the outcome. We  
126 conducted multivariable MR analysis by considering several relevant exposure factors of COPD:  
127 Eosinophil cell count (ieu-b-33), Past tobacco smoking (ukb-b-2134), Falling risk (ebi-a-  
128 GCST90012857), and the significant exposure asthma to obtain the direct effect of asthma on  
129 COPD.

## 130 **Statistical Analysis**

131 All data processing and statistical analyses were performed using the R programming language  
132 (version 4.2.2). Mendelian randomization analysis primarily relied on the TwoSampleMR package

133 [19]. To ensure the robustness and reliability of the results, Cochran's Q test and leave-one-out  
134 analysis were utilized. Additionally, genetic pleiotropy was assessed using MR-Egger's intercept  
135 method. Evaluation metrics consisted of odds ratios (OR) and their corresponding 95% confidence  
136 intervals (95% CI). All statistical P-values were two-sided, with SNP loci generated from GWAS  
137 studies considered statistically significant at  $P < 5 \times 10^{-8}$ ; statistical significance was determined  
138 for other tests at a threshold of  $P < 0.05$ .

139

## 140 **Results**

### 141 **Analysis Framework and Flowchart**

142 The flowchart was shown in Figure 1.

### 143 **Instrumental Variable Selection**

144 Based on the selection criteria for instrumental variables, SNPs with linkage disequilibrium were  
145 removed, and SNPs associated with asthma ( $P < 5 \times 10^{-8}$ , clump=TRUE,  $r^2 < 0.001$ , kb=10,000)  
146 were included as instrumental variables after matching with COPD GWAS data. The information of  
147 instrumental variables for each indicator was shown in Table S1.

### 148 **MR Causal Effect Estimation**

149 Analysis was conducted using five models: MR Egger, Weighted median, IVW (Inverse variance  
150 weighted), Simple mode (SM), and Weighted mode. The results of the five models for the causal  
151 relationship between asthma and COPD (Figure 2a, Table 1) indicated a significant causal  
152 relationship between asthma and COPD, with higher levels of all circulating metabolites associated  
153 with an increased risk of COPD occurrence. Different models of MR analysis for asthma (Figure  
154 2b-c) provided consistent directional estimates, with relatively consistent slopes.



155 **Sensitivity Analysis**

156 Heterogeneity testing of significant results was conducted using Cochran's Q test and  $I^2$  statistic,  
157 as shown in Table 2. The results indicated high heterogeneity in the Mendelian randomization (MR)  
158 results for asthma (Asthma, id: ebi-a-GCST006862) with respect to COPD ( $I^2=50.65\%$ , Cochran  
159 Q p-value  $< 0.05$ ). However, there was no significant heterogeneity in the MR results for asthma  
160 (Asthma, id: ebi-a-GCST90014325) with respect to COPD (Cochran Q p-value  $> 0.05$ ,  $I^2 < 50\%$ ).  
161 The exposure of asthma (Asthma, id: ebi-a-GCST006862) with high heterogeneity was removed,  
162 and the exposure of asthma (Asthma, id: ebi-a-GCST90014325) was analyzed further. The funnel  
163 plot of instrumental variables for asthma (Asthma, id: ebi-a-GCST90014325) (Figure 3A) showed  
164 a symmetrical distribution of scatter points, indicating no potential bias in the causal association  
165 effect.

166 Instrumental variable horizontal pleiotropy testing was conducted using MR-Egger regression. The  
167 p-value of the intercept term in the statistical hypothesis test for p-value associated with asthma  
168 (Asthma, id: ebi-a-GCST90014325) exceeded 0.05, and the intercept value approached zero.  
169 indicating that the causal inference of this study was not affected by horizontal pleiotropy (Table 3).

170 We performed leave-one-out analysis, systematically excluding each instrumental variable locus, to  
171 examine the causal impact of asthma on COPD (Figure 3b). It was found that there was no  
172 significant deviation from the total effect of the instrumental variable set. The Steiger directional  
173 test result was TRUE with a p-value less than 0.05, indicating no reverse causal effect (Table 4).

174 B. Forest plot showing the sequential effect estimates of asthma and COPD using single SNP locus  
175 analysis.

176 **Reverse MR Analysis**

177 To evaluate reverse causal effects, we used COPD s exposure and asthma (id: ebi-a-GCST90014325)  
178 as the outcome. Following the selection criteria for instrumental variables in this study and removing  
179 SNPs with linkage disequilibrium, the reverse causal MR analysis results (Figure 4) indicated that  
180 COPD did not have a significant causal effect on asthma (P value > 0.05), as shown in Table 5.

### 181 **Multivariable MR Analysis**

182 We conducted multivariable MR analysis by incorporating exposures such as eosinophil cell count  
183 (ieu-b-33), past tobacco smoking (ukb-b-2134), falling risk (ebi-a-GCST90012857), etc., to assess  
184 the direct effect of asthma (Asthma, ebi-a-GCST90014325) on COPD (Table 6). In Model 1,  
185 correcting for the indirect effect of eosinophil cell count, the results indicated that asthma (Asthma,  
186 ebi-a-GCST90014325) still significantly affects COPD. In Model 2, correcting for the influence of  
187 smoking, the results showed that asthma (Asthma, ebi-a-GCST90014325) still significantly affects  
188 COPD. In Model 3, considering the impact of falling risk, the results demonstrated that asthma  
189 (Asthma, ebi-a-GCST90014325) still significantly affects COPD.

### 191 **Discussion**

192 This study delves into the causal impact of asthma on COPD through a two-sample Mendelian  
193 randomization design. Firstly, by rigorously screening publicly available GWAS data, we  
194 successfully selected a series of SNPs that meet the criteria for instrumental variables for subsequent  
195 causal effect estimation. Through various two-sample MR methods, we conclude that asthma serves  
196 as a significant risk factor for COPD, providing solid genetic evidence for further elucidating the  
197 relationship between these two diseases.

