

# Metabolic Insights into Non-Alcoholic Fatty Liver Disease: Impact of Gut Microbiota and TCM Constitutions

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## Keywords

Gut Microbiota, Non-Alcoholic Fatty Liver Disease, Metabolic Pathways, Personalized Treatment, Traditional Chinese Medicine Constitution, 16S rRNA Sequencing

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## Abstract

### Introduction

Metabolic dysfunction-associated steatotic liver disease (MASLD) is intricately linked to gut microbiota dysbiosis and is influenced by Traditional Chinese Medicine (TCM) constitution theory. This study explores the fecal microbiota composition in MASLD patients with varied TCM constitutions to unravel the molecular mechanisms underlying the disease.

### Material and methods

In this study, 51 MASLD patients and 46 healthy controls were classified into seven TCM constitution groups based on high-throughput sequencing of fecal samples. Analyses were conducted to compare microbial communities, metabolite profiles, and metabolic pathways among these constitution groups. The relationships between specific microbiota and biochemical indicators were evaluated using LEfSe and Spearman correlation analyses.

### Results

MASLD patients exhibited reduced fecal microbiota diversity compared to healthy controls, with significant variations in microbial structure among TCM constitution groups. The damp-heat constitution (DHC) and phlegm-dampness constitution (PDC) groups displayed enriched populations of specific microbial species, distinct bacterial taxa, and unique metabolite profiles. Multiple significant pathways related to amino acid, fatty acid, and carbohydrate metabolism were identified through metabolic pathway enrichment analysis. Significant correlations were observed between specific microbial taxa and biochemical indicators such as triglycerides, total cholesterol, alanine aminotransferase (ALT), and aspartate aminotransferase (AST).

### Conclusions

This study highlights the close association between alterations in gut microbiota, TCM constitution differences, and MASLD progression. The findings suggest that changes in microbial diversity and metabolite profiles may play a crucial role in MASLD pathogenesis. The identification of constitution-specific microbial variations provides promising targets for personalized interventions and treatment strategies for MASLD.

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3 **Running Title:** TCM Constitution and Gut Microbiota in MASLD

4

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Preprint

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## Introduction

Metabolic dysfunction-associated steatotic liver disease (MASLD) is the most prevalent chronic metabolic stress-related liver disease worldwide, characterized by hepatic steatosis and lipid accumulation [1], which can progress to liver cirrhosis and even hepatocellular carcinoma (HCC) [2]. The global prevalence of MASLD is approximately 25% [3, 4], with a rising trend in China, where the prevalence currently ranges from 15% to 30% [5], making it a significant public health concern. The multifactorial etiology of MASLD involves genetic, metabolic, and environmental factors, with the gut-liver axis playing a critical role in its pathogenesis. Enhancing the accuracy of early diagnosis and timely intervention is essential to prevent disease progression and reduce the secondary risk of HCC [6]. Furthermore, studies have shown that the gut microbiota and its metabolites play pivotal roles in regulating metabolic processes, immune responses, and host defenses, closely linking them to the development and progression of MASLD [7, 8]. Moreover, increasing evidence indicates that environmental exposure to bisphenol compounds (such as BPA and its derivatives) can induce hepatic fat accumulation, elevate the risk of MASLD/MAFLD, and is closely associated with gut microbiota dysbiosis [9-11].

Recent studies have shown that the gut microbiota in MASLD patients exhibits significant dysbiosis, such as an increased *Firmicutes/Bacteroidota* ratio and reduced levels of *Bacteroidota* and *Ruminococcaceae* [8, 12]. Fecal microbiota transplantation experiments in high-fat diet-induced MASLD mouse models further confirm the critical role of gut microbiota in the pathogenesis of MASLD [13]. Additionally, gut microbial metabolites, including short-chain fatty acids (SCFAs, such as acetate and butyrate), trimethylamine (TMA), bile acids (BA), and ethanol, directly contribute to the mechanisms underlying MASLD [14, 15]. For example, SCFAs promote fatty acid oxidation and inhibit hepatic lipid synthesis by activating adenosine monophosphate-activated protein kinase (AMPK), thereby reducing hepatic fat accumulation [16]. These findings provide new perspectives for MASLD prevention and treatment. However, current research primarily focuses on microbial differences, lacking subgroup analyses based on individual patient

57 characteristics.

58 In Traditional Chinese Medicine (TCM) theory, the constitution is considered the foundation of  
59 an individual's health, shaped by both innate endowment and acquired environment and is  
60 characterized by relative stability and plasticity. Wang Qi's nine-type TCM constitution  
61 classification system and diagnostic criteria hold significant importance in clinical prevention and  
62 treatment. Studies have revealed that MASLD patients predominantly present with constitutions  
63 such as yang deficiency constitution (YADC) and phlegm dampness, while liver qi stagnation  
64 constitution (QSC), spleen deficiency, and internal damp-heat constitution (DHC) are also common  
65 patterns [17]. The TCM concept that "the internal must have form, the external must have  
66 manifestation" (from *Huangdi Neijing*) suggests that the constitution reflects internal physiological  
67 changes and may have potential links with gut microbiota and metabolic characteristics [18, 19].  
68 However, this relationship has yet to be thoroughly investigated in experimental research.

69 This study aims to explore the changes in the composition of the gut microbiota in MASLD  
70 patients with different TCM constitution types and their association with metabolic characteristics.  
71 By employing 16S rRNA high-throughput sequencing technology, the study seeks to reveal the  
72 characteristic differences in the gut microbiota of MASLD patients with different constitution types.  
73 Metabolomics data will be integrated to analyze variations in metabolite types and metabolic  
74 pathways. Through this research, we aim to clarify the microbiota differences between different  
75 constitution types and MASLD, providing a new theoretical foundation for the personalized  
76 treatment of MASLD.

77

## 78 **Materials and Methods**

### 79 **Patient Cohort**

80 Between June 1, 2023, and March 1, 2024, this study enrolled 51 patients with MASLD and 49  
81 age- and sex-matched healthy controls at our hospital. The study followed the ethical principles of

the Declaration of Helsinki and was approved by the Clinical Research Ethics Committee of Changzhou Hospital of Traditional Chinese Medicine, affiliated with Nanjing University of Chinese Medicine (Approval Nos. 170043, B200357). Inclusion and exclusion criteria are detailed in Figure S1. MASLD was diagnosed based on liver biopsy or imaging methods such as abdominal ultrasound and computed tomography, following established diagnostic criteria. Healthy controls had no history or symptoms of MASLD and had not used antibiotics, probiotics, or laxatives within one month before participation. Individuals were excluded if they had fatty liver due to alcohol intake (more than 140 g per week for males or 70 g for females), drug abuse, hereditary diseases, other liver diseases including viral hepatitis or autoimmune liver disease, major chronic illnesses such as malignancy, diabetes, or chronic kidney disease, were pregnant, or were unable to complete stool sample collection or required assessments.

This study optimized constitution-based groups to ensure an adequate sample size and improve statistical power. Due to small sample sizes in certain constitution groups (e.g., QSC, blood stasis constitution (BSC), YADC, yin deficiency constitution (YIDC)), these were consolidated into two groups: DHC and phlegm-dampness constitution (PDC). After adjustment, the effect size was set at 0.7, the significance level at 0.05, and the statistical power at 0.8. Based on these statistical calculations, the sample size of 51 MASLD patients was sufficient to meet the needs of this exploratory study, ensuring the statistical validity of the analyses.

## Clinical Characteristics and Data Collection

The clinical characteristics of MASLD patients and healthy controls were assessed using standardized procedures at Changzhou Traditional Chinese Medicine Hospital, affiliated with Nanjing University of Chinese Medicine. Sample collection was conducted by trained laboratory technicians who adhered strictly to standardized protocols. Fasting venous blood samples were collected from participants in the morning. After collection, laboratory staff immediately centrifuged blood samples to separate the serum, which was then stored at -80°C until further

analysis. Lipid parameters, TG, TC, high-density lipoprotein cholesterol (HDL-C), and low-density lipoprotein cholesterol (LDL-C), were measured using enzymatic methods on a fully automated biochemical analyzer (Beckman Coulter AU5800, USA). Liver function indicators, such as ALT, AST, alkaline phosphatase (ALP), and gamma-glutamyl transferase (GGT), were also analyzed using the same equipment and standardized methods. Clinical nurses recorded patient demographic information, including gender, age, height, and weight, at enrollment, and body mass index (BMI) was calculated. All data collection and testing adhered strictly to the hospital's quality control procedures, ensuring the accuracy and consistency of the results.

116

### 117 **Assessment of TCM Constitution**

During patient enrollment, this study applied *the Classification and Determination of Constitution of Traditional Chinese Medicine* developed by *the Chinese Association of Traditional Chinese Medicine* to assess the TCM constitution of all participants, ensuring scientific rigor and classification accuracy. The specific procedure includes the following steps: First, two TCM experts conduct consultations and observations of the patients, collecting information on tongue coating, complexion, body shape, and pulse condition. Next, participants complete a standardized *Traditional Chinese Medicine Constitution Classification Questionnaire*, where the nine basic constitution characteristics (balanced constitution, qi deficiency constitution (QDC), YADC, YIDC, PDC, DHC, BSC, QSC, and inherited special constitution) are quantified using a Likert 5-point scale. Then, based on the questionnaire scores and clinical manifestations, a unified determination formula is used to classify patients into a specific constitution. For example, if a constitution type scores above 40 points and others score below 30, the patient is classified into that constitution. If multiple constitution types score above 30 points, an expert panel integrates clinical manifestations to comprehensively determine the constitution type. To ensure classification consistency, a subset of patients (n=20) was reassessed within one week, and the results showed a Kappa value of 0.82, indicating high reliability of the classification.

134

## 135 **Sample Collection**

136 Fecal samples were collected for gut microbiota analysis and metabolomics research. The  
137 sample collection took place in a designated collection room at Changzhou Traditional Chinese  
138 Medicine Hospital, affiliated with Nanjing University of Chinese Medicine. Each participant  
139 received detailed instructions on the collection process, and trained professionals assisted with the  
140 procedure. Participants collected fresh fecal samples in a designated area using single-use sterile  
141 sampling tubes to prevent external contamination. After collection, healthcare staff immediately  
142 placed the samples in liquid nitrogen to prevent degradation or metabolic changes in the microbiota.  
143 All samples were stored at -80°C until they were transported to Shenzhen Microbiota Technology  
144 Co. for high-throughput sequencing analysis.

145

## 146 **DNA Extraction and 16S rRNA Sequencing**

147 Total DNA from fecal samples was extracted using the EZNA® Soil Kit (Omega Bio-tek,  
148 Norcross, USA). DNA purity and concentration were measured using the NanoDrop 2000, and  
149 extraction quality was assessed by 1% agarose gel electrophoresis. The extraction process was  
150 strictly controlled in a sterile environment, with all experimental steps carried out in a laminar flow  
151 hood and using sterilized consumables to ensure the integrity and contamination-free status of the  
152 DNA samples. Polymerase chain reaction (PCR) amplification targeted the V3-V4 hypervariable  
153 regions, using primers 338F (5'-ACTCCTACGGGAGGCAGCAG-3') and 806R  
154 (5'-GGACTACHVGGGTWTCTAAT-3'). The 20 µL PCR reaction mixture consisted of 9 µL sterile  
155 double-distilled water, 4 µL 5FastPfu buffer, 2 µL 2.5 mM dNTPs, 0.8 µL of each 5 mM primer, 0.4  
156 µL FastPfu polymerase, and 10 ng of DNA template. The thermal cycling program included an  
157 initial denaturation at 95°C for 3 minutes, followed by 27 cycles of 95°C for 30 seconds, 55°C for  
158 30 seconds, and 72°C for 45 seconds, with a final extension at 72°C for 10 minutes (PCR  
159 instrument: ABI GeneAmp® 9700). PCR products were separated by 2% agarose gel



160 electrophoresis and purified using the AxyPrep DNA Gel Extraction Kit (Axygen Biosciences,  
161 Union City, USA). Elution was performed with Tris-HCl, and the purified products were verified by  
162 2% agarose gel electrophoresis. DNA quantification was performed using the QuantiFluor™-ST  
163 system (Promega, USA). The purified amplicons were subjected to dual-end (PE) 2300 library  
164 construction following the standard protocol for the Illumina MiSeq platform (Illumina, San Diego,  
165 USA). During sequencing, each sample was ensured to have at least 50,000 valid sequence reads to  
166 meet the depth requirements for microbiota diversity analysis.

167

### 168 **Microbiota Analysis via 16S rDNA Sequencing**

169 Sequence read processing, quality control, OTU clustering, and taxonomic assignment were  
170 performed using the QIIME pipeline (v1.9.0). Adapter sequences and low-quality reads were  
171 removed with Trimmomatic (v0.39), ensuring Q30 quality (error rate <0.1%) and discarding reads  
172 shorter than 100 bp. Low-quality bases at the 3' end were trimmed using PRINSEQ (v0.20.4), and  
173 paired-end reads were merged with fastq-join. Sequence quality was assessed using FastQC  
174 (v0.11.9), and chimeric sequences were removed with USEARCH 6.1.544. OTUs were clustered  
175 using UCLUST at 97% similarity and aligned to the Greengenes reference database (v13.8).  
176 Taxonomic classification at the genus level was based on 97% sequence similarity using the  
177 Greengenes (gg\_13\_8) database. Alpha diversity metrics, including the Shannon index, observed  
178 species, and Chao1 index, were calculated in QIIME. Principal Coordinates Analysis (PCoA) using  
179 weighted and unweighted UniFrac distances and PERMANOVA were also conducted in QIIME.  
180 Differential taxa analysis was performed with LEfSe (Galaxy v1.0, The Huttenhower Lab, Harvard  
181 T.H. Chan School of Public Health), using an LDA score threshold of 2.0 and a significance level of  
182  $p < 0.05$  to ensure statistical robustness and biological relevance.

183

### 184 **Statistical Analysis of Clinical Data**

185 Baseline characteristics were analyzed using descriptive statistics. Quantitative variables are

186 presented as standard deviation (SD) or interquartile range (IQR), while categorical variables are  
187 expressed as percentages. Differences in clinical indicators between groups were assessed using the  
188  $\chi^2$  test. Depending on the distribution, the Student's t-test or the Wilcoxon rank-sum test was applied  
189 for continuous variables. Univariate logistic regression was used to estimate crude odds ratios and  
190 their corresponding 95% confidence intervals.

191

## 192 **GC TOF-MS Experiment**

193 GC-TOF-MS analysis was conducted using an Agilent 7000D GC/MS system (Trace  
194 1310-TSQ8000 Evo, Thermo Fisher Scientific, USA) equipped with a TG-5MS capillary column  
195 coated with 5% diphenyl and 95% dimethylpolysiloxane. A 1  $\mu$ L sample was injected in split mode,  
196 with helium as the carrier gas. The front inlet purge flow rate was set at 3 mL/min, and the column  
197 flow rate at 1 mL/min. The oven temperature was initially held at 60°C for 1 minute, then increased  
198 at 10°C/min to 320°C, where it was maintained for 14 minutes. The injector, transfer line, and ion  
199 source temperatures were maintained at 280°C, 280°C, and 250°C, respectively. Electron impact  
200 ionization was used with an ionization energy of -70 eV. Data were acquired in full scan mode ( $m/z$   
201 50-500) at a scan rate of 20 spectra per second, with a solvent delay beginning at 6.27 minutes.  
202 Each sample was analyzed in triplicate, and internal standards were added to normalize peak  
203 intensities and ensure reproducibility.

204

## 205 **GC-MS Data Preprocessing**

206 MS-DIAL software performed peak extraction, baseline filtering, baseline calibration, peak  
207 alignment, deconvolution, and peak identification. Following this, peak area integration and mass  
208 spectrometry matching were carried out. Metabolite identification was performed by comparing  
209 with the NIST 17 and Fiehn databases to ensure the accuracy of the identification results. A data  
210 matrix was then generated, and the raw spectral files underwent preprocessing. The criteria for  
211 differential metabolite selection were fold change (FC) >1.2 or <0.8333, with a  $p$ -value set to <0.05.

212 To enhance the statistical significance of metabolite identification, multiple testing correction was  
213 applied to the selected results using the Benjamini-Hochberg method.

214

## 215 **Microbiota Statistical Analysis**

216 The Shannon index, observed species, and Chao1 index, which are  $\alpha$ -diversity metrics, were  
217 assessed using Student's t-test.  $\beta$ -diversity was measured using UniFrac distance, and statistical  
218 analysis was conducted using the chi-square test or PERMANOVA. Statistical significance was  
219 defined as a  $p$ -value  $\leq 0.05$ .

220

## 221 **Metabolomics Data Analysis**

222 The Wilcoxon rank-sum test and FC analysis assessed differences in fecal metabolites between  
223 the DHC and PDC groups. Differential metabolites were then aligned with metabolic pathways by  
224 comparing them to the Kyoto Encyclopedia of Genes and Genomes (KEGG) database. A pathway  
225 was considered enriched if more than three metabolites were mapped to it, and the enrichment  
226 factor was calculated accordingly.