198 In sensitivity analysis, we validated the robustness of the results through methods such as

199 heterogeneity testing and pleiotropy testing. Even after excluding SNPs with high heterogeneity, the  
200 results remained significant. Through Leave-one-out analysis, we further confirmed the robustness  
201 of the instrumental variables, eliminating the significant influence of individual SNPs on the overall  
202 outcomes. The results of the MR-Egger intercept method also indicate that the causal inference of  
203 this study is not significantly affected by horizontal pleiotropy.

204 To gain a more comprehensive understanding of the impact of asthma on COPD, we conducted  
205 reverse MR analysis and found that COPD does not have a significant causal effect on asthma,  
206 thereby ruling out the possibility of reverse causality.

207 Finally, through multivariable MR analysis, we explored the impact of multiple potential  
208 confounding factors on the relationship between asthma and COPD. The results indicate that the  
209 causal effect of asthma on COPD still exists after considering factors such as eosinophil cell count,  
210 smoking, and falling.

211 Previous research in the asthma and COPD field had extensively explored their pathogenesis,  
212 pathophysiological processes, and their relationship with environmental, genetic, and other factors.

213 However, the relationship between asthma and COPD has always been a contentious issue. Here are

214 some key points and findings from previous studies: 修改为 "Previous research in the asthma

215 and COPD field had extensively explored their pathogenesis, pathophysiological processes, and

216 their relationship with environmental, genetic, and other factors. For instance, recent studies, such

217 as the one by Kurmi et al.[20], have also investigated the genetic links and shared risk factors

218 between these diseases, further supporting the potential overlap in their etiology . However, the

219 relationship between asthma and COPD remains a contentious issue."

220 Traditionally, asthma and COPD were considered to be two diseases with distinct biological

221 characteristics[21]. Asthma mainly involves airway inflammation and reversible airway obstruction,  
222 while COPD includes chronic bronchitis and emphysema, characterized by irreversible airway  
223 narrowing[22]. However, recent studies suggest that some patients might have both asthma and  
224 COPD, leading to asthma-COPD overlap syndrome (ACOS), with symptoms and biomarkers  
225 showing overlapping features, thereby increasing attention to the relationship between the two[23].  
226 Early observational studies attempted to reveal the relationship between asthma and COPD but  
227 faced challenges due to confounding factors. For example, smoking, air pollution, occupational  
228 exposure, etc., are factors that affect respiratory health, making it difficult for observational studies  
229 to establish whether asthma directly leads to the development of COPD[24]. In recent years,  
230 advances in techniques such as genome-wide association studies (GWAS) have enriched our  
231 comprehension of the genetic underpinnings of respiratory disorders. Some genes have been  
232 confirmed to be associated with asthma and COPD, but their specific mechanisms and their  
233 interrelationship remain uncertain.

234 In clinical practice, distinguishing between asthma and COPD is sometimes not straightforward,  
235 especially in some elderly patients or long-term smokers. The presence of this overlapping  
236 pathological state increases the difficulty of disease management[25].

237 Additionally, the understanding of asthma-COPD overlap syndrome (ACOS) remains incomplete,  
238 necessitating further research to elucidate the nature of this dual pathological state.

239 Our study contributes to this discussion by providing evidence that asthma is a risk factor for COPD  
240 development. To further understand the mechanisms involved, exploring how asthma-related factors  
241 influence COPD onset is crucial. Given the overlap of symptoms in ACOS and the inconclusive  
242 findings from previous observational studies, our study stands out by utilizing genetic evidence

243 from GWAS to establish a causal relationship between asthma and COPD. Additionally, our results  
244 emphasize the importance of addressing asthma control to potentially prevent COPD progression.  
245 Future research directions may involve studying specific asthma-related genetic markers and their  
246 impact on COPD development, as well as implementing personalized therapeutic interventions  
247 based on genetic susceptibility analysis. Overall, our study highlights the significance of  
248 recognizing asthma as a contributing factor to COPD and underscores the necessity for targeted  
249 interventions to alleviate disease burden and improve patient outcomes.

250 When discussing the mechanisms by which asthma affects COPD and their application in disease  
251 prevention or treatment, several mechanisms may be utilized. Firstly, the inflammation regulation  
252 mechanism may be one of them. Both asthma and COPD are associated with inflammation response,  
253 so studying the mechanism of inflammation regulation, such as reducing airway inflammation  
254 through medication or biological treatment, may prevent or treat the progression of COPD[26].  
255 Further research may include the development of novel inflammation-regulating agents and their  
256 effectiveness and safety in clinical practice. Secondly, airway remodeling mechanism is also an  
257 important research direction. Asthma and COPD patients have abnormal airway structure and  
258 function, so studying the mechanism of airway remodeling and attempting to restore normal airway  
259 structure and function through medication or other treatment methods may prevent or treat the  
260 development of COPD[27]. Further research may include the development of novel treatment  
261 methods targeting airway remodeling and the evaluation of their long-term effects on COPD patients.  
262 Lastly, the immune regulation mechanism may also be a promising treatment approach. The immune  
263 system plays an important role in the development of asthma and COPD, so studying the mechanism  
264 of immune response regulation, such as the use of immunomodulators, may prevent or treat the

265 development of COPD[28]. Further research may include the evaluation of the effectiveness and  
266 safety of different types of immunomodulators and the determination of the optimal treatment  
267 strategy. These potential mechanisms require further research to promote their application in disease  
268 prevention or treatment. Such research may include basic scientific research, such as mechanism  
269 studies and drug development, as well as clinical research, such as clinical trials and epidemiological  
270 studies, to evaluate the effectiveness and safety of these mechanisms and determine the optimal  
271 treatment strategies. Additionally, interdisciplinary cooperation is needed to promote joint efforts  
272 from different fields of expertise and accelerate the application of these mechanisms in clinical  
273 practice.