227

## 228 **Results**

### 229 **Baseline Characteristics of Study Participants and TCM Constitution Classification**

230 This study included 51 MASLD patients and 46 healthy controls. MASLD patients were  
231 classified according to TCM constitution into the following groups: DHC (n=14), PDC (n=28),  
232 QDC (n=3), QSC (n=1), BSC (n=2), YADC (n=1), and YIDC (n=2). Healthy controls did not show  
233 significant skewed constitution traits. Gender, age, and key clinical characteristics of both groups  
234 are summarized in [Table 1](#). MASLD patients had significantly higher BMI than healthy controls.  
235 However, no significant BMI differences were observed among the constitution subgroups ( $p >$   
236  $0.05$ ). Triglyceride (TG) levels were elevated in the MASLD group compared to controls ( $p < 0.05$ ),

237 particularly in the DHC group ( $2.56 \pm 1.41$  mmol/L) and PDC group ( $3.8 \pm 1.67$  mmol/L). HDL-C  
238 levels also differed significantly across constitution groups ( $p < 0.05$ ), with the PDC group showing  
239 the lowest levels ( $0.56 \pm 0.04$  mmol/L) and the DHC group slightly higher ( $1.01 \pm 0.27$  mmol/L).

240 Liver function indicators, including AST and ALT, were elevated in MASLD patients. The  
241 highest ALT was observed in the PDC group ( $57.42 \pm 60.06$  U/L), while the DHC group had lower  
242 levels ( $28.73 \pm 21.09$  U/L;  $p > 0.05$ ). Glucose (GLU) levels were increased in the PDC group ( $9.78$   
243  $\pm 2.07$  mmol/L), though the difference among constitution groups was not statistically significant  
244 ( $p > 0.05$ ). The clinical data indicate distinct metabolic patterns among MASLD patients with  
245 different TCM constitutions, with DHC and PDC groups showing more pronounced changes.

#### 247 **Diversity Changes in the Fecal Microbiota of MASLD Patients**

248 To assess gut microbiota diversity and richness across different TCM constitution groups,  
249  $\alpha$ -diversity analysis was conducted using the Shannon, Ace, Chao1, and Simpson indices (Figure  
250 1A-D). The results showed variability in diversity metrics among constitution groups. The DHC and  
251 PDC groups exhibited higher Chao1 and Shannon indices, indicating greater microbial diversity and  
252 richness, while the QDC group had relatively lower values. Boxplot distributions of the Ace and  
253 Chao1 indices suggested increased species richness in the YIDC group, potentially reflecting  
254 constitution-specific microbial traits. However, none of the differences reached statistical  
255 significance ( $p > 0.05$ ), suggesting overall microbial diversity remained relatively stable across  
256 groups.

257 Principal Component Analysis (PCA) and Non-metric Multidimensional Scaling (NMDS)  
258 based on Bray-Curtis distances were performed to explore differences in microbiota composition.  
259 PCA revealed distinct clustering patterns in the DHC and PDC groups, separating them clearly from  
260 other constitution types. In contrast, groups such as QDC and QSC showed more dispersed  
261 distributions, indicating greater internal heterogeneity in microbiota structure (Figure 2A). NMDS  
262 analysis supported the PCA findings, further confirming the compositional similarity and clustering

263 observed in the DHC and PDC groups (Figure 2B).

264

## 265 **Taxonomic Differences in Microbiota Among Different TCM Constitution Groups**

266 Venn diagram analysis was used to assess the distribution of ASVs (Amplicon Sequence  
267 Variants) across different constitution groups (Figure 3A). The PDC group showed the highest  
268 number of ASVs (8620), followed by DHC (3386), YIDC (843), QDC (736), BSC (529), YADC  
269 (208), and QSC (168). A total of 23 core ASVs were shared across all groups.

270 The relative abundance of microbial taxa was visualized using stacked bar charts. At the  
271 phylum level (Figure 3B), *Bacteroidota*, *Proteobacteria*, and *Firmicutes* were dominant in all  
272 groups. *Bacteroidota* was reduced in the YIDC group, while *Proteobacteria* were notably higher in  
273 the QDC and YIDC groups. *Firmicutes* abundance was relatively increased in YIDC. At the class  
274 level (Figure 3C), *Bacteroidetes*, *Clostridium*, *Negativicutes*, *Gamma Proteobacteria*, and *Bacilli*  
275 were the major taxa. The YIDC group showed lower *Bacteroidota* and higher levels of *Firmicutes*  
276 and *Gamma Proteobacteria*. At the order level (Figure 3E), *Lachnospirales* abundance was elevated  
277 in the QDC and YIDC groups. *Oscillospira* was lowest in the YADC group. *Enterobacterales* was  
278 increased in the QDC, QSC, and YIDC groups. At the genus level (Figure 3D), *Bacteroides* was  
279 predominant in all groups, with higher levels in PDC and DHC. *Blautia* and *Dialister* were enriched  
280 in the QDC group. The YIDC group had the lowest *Faecalibacterium* and higher proportions of  
281 *Gamma Proteobacteria* and *Enterobacterales*. *Lachnoclostridium* was more abundant in PDC and  
282 DHC, while *Prevotella* was enriched in DHC. *Oscillospira* showed the lowest abundance in the  
283 YADC group. These findings indicate distinct genus-level profiles across constitution groups.

284

## 285 **Correlation Between Gut Microbiota and Clinical Biochemical Indicators**

286 LEfSe analysis (with an LDA threshold set at 2) identified bacterial taxa significantly different  
287 across various constitution groups (Figure 4A-B). The results revealed that *Parabacteroides*  
288 characterized the BSC group as the dominant genus, while the YADC group showed the highest

289 abundance of *Clostridium*. The YIDC group had unique bacterial features, with *Cellulosilyticum*,  
290 *Dorea*, and *Prevotella* being the most significant characteristic genera, with LDA scores greater  
291 than 3.

292 Further Spearman correlation analysis was performed to evaluate the relationships between gut  
293 microbiota and clinical biochemical indicators (Figure 4C). At the phylum level, TG, TC, ALT, AST,  
294 and GLU were significantly positively correlated with *Ayanobacteriota* ( $p < 0.05$ ). Additionally, at  
295 the order level, TG and TC were positively correlated with *Cyanobacteria* ( $p < 0.05$ ), while GLU,  
296 ALT, and AST were positively correlated with *Acidobacteria* and *Thermoleophilia* ( $p < 0.05$ ). GLU  
297 was negatively correlated with *Bacilli* ( $p < 0.05$ ). At the genus level, AST positively correlated with  
298 UCG-002 ( $p < 0.05$ ).

299

## 300 Fecal Metabolomic Features of MASLD Patients

301 Figure 5 presents the total ion chromatograms of fecal samples from the PDC and DHC groups,  
302 with the strongest chromatographic peaks set at 100% relative abundance, reflecting the overall  
303 distribution characteristics of the fecal metabolites in both groups. The chromatograms show sharp  
304 peaks and good separation, with most metabolites detected between 5 and 19 minutes. Significant  
305 differences in peak intensities were observed between the groups: the PDC group exhibited higher  
306 peak intensities at 10.44 minutes and 17.35 minutes, while the DHC group showed higher peak  
307 intensities at 6.17 minutes and 18.51 minutes. Metabolite identification was conducted using  
308 MS-DIAL and the NIST database, leading to the identification of 106 metabolites, including small  
309 molecules such as amino acids and fatty acids.

310

## 311 Metabolite Differential Analysis Among Different TCM Constitution Groups

312 The PCA score plot (Figure 6A) shows significant spatial separation between the metabolite  
313 profiles of the DHC and PDC groups, indicating strong metabolic differences between the two  
314 groups. Further analysis using a volcano plot (Figure 6B, Table 2) with the thresholds of  $p < 0.05$

315 and  $FC > 1.5$  or  $< 0.667$  revealed a total of 106 differential metabolites. Among the top 20  
316 metabolites identified were lyxose, arabitol, iminodiacetic acid, quercetin, quinic acid, putrescine,  
317 sucrose, 1-2-4-benzenetriol, succinic acid, 4-hydroxy-3-methoxybenzoic acid, 4-nitro catechol,  
318 glycerol-1-phosphate, inosine, O-phospho-serine, uracil, 4-pyridoxine, hydroquinone, caffeic acid,  
319 gallic acid, and 4-hydroxybenzoic acid. Notably, lyxose, arabitol, and iminodiacetic acid exhibited  
320 significant statistical differences ( $p < 0.05$ ), suggesting their important role in distinguishing the  
321 DHC and PDC groups. Heatmap analysis (Figure 6C) further revealed clear clustering patterns in  
322 the metabolite expression profiles between the DHC and PDC group samples, showing distinct  
323 metabolic patterns between the two groups.

324

## 325 **Functional Annotation of Differential Metabolites and Enrichment of Metabolic Pathways**

326 Metabolic pathway enrichment analysis of differential metabolites between the DHC and PDC  
327 groups identified 15 significantly associated pathways (Figure 7, Table 3). Among them, the  
328 biosynthesis of phenylalanine, tyrosine, and tryptophan (Pathway 1) and the metabolism of glycine,  
329 serine, and threonine (Pathway 2) showed the highest enrichment and topological impact, located in  
330 the upper right quadrant of the pathway topology plot. Pathway 1 and Pathway 2 were both  
331 significantly enriched and exhibited high centrality within the metabolic network, indicating their  
332 potential involvement in the major metabolic distinctions between the DHC and PDC groups. Other  
333 pathways, including ascorbate metabolism and alanine, aspartate, and glutamate metabolism, also  
334 showed moderate enrichment, though with lower topological impact values. These results suggest  
335 that amino acid and energy metabolism pathways are key contributors to the metabolic differences  
336 between the two constitution types.

337

## 338 **Discussion**

339 MASLD is a common metabolic liver disease ( $\geq 5\%$  hepatic fat) unrelated to alcohol [14, 20],

340 affecting 25%-45% of the global population and increasingly younger individuals [21, 22]. This  
341 study, integrating microbiomics and metabolomics, reveals TCM constitution-specific gut and  
342 metabolic signatures in MASLD (DHC, PDC), highlighting microbiota diversity loss and pathway  
343 shifts as key mechanisms, and offering novel insights for personalized intervention.

344 Results showed that MASLD patients exhibited reduced gut microbiota diversity and  
345 significant alterations in microbial composition, including increased relative abundances of  
346 *Firmicutes* and *Proteobacteria*, decreased *Bacteroidetes*, and a disrupted *Firmicutes/Bacteroidota*  
347 ratio [23]. At the constitution subtype level, microbiota profiles differed between DHC and PDC  
348 types. Notably, both groups showed elevated *Proteobacteria*, potentially linked to increased  
349 intestinal permeability and inflammation. From a TCM perspective, this may reflect a microbial  
350 distinction between "excess" and "deficiency" syndromes. Metabolomic analysis further revealed  
351 significant differences in glycine and aspartic acid levels between constitutions, suggesting a role in  
352 oxidative stress and energy metabolism regulation [24].

353 Further studies also confirmed constitution-specific gut microbiota signatures in NAFLD. The  
354 PDC group exhibited more pronounced dysbiosis, characterized by a lower  
355 *Firmicutes/Bacteroidetes* ratio, reduced *Faecalibacterium*, and elevated *Prevotella* features  
356 associated with inflammation and metabolic disruption [25]. Additionally, *Flavonifractor plautii*  
357 and its metabolite phytosphingosine were significantly reduced in PDC individuals, leading to  
358 decreased PPAR $\alpha$  pathway activity in the liver and aggravating lipid metabolic disorders, even in  
359 individuals without overt metabolic abnormalities, indicating a high-risk metabolic profile [26]. In  
360 contrast, DHC patients showed elevated serum bile acids and downregulated protein expression,  
361 suggesting impaired systemic immune regulation and gut-liver axis dysfunction [27].

362 Both PDC and DHC types showed enrichment in amino acid metabolic pathways, particularly  
363 those involving phenylalanine, tyrosine, glycine, and serine pathways implicated in oxidative stress,  
364 energy homeostasis, and inflammation [28]. The PDC group also showed increased metabolites  
365 such as lyxose and arabinol, reflecting enhanced carbohydrate metabolism [29]. Proteomic data



366 further indicated that PDC patients tended to activate JAK–STAT and PI3K-Akt signaling, whereas  
367 DHC patients showed downregulation of immune-related proteins, suggesting constitution-specific  
368 differences in inflammatory and metabolic susceptibility [30]. Collectively, this study elucidates a  
369 constitution-microbiota-metabolism axis in MASLD, demonstrating distinct microbial, metabolic,  
370 and signaling features in DHC and PDC subtypes. These findings support personalized  
371 microbiota-targeted strategies based on constitution, such as modulating specific microbes or  
372 supplementing key metabolites, to enable precise prevention and treatment of MASLD.

373 Despite these novel findings, several limitations exist. First, sample sizes for less common  
374 constitutions (e.g., Qi stagnation, blood stasis, yang deficiency, yin deficiency) were small, and  
375 group merging may have obscured subtle differences. Second, 16S rRNA sequencing has limited  
376 taxonomic resolution and relies on predictive functional annotation, lacking direct functional  
377 evidence [31]. Third, participants were recruited from a single geographic region, and their gut  
378 microbiota may be influenced by local diet, lifestyle, and environment, which limits generalizability.  
379 Previous studies suggest gut microbial changes may precede MASLD progression, emphasizing the  
380 need for time-series and multi-omics approaches [32], alongside standardized dietary assessments to  
381 reduce bias [33].

382 TCM constitution classification also remains partly subjective, despite existing criteria.  
383 Integrating genomics, epigenetics, and immunophenotyping could help identify objective  
384 biomarkers and enhance scientific rigor and global recognition of TCM constitution research [34].  
385 Furthermore, liver function markers like serum albumin should be included, as albumin not only  
386 reflects hepatic synthesis capacity but also exhibits esterase-like activity involved in lipid  
387 metabolism [35]; its reduction in NAFLD is associated with disease progression and dysbiosis [36].  
388 Future research should incorporate metagenomics, transcriptomics, metabolomics, and single-cell  
389 technologies, along with germ-free animals, liver organoids, and in vitro models, to functionally  
390 validate constitution-related microbes and metabolites. Developing MASLD subtyping models  
391 based on TCM constitutions and confirming causality through animal and clinical studies will help

392 bridge TCM theory with precision medicine and promote individualized interventions in MASLD.

393

## 394 **Conclusion**

395 This study revealed significant differences in the gut microbiota composition and metabolic  
396 characteristics of MASLD patients with different TCM constitutions, particularly in DHC and PDC,  
397 showing constitution-specific changes in the microbiota ([Graphic abstract](#)). The associations  
398 between clinical indicators such as blood lipids and liver function with specific microbiota suggest  
399 that gut microbiota diversity and stability may be key factors in the pathology of MASLD. These  
400 findings provide potential microbial targets for constitution-related personalized diagnosis and  
401 treatment of MASLD.

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## 403    **Abbreviations**

404	AMPK: Adenosine Monophosphate-Activated Protein Kinase
405	ALP: Alkaline Phosphatase
406	ALT: Alanine Aminotransferase
407	AST: Aspartate Aminotransferase
408	BA: Bile Acids
409	BMI: Body Mass Index
410	BSC: Blood Stasis Constitution
411	DHC: Damp-Heat Constitution
412	FC: Fold Change
413	GGT: Gamma-Glutamyl Transferase
414	GLU: Glucose
415	HCC: Hepatocellular Carcinoma
416	HDL-C: High-Density Lipoprotein Cholesterol
417	IQR: Interquartile Range
418	KEGG: Kyoto Encyclopedia of Genes and Genomes
419	LDA: Linear Discriminant Analysis
420	LDL-C: Low-Density Lipoprotein Cholesterol
421	MASLD: Metabolic Dysfunction-Associated Steatotic Liver Disease
422	NMDS: Non-Metric Multidimensional Scaling
423	PCA: Principal Component Analysis
424	PCoA: Principal Coordinates Analysis
425	PCR: Polymerase Chain Reaction
426	PDC: Phlegm-Dampness Constitution
427	PE: Dual-End
428	QDC: Qi Deficiency Constitution

- 429 QSC: Qi Stagnation Constitution
- 430 SCFAs: Short-Chain Fatty Acids
- 431 SD: Standard Deviation
- 432 TC: Total Cholesterol
- 433 TCA: Tricarboxylic Acid
- 434 TCM: Traditional Chinese Medicine
- 435 TG: Triglycerides
- 436 TMA: Trimethylamine
- 437 YADC: Yang Deficiency Constitution
- 438 YID: Yin Deficiency Constitution

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## 543 **Figure Legends**

### 544 **Figure 1. Gut Microbiota $\alpha$ -Diversity Analysis.**

545 Note: (A-D) Estimation of species diversity differences across various TCM constitution groups,  
546 using the Simpson index, Chao1 index, Ace index, and Shannon index. TCM: Traditional Chinese  
547 Medicine.