274 增加讨论参考:

275 "In addition to genetic factors, immune pathways play a significant role in the development of both  
276 asthma and COPD. Recent studies have highlighted the importance of Th17 cells and the IL-31/IL-  
277 33 axis in modulating chronic inflammation and immune responses, which are pivotal in the  
278 pathogenesis of these diseases. Furthermore, factors such as vitamin D and the microbiome have  
279 been shown to influence immune regulation, potentially impacting disease progression. For example,  
280 recent work by Drożdżal et al. [29] discussed these mechanisms in the context of chronic immune-  
281 mediated diseases, offering new insights into their therapeutic potential."

282 The innovation of this study lies in starting from the perspective of genetics, using Mendelian  
283 randomization methods to minimize the interference of confounding factors as much as possible,  
284 and exploring whether asthma directly affects the risk of COPD. This will help fill the gaps in  
285 existing research and provide new directions for future studies. Additionally, through multivariable  
286 MR analysis, we will explore the impact of other exposure factors related to COPD, thereby

287 achieving a more comprehensive understanding of this complex disease relationship.  
288 In summary, this study provides new genetic evidence and theoretical support for understanding the  
289 relationship between asthma and COPD through comprehensive analysis from multiple perspectives  
290 and methods. This has positive implications for the formulation of preventive and therapeutic  
291 strategies for related diseases and the selection of future genetic research directions.

292 In this study, there are limitations that need to be considered. Firstly, despite our efforts to screen  
293 publicly available GWAS data rigorously, the limited nature of the data prevents us from conducting  
294 detailed analyses on more disease pathological types. Particularly, due to the scarcity of patients and  
295 the lack of specific SNP data, we cannot confirm the relationship between asthma and certain  
296 specific pathological types of COPD. Additionally, due to the diversity of “exposure” sources and  
297 the lack of relevant SNP data, we have yet to investigate whether specific sources of “exposure”  
298 exert similar biological effects on diseases and whether “exposure” has a consistent impact across  
299 different subgroups. It is worth noting that our data primarily consist of samples of European  
300 ancestry, and thus, may not adequately differentiate ethnic variations from various regions,  
301 potentially limiting the generalizability of these results to a global scale. Although the data from  
302 GWAS or large samples used for diseases are continuously increasing, there still exists a problem  
303 of data insufficiency. Further exploration of the basic multicenter research mechanisms between  
304 “exposure” and “outcome” is needed to better understand how asthma affects the occurrence and  
305 development of COPD.

306

### 307 **Conclusion**

308 Our research findings suggest that there is a significant causal relationship between asthma and

309 COPD, indicating that the occurrence of asthma elevates the likelihood of developing COPD in  
310 patients. Even when considering potential confounding factors, asthma still exerts a significant  
311 causal effect on COPD. These findings deepen our understanding the association between COPD  
312 and asthma providing important reference for further research and clinical practice. Future studies  
313 should delve deeper into the complex relationship between these two diseases to promote the  
314 formulation of prevention and treatment strategies for respiratory system diseases.

315

#### 316 **Abbreviations**

317 COPD chronic obstructive pulmonary disease

318 GWAS genome-wide association study

319 MR Mendelian randomization

320 ACOS asthma-COPD overlap syndrome

321 SNP Single nucleotide polymorphisms

322 IVW Inverse-variance weighted

323 MVMR Multivariable mendelian randomization

324 OR Odds ratio

325 CI Confidence interval

326

#### 327 **Ethics approval and consent to participate**

328 Not applicable.

329

#### 330 **Consent for publication**



331 Not applicable.

332

### 333 **Availability of data and materials**

334 Data and material are available from (<https://www.eqtngen.org/>) and corresponding GWAS

335 consortium.

336

### 337 **Competing interests**

338 The authors declared that they have no conflict of interest.

339

### 340 **Funding**

341 The authors declare that no funds, grants, or other support were received during the preparation of

342 this manuscript., Authors' contributions

343

### 344 **Acknowledgements**

345 No.

346

### 347 **Authors' Contributions**

348 WS and YH were responsible for study conception and design. ZL and HS were in charge of data

349 collection and analysis and wrote the paper. All authors read and approved the final manuscript.

350

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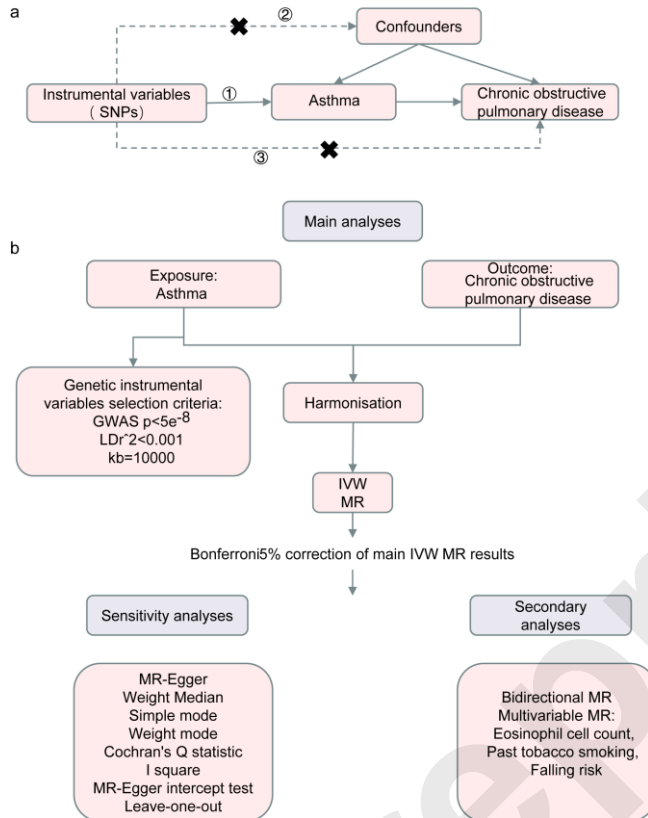
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432 Figure legends



433

434 **Figure 1. Mendelian randomization analysis flowchart.** (a) Basic assumptions of Mendelian

435 randomization analysis, including (1) the relevance assumption, which states that selected

436 instrumental variables must be significantly associated with the exposure factor; (2) the exclusion

437 restriction assumption, which states that instrumental variables could only affect the outcome

438 through the pathway "instrumental variable  $\rightarrow$  exposure  $\rightarrow$  outcome"; (3) the independence

439 assumption, which states that instrumental variables must not be significantly correlated with

440 potential confounding factors that might affect exposure or outcome. (b) Flowchart of the analysis

441 methods used in this study. SNP, single nucleotide polymorphism. IVW, inverse variance weighted.