### 548 **Figure 2. Gut Microbiota $\alpha$ -Diversity Analysis.**

549 Note: (A-B) PCoA based on Bray-Curtis distances shows the overall microbiota composition across  
550 the seven groups. The QDC group (red dots), QSC group (dark green dots), PDC group (blue dots),  
551 DHC group (purple dots), BSC group (orange dots), YADC group (pink dots), and YIDC group  
552 (light green dots), with each dot representing an individual sample. QDC: Qi deficiency constitution,  
553 QSC: Qi stagnation constitution, PDC: Phlegm-dampness constitution, DHC: Damp-heat  
554 constitution, BSC: Blood stasis constitution, YADC: Yang deficiency constitution, YIDC: Yin  
555 deficiency constitution.

### 556 **Figure 3. Overview of Gut Microbiota in Different TCM Constitution Groups.**

557 Note: (A) Venn diagram showing the presence of ASVs across the groups. (B-E) Taxonomic  
558 analysis of the microbiota communities.

### 559 **Figure 4. LEfSe Analysis and Correlation Between Gut Microbiota and Cytokines Across** 560 **Different Constitution Groups.**

561 Note: (A) Overview dendrogram of LEfSe analysis; (B) LDA scores of specifically enriched genera  
562 in each group; (C) Spearman correlation analysis of microbiota-cytokine relationships. LDA: Linear  
563 Discriminant Analysis.

### 564 **Figure 5. GC-MS Total Ion Chromatogram Analysis of Fecal Samples from** 565 **Phlegm-Dampness and DHC Groups.**

566 Note: (A) PDC group; (B) DHC group. GC-MS: Gas Chromatography-Mass Spectrometry, PDC:  
567 Phlegm-dampness constitution, DHC: Damp-heat constitution.

### 568 **Figure 6. Differential Fecal Metabolites and Distribution Features Between PDC and DHC**

569 **Groups in MASLD Patients.**

570 Note: (A) PCA of fecal samples from MASLD patients in both groups. SR = DHC group; TS =  
571 PDC group. (B) Volcano plot showing differential ASVs between PDC and DHC groups. (C)  
572 Heatmap illustrating the relative abundance of relevant taxonomic units in the PDC and DHC  
573 groups. PDC: Phlegm-dampness constitution, DHC: Damp-heat constitution, MASLD:  
574 Non-alcoholic fatty liver disease.

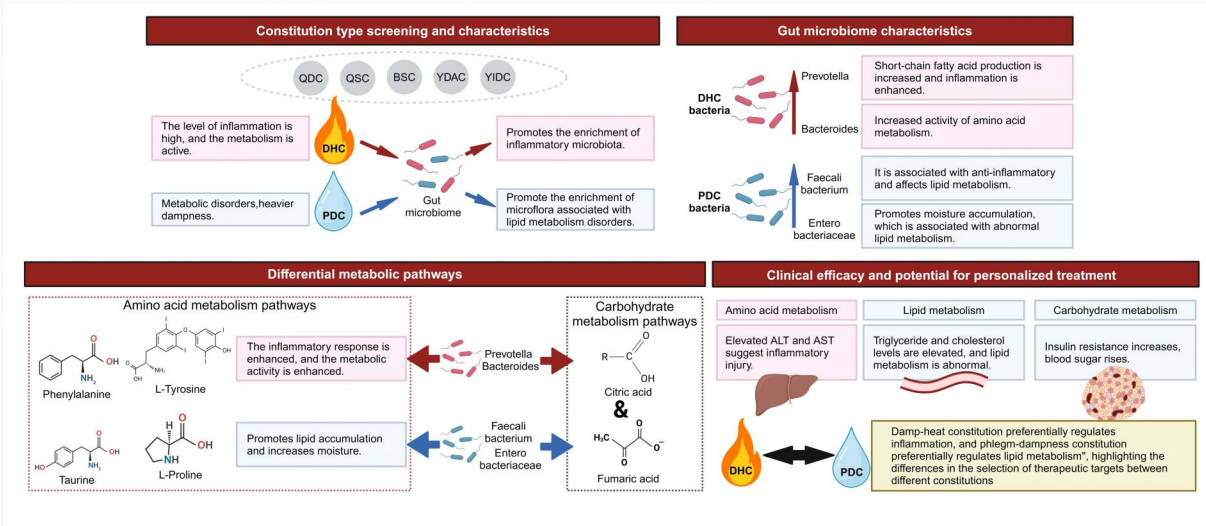
575 **Figure 7. Bubble Chart of Metabolic Pathway Enrichment Analysis for Differential Fecal**  
576 **Metabolites Between PDC and DHC Groups.**

577 Note: This figure presents the metabolic pathway enrichment analysis of differential metabolites  
578 using MetaboAnalyst 5.0. The size of the bubbles represents the topological impact value, and the  
579 color gradient from yellow to red indicates *p*-values from high to low (i.e., increasing significance).  
580 The numbers represent different metabolic pathways:(1) Biosynthesis of phenylalanine, tyrosine,  
581 and tryptophan;(2) Glycine, serine, and threonine metabolism;(3) Ascorbate and aldarate  
582 metabolism;(4) Alanine, aspartate, and glutamate metabolism;(5) Taurine and hypotaurine  
583 metabolism;(6) Arginine and proline metabolism;(7)  $\beta$ -Alanine metabolism;(8) Phenylalanine  
584 metabolism;(9) Acetaldehyde and dicarboxylic acid metabolism;(10) Cysteine and methionine  
585 metabolism;(11) Tyrosine metabolism;(12) Tricarboxylic acid cycle (TCA cycle);(13) Arginine  
586 biosynthesis;(14) Pyrimidine metabolism;(15) Glutathione metabolism. The selection criteria were  
587  $p < 0.05$  and an impact value  $> 0.1$ . PDC: Phlegm-dampness constitution, DHC: Damp-heat  
588 constitution.

589 **Graphic abstract. Schematic Diagram of the Differences in Gut Microbiota and Metabolic**  
590 **Pathways Between Damp-Heat and PDC in MASLD Patients.**

591 **Figure S1. Inclusion and Exclusion Criteria for Study Participants.**

592



**Table 1. Characteristics of the study participants.**

Characteristics	Qsc(n=14)	DHC(n=28)	BSC(n=3)	YIDC(n=2)	PDC(n=2)	QDC(n=2)	YADC(n=2)	<i>p-value</i>
Sex								0.269
Male	1	9	13	2	0	0	1	
Female	2	5	15	1	1	2	0	
Age	48.57±14.92	50.11±12.33	65.67±8.02	53±1.21	65±5.66	61±2.13	65±2.83	0.164
weight(kg)	75.04±16.28	71.96±11.23	76.03±17.53	73.37±0.04	57.95±6.43	83.47±16.22	64±5.66	0.484
BMI (kg/m <sup>2</sup> )	26.23±4.01	25.59±3.51	27.52±3.72	33.97±0	22.9±2.14	28.51±5.09	26.63±4.52	0.073
TC	4.89±1.32	4.89±1.31	4.55±0.96	4.32±0.04	3.55±0.84	4.75±0.09	5.18±0.25	0.822
TG	2.29±1.29	2.56±1.41	1.91±0.26	5.62±0.04	3.8±1.67	1.1±0.48	1.44±0.13	0.014
HDL-C	1.11±0.22	1.01±0.27	1.04±0.1	0.61±0.04	0.56±0.04	1.05±0.18	1.24±0.21	0.02
LDL-C	2.74±1.21	3.21±1.31	2.29±0.36	2.57±0.04	2.22±0.78	3.07±0.07	3.33±0.35	0.695
GLU	6.37±2.15	7.96±3.46	5.96±1.55	4.56±0.04	9.78±2.07	4.72±0.76	8.4±1.75	0.234
ALT	26.25±22.19	28.73±21.09	33.67±31.56	14.97±0.04	26.5±14.85	57.42±60.06	19±2.83	0.609
AST	19.36±6.29	20.08±7.71	26±22.52	15.97±0.04	26±7.07	25.97±18.34	19±0.23	0.721