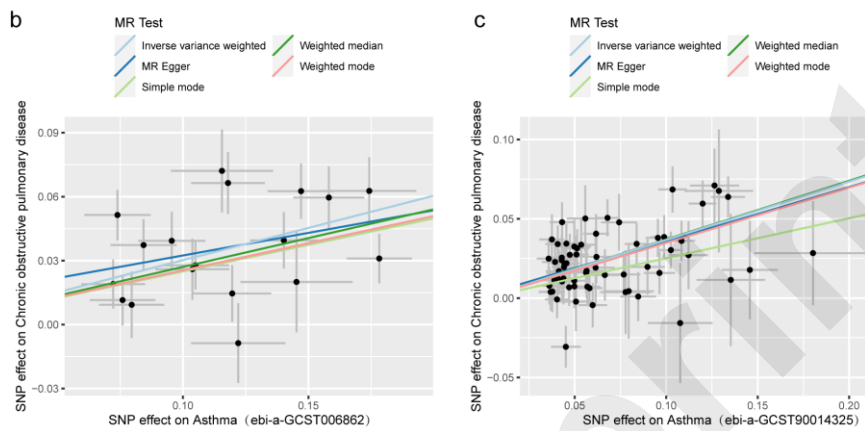
442 MR-Egger, Mendelian randomization-Egger. GWAS, genome-wide association study.

443

444

**a**

Exposure	method	$\beta$	OR95CI	P value
Asthma (ebi-a-GCST90014325)	Inverse variance weighted	0.3671981	1.44 (1.36, 1.53)	9.99e-35
Asthma (ebi-a-GCST90014325)	MR Egger	0.3407018	1.41 (1.21, 1.63)	3.12e-05
Asthma (ebi-a-GCST90014325)	Simple mode	0.2518579	1.29 (1.06, 1.56)	0.0128
Asthma (ebi-a-GCST90014325)	Weighted median	0.3698692	1.45 (1.33, 1.57)	7.18e-18
Asthma (ebi-a-GCST90014325)	Weighted mode	0.3482749	1.42 (1.23, 1.63)	7.78e-06
Asthma (ebi-a-GCST006862)	Inverse variance weighted	0.3022835	1.35 (1.25, 1.46)	4.72e-15
Asthma (ebi-a-GCST006862)	MR Egger	0.2120904	1.24 (0.95, 1.61)	0.134
Asthma (ebi-a-GCST006862)	Simple mode	0.2493573	1.28 (1.09, 1.51)	0.00841
Asthma (ebi-a-GCST006862)	Weighted median	0.2704959	1.31 (1.20, 1.43)	1.97e-09
Asthma (ebi-a-GCST006862)	Weighted mode	0.2546926	1.29 (1.13, 1.47)	0.00149



445

446 **Figure 2. Multiple model analysis results of mendelian randomization analysis for asthma and**

447 **chronic obstructive pulmonary disease. (a) Forest plot showing the causal association analysis**

448 **results of Mendelian randomization using multiple models for asthma and chronic obstructive**

449 **COPD. Effect estimates are presented using OR and 95% CI, along with the number of instrumental**

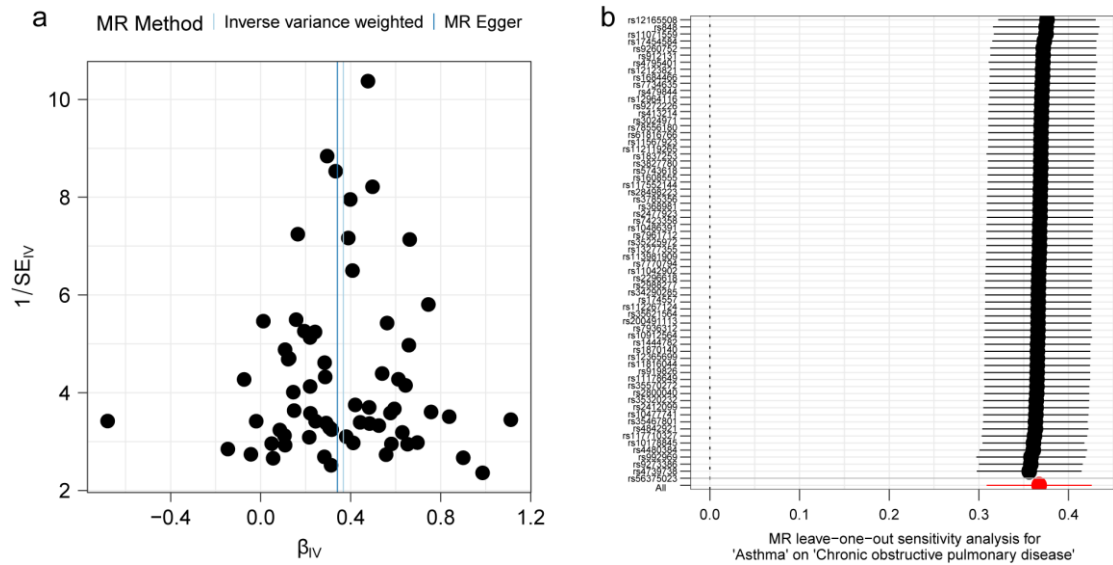
450 **variables used in each model, as well as the calculated beta values and standard errors. B-C. Scatter**

451 **plots showing the causal relationship between Asthma (id: ebi-a-GCST006862) (b), Asthma (id: ebi-**

452 **a-GCST90014325) (c), and chronic obstructive pulmonary disease. The slope of the line represents**

453 **the magnitude of the causal relationship predicted by different models. SNPs, Single Nucleotide**

454 **Polymorphisms; OR, Odds Ratio; CI, Confidence Interval.**



455

456 **Figure 3. Funnel plot for heterogeneity testing and effect estimates of IVW random effects**

457 **model in mendelian randomization analysis of asthma and chronic obstructive pulmonary**

458 **disease. (a) Funnel plot displaying the causal effect estimates of each instrumental variable for**

459 **asthma and chronic obstructive pulmonary disease. The causal effect estimates of the IVW and MR**

460 **Egger models are indicated by lines on the plot. (b) Forest plot showing the sequential effect**

461 **estimates of asthma and COPD using single SNP locus analysis.**

Exposure	method	$\beta$	OR95CI	P value
Chronic obstructive pulmonary disease	Inverse variance weighted	0.055008497	1.06 (0.96, 1.16)	0.235
Chronic obstructive pulmonary disease	MR Egger	-0.136972222	0.87 (0.73, 1.05)	0.179
Chronic obstructive pulmonary disease	Simple mode	0.017520151	1.02 (0.93, 1.11)	0.698
Chronic obstructive pulmonary disease	Weighted median	0.003900208	1.00 (0.95, 1.06)	0.888
Chronic obstructive pulmonary disease	Weighted mode	0.004347437	1.00 (0.96, 1.05)	0.866

462

463 **Figure 4. Multiple model analysis results of reverse causal mendelian randomization analysis**

464 **for chronic obstructive pulmonary disease and asthma. The forest plot presents the results of**

465 **reverse causal association analysis between COPD and asthma using multiple Mendelian**

466 **randomization models. Effect estimates are displayed using OR and 95% CI, along with the number**

467 **of instrumental variables used in each model, as well as the calculated beta values and standard**

468 errors. SNPs, Single Nucleotide Polymorphisms; OR, Odds Ratio; CI, Confidence Interval.

469

470 Table 1 Estimates of Mendelian randomized causal effects of Asthma and Chronic obstructive

471 pulmonary disease .

Exposure		Method	Number of SNPs	$\beta$	Standard error	P value	OR
Asthma ( ebi-a- GCST90014325 )		Inverse variance weighted	65	0.3671	0.02987	9.99E-35	1.44 (1.36, 1.53)
Asthma ( ebi-a- GCST90014325 )		MR Egger	65	0.3407	0.07590	3.12E-05	1.41 (1.21, 1.63)
Asthma ( ebi-a- GCST90014325 )		Simple mode	65	0.2518	0.09838	0.012	1.29 (1.06, 1.56)
Asthma ( ebi-a- GCST90014325 )		Weighted median	65	0.3698	0.04294	7.18E-18	1.45 (1.33, 1.57)
Asthma ( ebi-a- GCST90014325 )		Weighted mode	65	0.3482	0.07158	7.78E-06	1.42 (1.23, 1.63)
Asthma ( ebi-a- GCST90014325 )		Inverse	18	0.3022	0.03858	4.72E-06	1.35

GCST006862)		variance		83474	5381	-15	(1.25,
		weighted					1.46)
Asthma ( ebi-a-	MR Egger	18	0.2120	0.13430	0.134	1.24	
GCST006862)			9039	7392		(0.95,	1.61)
Asthma ( ebi-a-	Simple mode	18	0.2493	0.08367	0.008	1.28	
GCST006862)			573	6473	41	(1.09,	1.51)
Asthma ( ebi-a-	Weighted	18	0.2704	0.04507	1.97E	1.31	
GCST006862)	median		95937	9563	-09	(1.20,	1.43)
Asthma ( ebi-a-	Weighted	18	0.2546	0.06737	0.001	1.29	
GCST006862)	mode		92559	5612	49	(1.13,	1.47)

472 SNP, Single Nucleotide Polymorphism; OR, Odds Ratio; CI, Confidence Interval.

473

474 Table 2 Mendelian randomization analysis heterogeneity test for the association between Asthma  
475 and Chronic obstructive pulmonary disease

Exposure	Q	Q df	Cochran Q p- value	I <sup>2</sup> (%)
Asthma ( ebi-a-GCST006862)	34.45016675	17	0.007340785	50.65
Asthma ( ebi-a-GCST90014325)	80.35204633	64	0.081408939	20.35



476

477 Table 3 Mendelian randomization analysis of horizontal pleiotropy for the association between

478 Asthma and Chronic obstructive pulmonary disease

Exposure	MR-Egger intercept	Standard error	P value
Asthma ( ebi-a-GCST90014325 )	0.001909126	0.005021459	0.705080595

479

480 Table 4 Mendelian randomization analysis of Asthma on Chronic obstructive pulmonary disease

481 Steiger directionality test

Exposure	Outcome	SNP exposure	r2	SNP outcome	r2	Correct causal direction	Steiger P value
Asthma ( ebi-a-GCST90014325 )	Chronic obstructive pulmonary disease	0.010916669		0.000575918		TRUE	0

482 SNP, Single Nucleotide Polymorphism; r2, 方差解释率。

483

484 Table 5 Results of reverse causal Mendelian randomization analysis of Chronic obstructive

485 pulmonary disease and Asthma.