**Table 2. Fecal metabolomic analysis of differential metabolites in DHC and PDC groups.**

NO.	Metabolites	FondChang	PValue
		DHC/PDC	PDC/DHC
1	lyxose	0.48965	0.010618
2	arabitol	0.31528	0.033477
3	iminodaceticacid	0.57179	0.034533
4	gentisicacid	0.51515	0.058056
5	quinicacid	0.094409	0.06064
6	putrescine	1.8711	0.060696
7	sucrose	0.44471	0.067313
8	1-2-4-benzenetriol	0.67369	0.067334
9	succinicacid	0.27505	0.072758
10	4-hydroxy-3-methoxybenzoicacid	0.37971	0.07803
11	4-nitrocatechol	1.8635	0.08902
12	glycerol-1-phosphate	0.71656	0.10617
13	xanthosine	0.49592	0.11173
14	O-phosphoserine	1.5873	0.13149
15	uracil	0.89585	0.13815
16	4-pyridoxicacid	0.20601	0.14978
17	pyrogallol	0.15497	0.15134
18	caffeicacid	0.53365	0.20037
19	gallicacid	0.21349	0.20443

20	4-hydroxybenzoicadd	0.83878	0.21276
21	3-(3-hydroxyphenyl)propionicacid	0.82316	0.21422
22	mannitol	0.67599	0.22035
23	3-hydroxypropionicadd	0.61144	0.22793
24	3-phenyllacticacid	1.0008	0.25102
25	sedoheptulose	22.023	0.25135
26	1-hexadecanol	0.29505	0.26001
27	threonine	1.1805	0.26133
28	myo-inositol	0.48606	0.26161
29	taurine	1.5051	0.26594
30	catechin	0.11953	0.28396
31	trans-3-hydroxy-L-proline	2.5432	0.28397
32	3-4-dihydroxybenzoicacd	0.16982	0.30015
33	tyramine	0.82997	0.32175
34	daidzein	0.10697	0.34115
35	N-acetyl-D-galactosamine	0.85874	0.34495
36	N-methylalanine	0.48751	0.35057

---

**Table 3. Results of pathway enrichment analysis of differential metabolites in serum samples.**

No.	pathway	P	Impact	Metabolites
1	Phenylalanine,tyrosineand tryptophan biosynthesis	0.0095387	1	L-Phenylalanine;L-Tyrosine
2	Glycine,serine and threonine metabolism metabolism	0.00026861	0.64073	L-Serine;Glycine,O-Phosphorylated L-Serine;Sarcosine;L-Threonine;D-Glycerate;L-Cystcine
3	Ascorbate and aldarate metabolism	0.0047181	0.52381	Inositol;D-Glucuronide:D-Gluconate
4	Alanine,aspartate and glutamate metabolism	0.00072173	0.50962	L-Aspartic acid;;L-Glutamic acid;4-Aminobutyate;Citrate,Fumarate,Succinate
5	Taurine and hypotaurine metabolism	0.039993	0.42857	L-Cysteine;Taurine
6	Arginine and proline metabolism	0.0028753	0.41627	4-Aminobutyrate;putrescine; trans-3-Hydroxy-L-proling;L-Proline,
7	beta-Alanine metabolism	0.0093132	0.39925	L-Glutamic acid:L-Omithine 3-Hydroxypropionate;β-Alanine L-Aspartic Acid;uracil
8	Phenylalanine metabolism	0.039993	0.35714	L-Phenylalanine;L-Tyrosine
9	Glyoxylate and dicarboxylate metabolism	0.0015238	0.25927	Citrate;(S)-Malic Acid;L-Serine;Glydine; L-GlutamicAcid;D-Glycerate
10	Cystaineand methionine mectabolism	0.043963	0.22222	L-serine;L-methioning,L-cysteine; O-phosphorylated L-serine
11	Tyrosine metabolism	0.026728	0.20072	L-adranaline;L-tyrosine:tyramine;fumarate; 2,5-dihydroxybenzoate
12	Citate cycle (TCA cycle)	0.0077773	0.19704	Succinate (S)-Malate Citate,Fumarate

13	Arginine biosynthesis	0.00015406	0.17766	L-Glutamic Acid;L-Aspartic Acid;L-Omithine;Urea;Fumarate
14	Pyrimidine metabolism	0.019897	0.17576	Uridine;Thymine;Orotate;Uracil; $\beta$ -Alanine
15	Glutathione metabolism	0.00072173	0.1261	Glycine;L-Cysteine;L-Glutamic acid;Methylphenidate L-Omithine:Putescine

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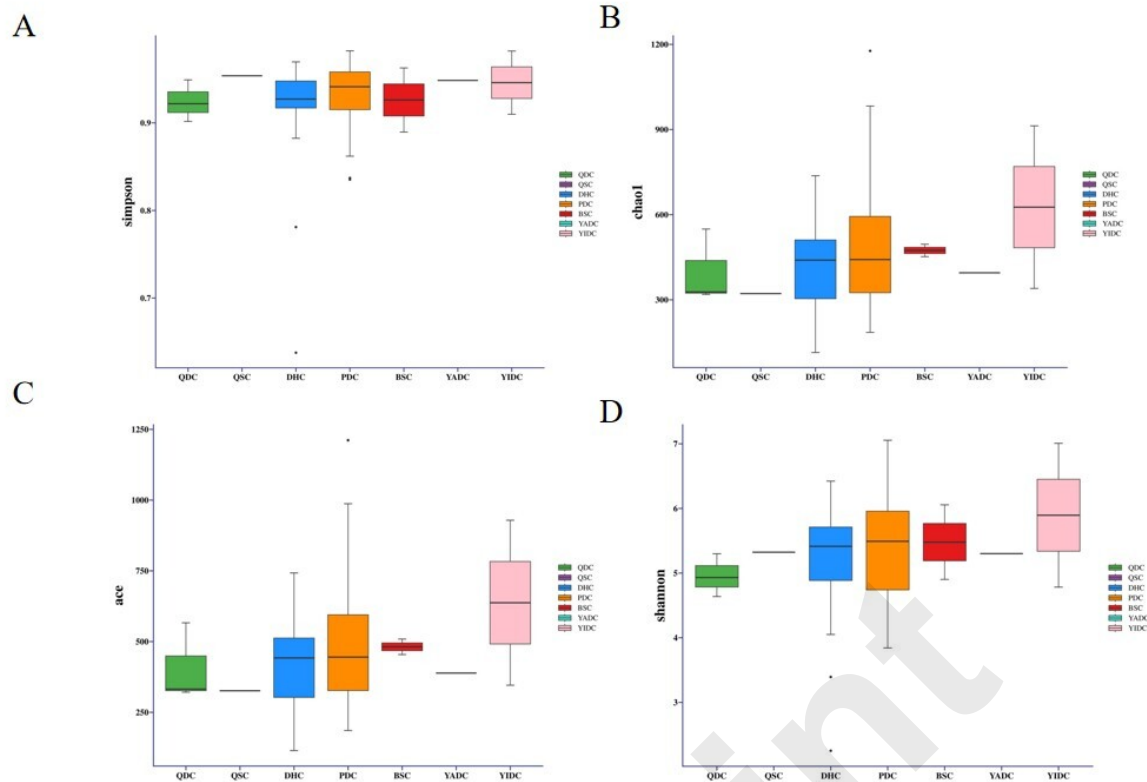


Figure 1. Gut Microbiota  $\alpha$ -Diversity Analysis.

Note: (A-D) Estimation of species diversity differences across various TCM constitution groups, using the Simpson index, Chao1 index, Ace index, and Shannon index. TCM: Traditional Chinese Medicine.

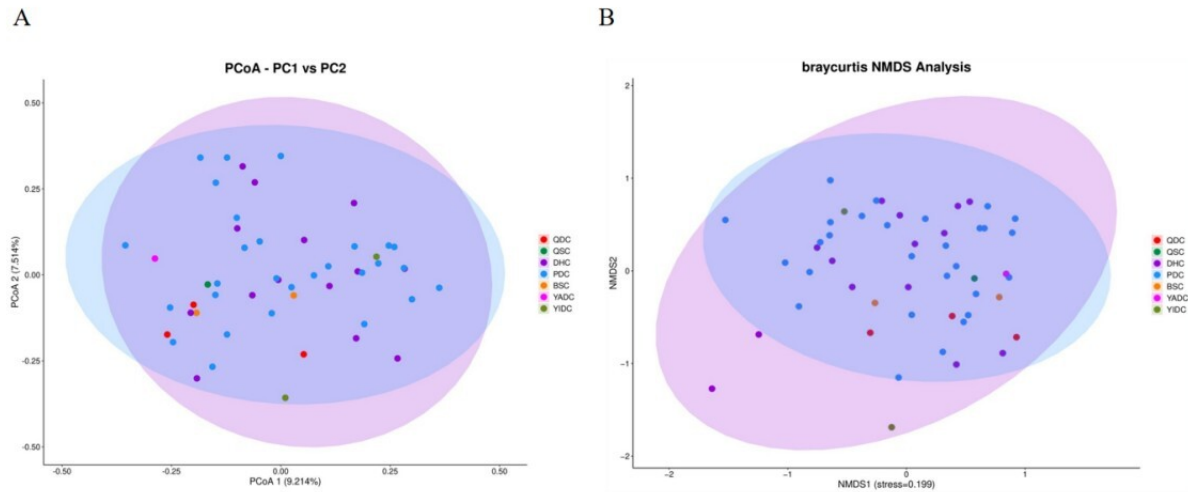


Figure 2. Gut Microbiota α-Diversity Analysis.