Exposure	Outcome	Method	Number of	Standard error	P value
			$\beta$		



486 SNP, Single Nucleotide Polymorphism.

487

488 Table 6 The results of multivariable Mendelian randomization analysis on the impact of Asthma and

489 Chronic obstructive pulmonary disease

Model	Exposure	Outcome	nSNP	Beta	SE	Pvalue	OR (95%CI)
Model 1	Eosinophil cell count	Chronic obstructive pulmonary disease	398	0.037394501	0.03433414	0.276094521	1.038(1.110,0.971)
	Asthma	Chronic obstructive pulmonary disease	34	0.362836557	0.036274363	1.49E-23	1.437(1.339,1.543)
Model 2	Past tobacco smoking	Chronic obstructive pulmonary disease	77	-0.737199808	0.087208236	2.83E-17	0.478(0.403,0.568)
	Asthma	Chronic obstructive pulmonary disease	64	0.37334249	0.029146487	1.46E-37	1.453(1.372,1.538)
Model 3	Falling risk	Chronic obstructive pulmonary disease	2	0.117250627	0.228771877	0.608285706	1.124(0.718,1.761)
	Asthma	Chronic obstructive pulmonary disease	70	0.366839717	0.028134419	7.36E-39	1.443(1.366,1.525)

490 Model, Multivariable MR analysis of Asthma and Eosinophil cell count on Chronic obstructive

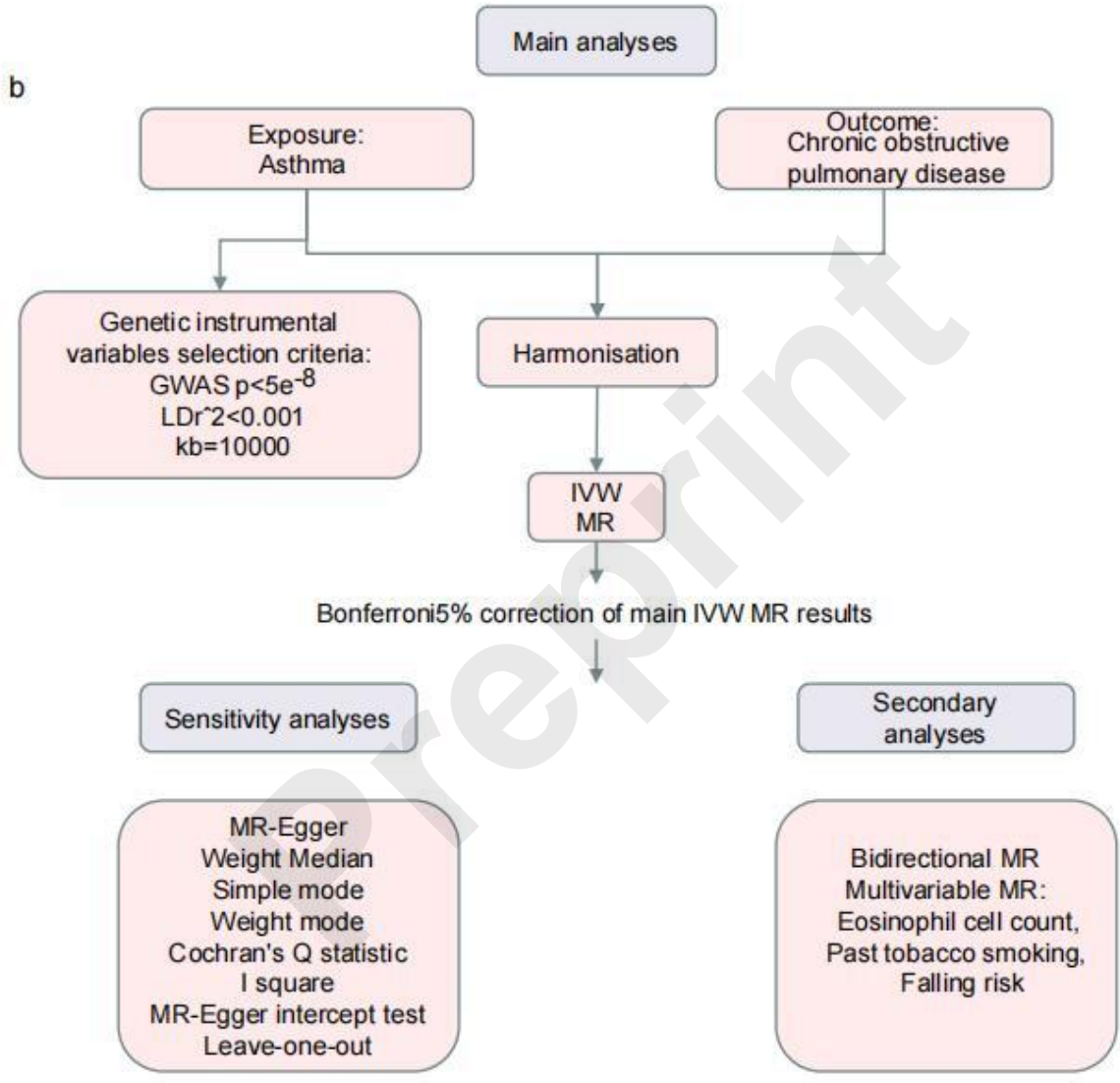
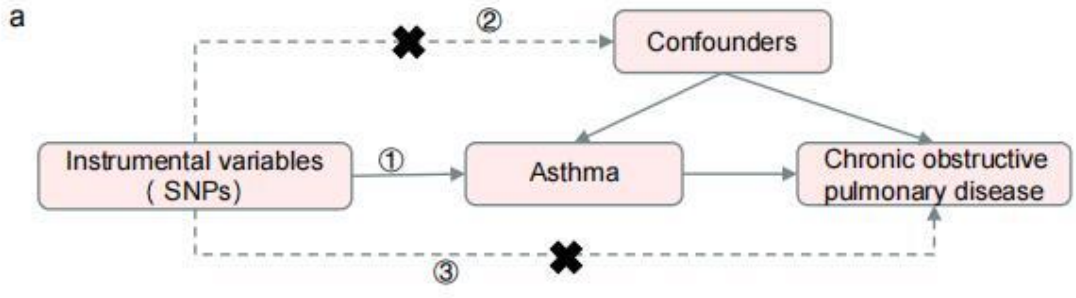
491 pulmonary disease; Model 2, Multivariable MR analysis of Asthma and Past tobacco smoking on

492 Chronic obstructive pulmonary disease; Model 3, Multivariable MR analysis of Asthma and Falling

493 risk on Chronic obstructive pulmonary disease. MR, Mendelian Randomization; SNPs: Single

494 Nucleotide Polymorphisms.

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**Table 1 Estimates of Mendelian randomized causal effects of Asthma and Chronic obstructive pulmonary disease .**

Exposure		Method	Number of SNPs	$\beta$	Standard error	P value	OR
Asthma (GCST90014325)	ebi-a-	Inverse variance weighted	65	0.3671	0.02987	9.99E-35	1.44 (1.36, 1.53)
Asthma (GCST90014325)	ebi-a-	MR Egger	65	0.3407	0.07590	3.12E-05	1.41 (1.21, 1.63)
Asthma (GCST90014325)	ebi-a-	Simple mode	65	0.2518	0.09838	0.0128	1.29 (1.06, 1.56)
Asthma (GCST90014325)	ebi-a-	Weighted median	65	0.3698	0.04294	7.18E-18	1.45 (1.33, 1.57)
Asthma (GCST90014325)	ebi-a-	Weighted mode	65	0.3482	0.07158	7.78E-06	1.42 (1.23, 1.63)
Asthma (GCST006862)	ebi-a-	Inverse variance weighted	18	0.3022	0.03858	4.72E-15	1.35 (1.25, 1.46)
Asthma (GCST006862)	ebi-a-	MR Egger	18	0.2120	0.13430	0.134	1.24 (0.95, 1.61)
Asthma (GCST006862)	ebi-a-	Simple mode	18	0.2493	0.08367	0.00841	1.28 (1.09, 1.51)

Asthma	(	ebi-a-	Weighted	18	0.2704	0.04507	1.97E	1.31
GCST006862)			median		95937	9563	-09	(1.20,
								1.43)
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								1.47)

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SNP, Single Nucleotide Polymorphism; OR, Odds Ratio; CI, Confidence Interval.

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**Table 2 Mendelian randomization analysis heterogeneity test for the association between Asthma and Chronic obstructive pulmonary disease**

Exposure	Q	Q df	Cochran Q p-value	I <sup>2</sup> (%)
Asthma (ebi-a-GCST006862)	34.45016675	17	0.007340785	50.65
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Preprint



**Table 3 Mendelian randomization analysis of horizontal pleiotropy for the association between Asthma and Chronic obstructive pulmonary disease**

Exposure	MR-Egger intercept	Standard error	P value
Asthma (ebi-a-GCST90014325)	0.001909126	0.005021459	0.705080595

Preprint

**Table 4 Mendelian randomization analysis of Asthma on Chronic obstructive pulmonary disease Steiger directionality test**

Exposure	Outcome	SNP $r^2$ exposure	SNP $r^2$ outcome	Correct	Steiger
				causal directio n	P value
Asthma (ebi-a- GCST90014325 )	Chronic obstructive pulmonary disease	0.010916669	0.000575918	TRUE	0

SNP, Single Nucleotide Polymorphism;  $r^2$ , 方差解释率。

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**Table 5 Results of reverse causal Mendelian randomization analysis of Chronic obstructive pulmonary disease and Asthma.**

Exposure	Outcome	Method	Number of SNPs	$\beta$	Standard error	P value
Chronic obstructive pulmonary disease	Asthma (ebi-a-GCST900143 25)	MR Egger	10	-	0.092977	0.178926
				0.136972	815	771
Chronic obstructive pulmonary disease	Asthma (ebi-a-GCST900143 25)	Weighted median	10	0.003900	0.027606	0.887648
				208	237	78
Chronic obstructive pulmonary disease	Asthma (ebi-a-GCST900143 25)	Inverse variance weighted	10	0.055008	0.046306	0.234860
				497	146	669
Chronic obstructive pulmonary disease	Asthma (ebi-a-GCST900143 25)	Simple mode	10	0.017520	0.043791	0.698426
				151	52	597
Chronic obstructive pulmonary disease	Asthma (ebi-a-GCST900143 25)	Weighted mode	10	0.004347	0.025058	0.866105
				437	646	254

SNP, Single Nucleotide Polymorphism.