Note: (A-B) PCoA based on Bray-Curtis distances shows the overall microbiota composition across the seven groups. The QDC group (red dots), QSC group (dark green dots), PDC group (blue dots), DHC group (purple dots), BSC group (orange dots), YADC group (pink dots), and YIDC group (light green dots), with each dot representing an individual sample. QDC: Qi deficiency constitution, QSC: Qi stagnation constitution, PDC: Phlegm-dampness constitution, DHC: Damp-heat constitution, BSC: Blood stasis constitution, YADC: Yang deficiency constitution, YIDC: Yin deficiency constitution.

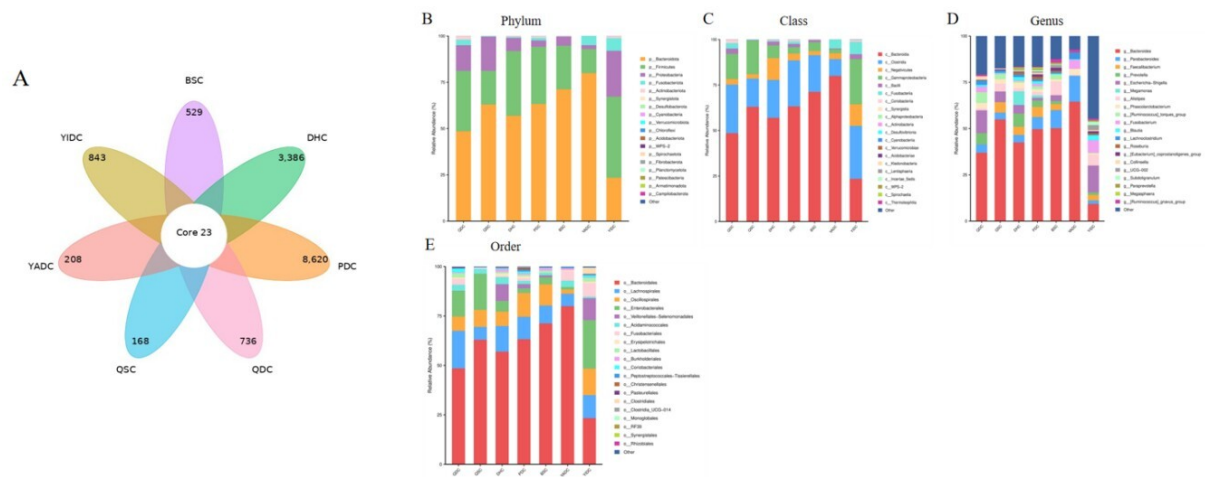
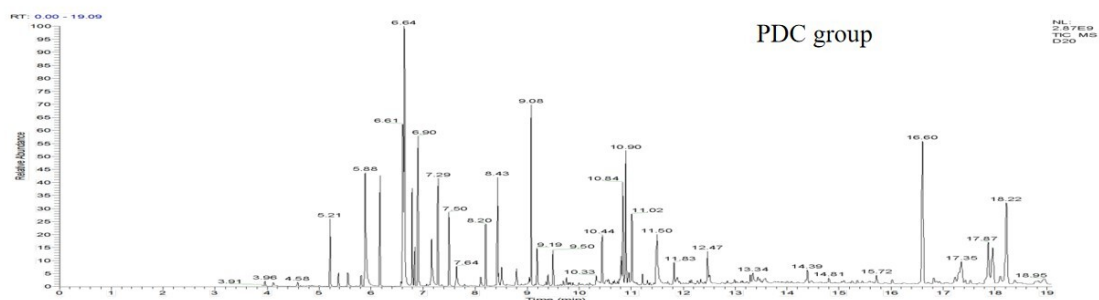


Figure 3. Overview of Gut Microbiota in Different TCM Constitution Groups.

Note: (A) Venn diagram showing the presence of ASVs across the groups. (B-E) Taxonomic analysis of the microbiota communities.



A



B

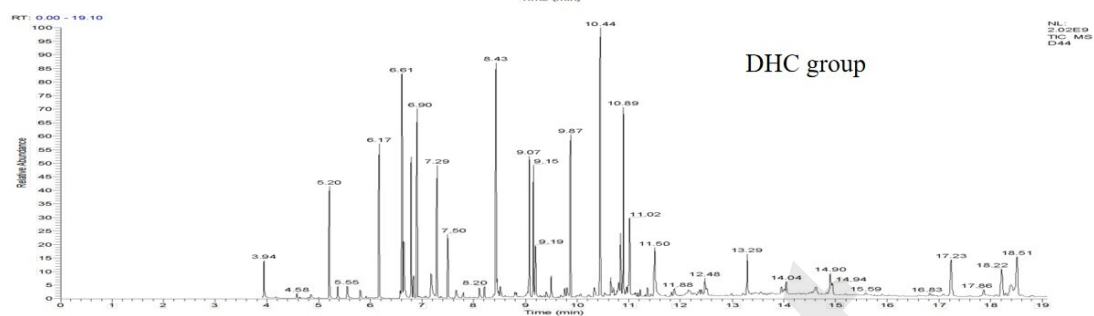


Figure 5. GC-MS Total Ion Chromatogram Analysis of Fecal Samples from Phlegm-Dampness and DHC Groups.

Note: (A) PDC group; (B) DHC group. GC-MS: Gas Chromatography-Mass Spectrometry, PDC: Phlegm-dampness constitution, DHC: Damp-heat constitution.

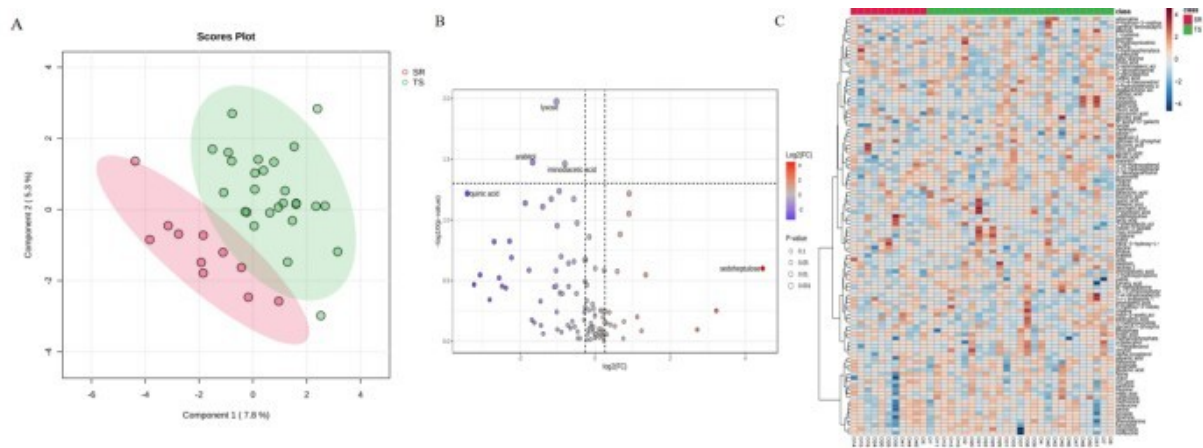


Figure 6. Differential Fecal Metabolites and Distribution Features Between PDC and DHC Groups in MASLD Patients.

Note: (A) PCA of fecal samples from MASLD patients in both groups. SR = DHC group; TS = PDC group. (B) Volcano plot showing differential ASVs between PDC and DHC groups. (C) Heatmap illustrating the relative abundance of relevant taxonomic units in the PDC and DHC groups. PDC: Phlegm-dampness constitution, DHC: Damp-heat constitution, MASLD: Non-alcoholic fatty liver disease.