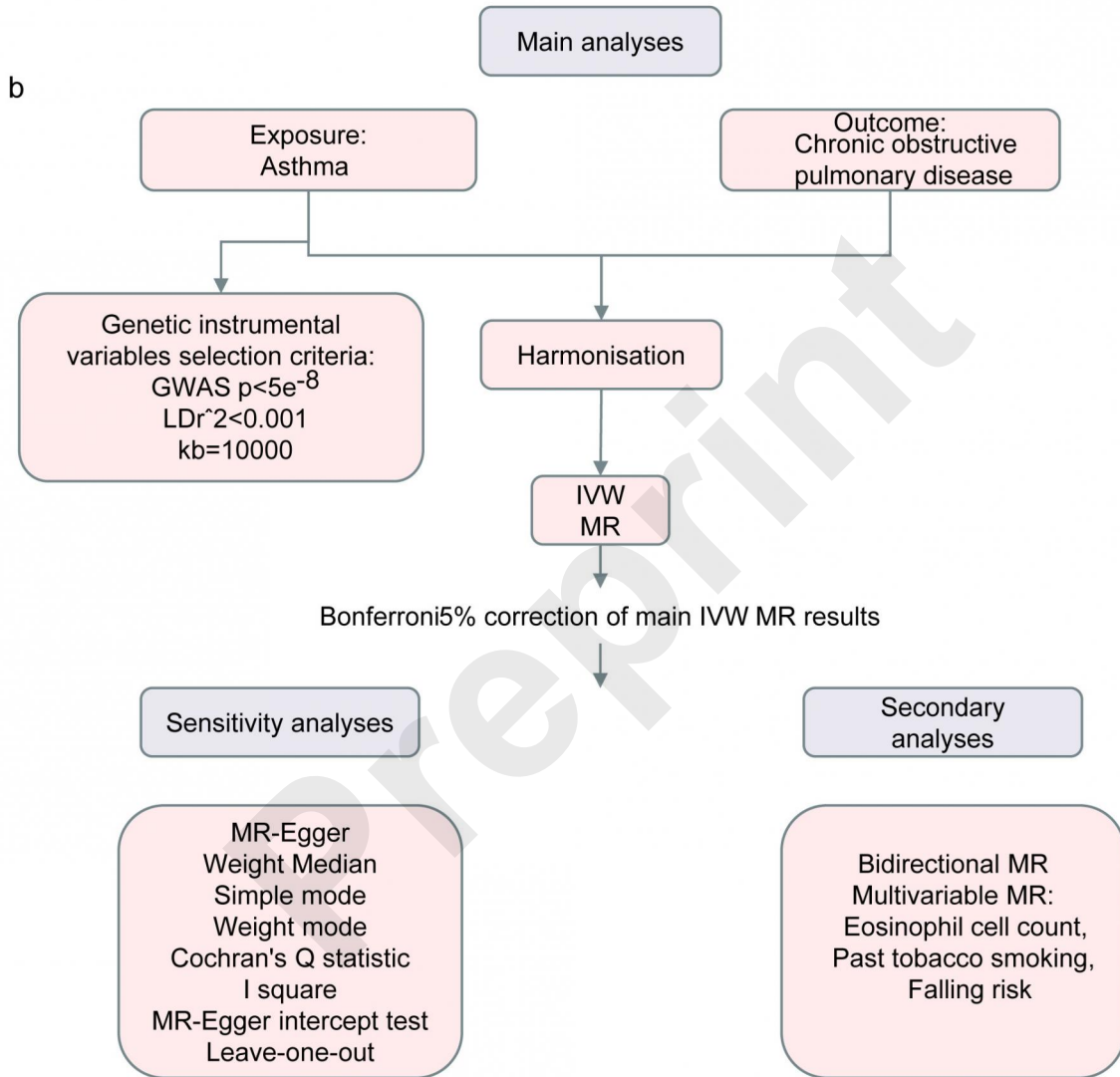
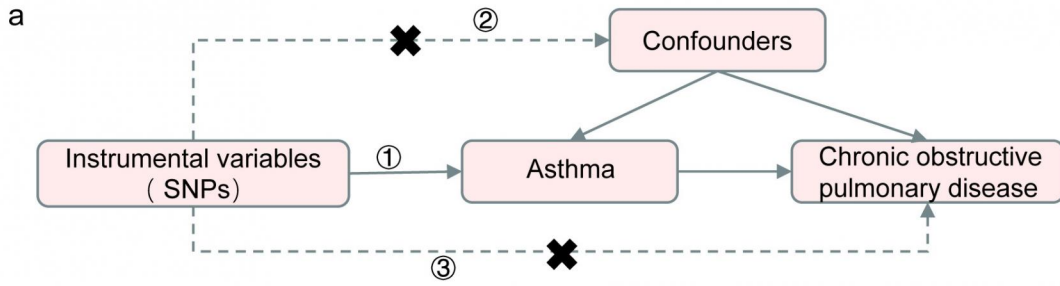
**Table 6 The results of multivariable Mendelian randomization analysis on the impact of Asthma and Chronic obstructive pulmonary disease**

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Model 1, Multivariable MR analysis of Asthma and Eosinophil cell count on Chronic obstructive pulmonary disease; Model 2, Multivariable MR analysis of Asthma and Past tobacco smoking on Chronic obstructive pulmonary disease; Model 3, Multivariable MR analysis of Asthma and Falling risk on Chronic obstructive pulmonary

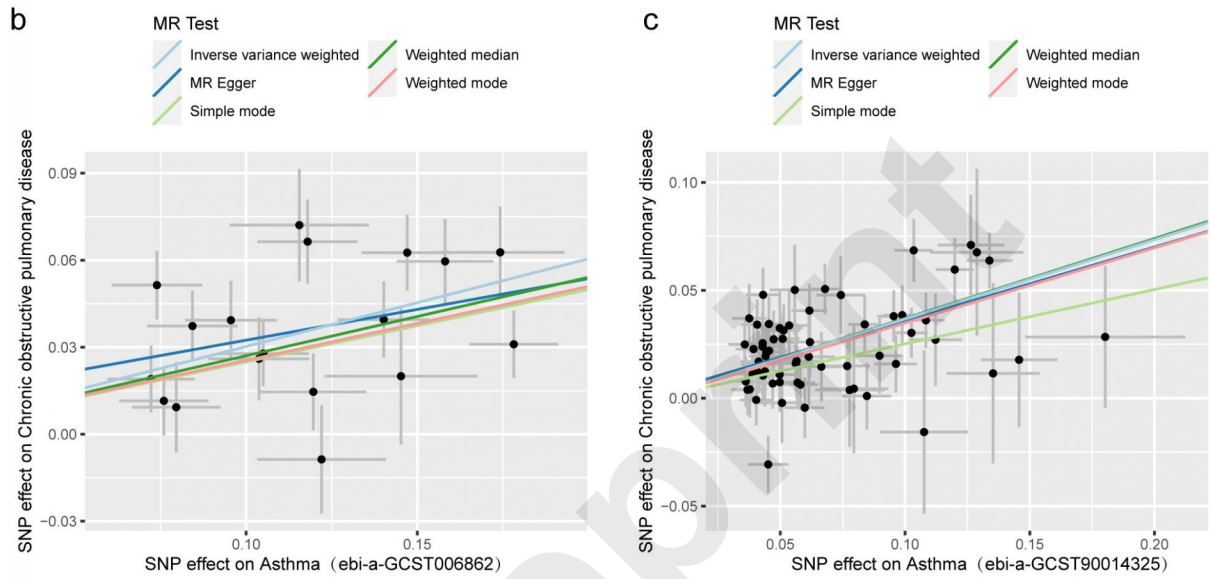
disease. MR, Mendelian Randomization; SNPs: Single Nucleotide Polymorphisms.

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**a**

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Exposure	method	$\beta$	OR95CI		P value
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