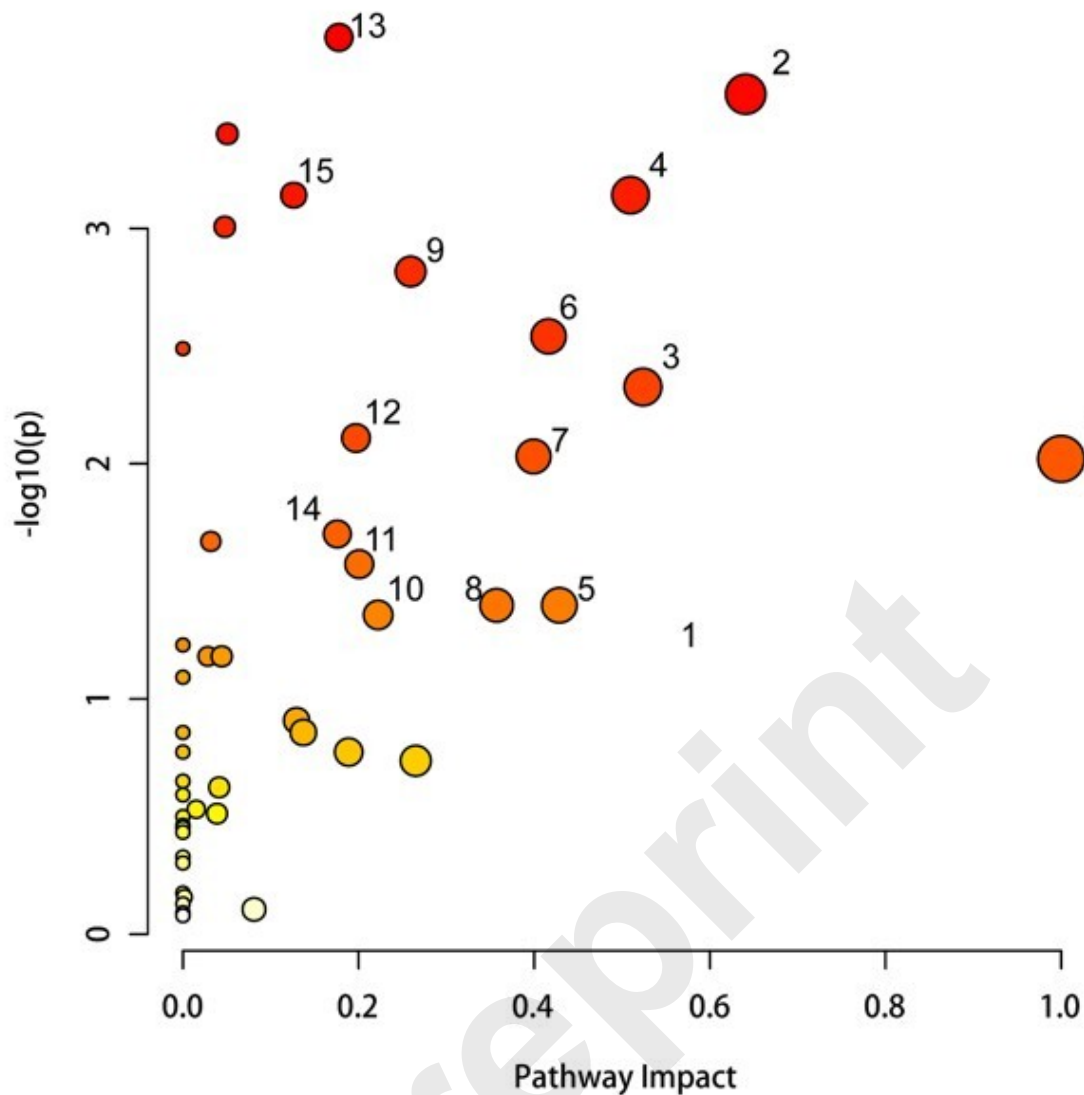


Figure 7. Bubble Chart of Metabolic Pathway Enrichment Analysis for Differential Fecal Metabolites Between PDC and DHC Groups.

Note: This figure presents the metabolic pathway enrichment analysis of differential metabolites using MetaboAnalyst 5.0. The size of the bubbles represents the topological impact value, and the color gradient from yellow to red indicates p-values from high to low (i.e., increasing significance). The numbers represent different metabolic pathways: (1) Biosynthesis of phenylalanine, tyrosine, and tryptophan; (2) Glycine, serine, and threonine metabolism; (3) Ascorbate and aldarate metabolism; (4) Alanine, aspartate, and glutamate metabolism; (5) Taurine and hypotaurine metabolism; (6) Arginine and proline metabolism; (7)  $\beta$ -Alanine metabolism; (8) Phenylalanine metabolism; (9) Acetaldehyde and dicarboxylic acid metabolism; (10) Cysteine and methionine metabolism; (11) Tyrosine metabolism; (12) Tricarboxylic acid cycle (TCA cycle); (13) Arginine biosynthesis; (14) Pyrimidine metabolism; (15) Glutathione metabolism. The selection criteria were  $p < 0.05$  and an impact value  $> 0.1$ . PDC: Phlegm-dampness constitution, DHC: Damp-heat constitution.

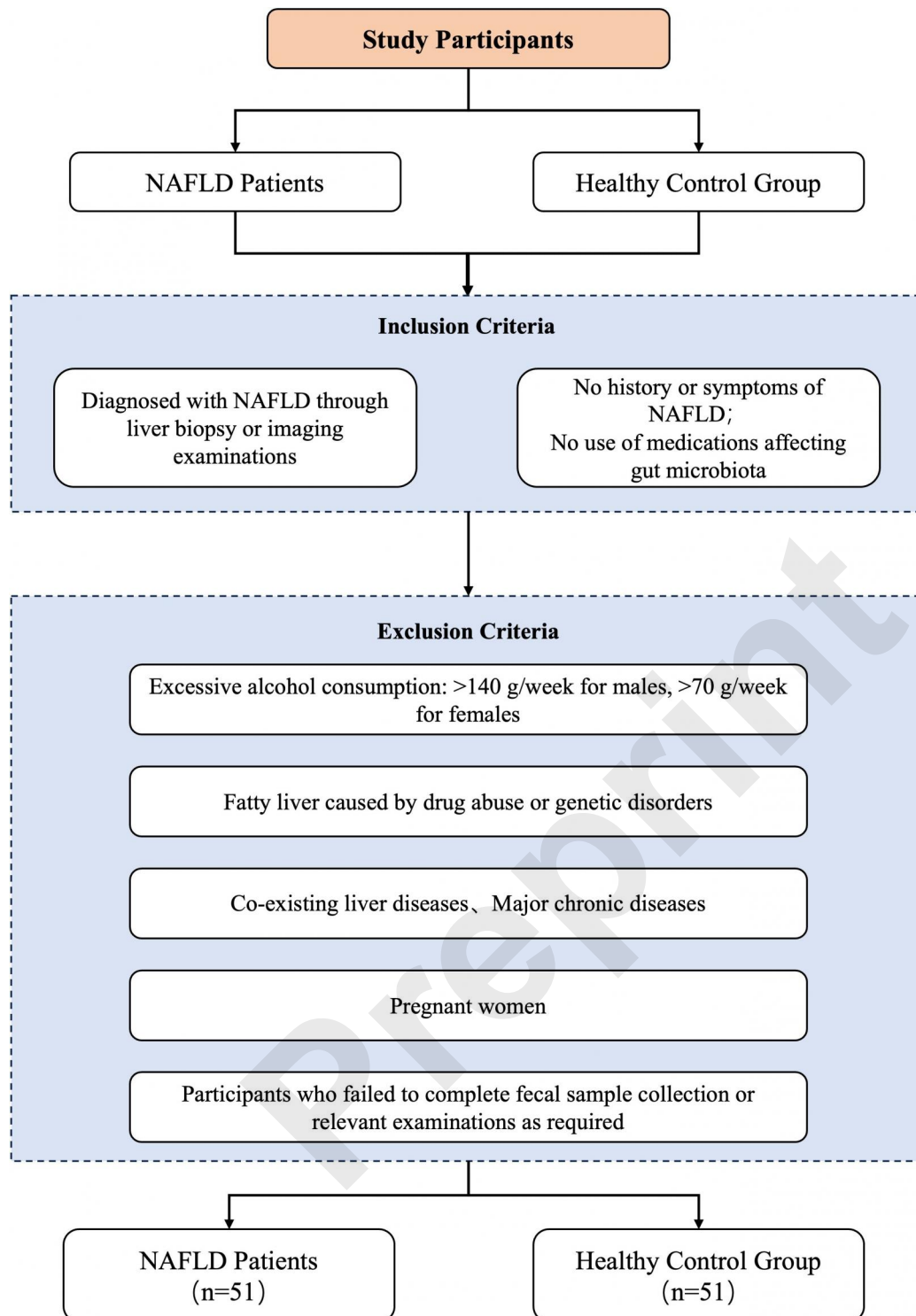


Figure S1. Inclusion and Exclusion Criteria for Study Participants.