

# Mutation spectrum of GATA4 associated with congenital atrial septal defects

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## Abstract

**Introduction:** Congenital atrial septal defect (ASD) is the second commonest form of cardiac developmental anomaly, responsible for substantial morbidity and mortality in affected individuals. Previous studies have implicated genetic defects in the pathogenesis of ASD. However, ASD is largely a genetically heterogeneous disease and the genetic determinants for ASD in the majority of patients remain to be identified.

**Material and methods:** The entire coding region of *GATA4*, a gene encoding a zinc-finger transcription factor essential for normal cardiac morphogenesis, was sequenced in 220 unrelated patients with ASD. The available relatives of the patients harboring the identified mutations and 200 unrelated ethnicity-matched control individuals were genotyped.

**Results:** Four heterozygous missense *GATA4* mutations, p.P36S, p.H190R, p.S262A, and p.V399G, were identified in four unrelated patients with ASD, respectively. These mutations were neither detected in 200 control individuals nor described in the human SNP database. Alignment of multiple *GATA4* protein sequences across species indicated that the affected amino acids were highly conserved evolutionarily. Genetic analysis of the available relatives of the mutation carriers showed that in each family the mutation co-segregated with ASD.

**Conclusions:** The findings expand the spectrum of mutations in *GATA4* linked to ASD and provide new insight into the molecular etiology associated with ASD, suggesting the potential implications for the genetic diagnosis and gene-specific therapy for this prevalent cardiovascular abnormality in humans.

**Key words:** atrial septal defect, transcription factor, genetics.

## Introduction

Congenital heart disease (CHD) is the most common form of developmental malformation with an estimated incidence of nearly 1% in live neonates, and is the leading non-infectious cause of infant deaths, with over 29% of infants who die of a birth defect having a cardiovascular abnormality [1]. Clinically CHD comprises at least 25 different types with

many additional anatomic variations, of which atrial septal defect (ASD) is the second most prevalent CHD seen in children, accounting for approximately 10% of the overall CHD, and now has become the most frequent CHD found in adults, accounting for as much as 20–40% of all congenital cardiovascular deformities [1, 2]. Congenital ASD may be isolated or associated with other cardiac deformations, such as ventricular septal defect, tetralogy of Fallot, and patent ductus arteriosus. Irrespective of other potential anomalies that accompany ASD, single ASD can result in a spectrum of conditions from no significant cardiac sequelae to cardiac enlargement, reduced exercise capacity, congestive heart failure, pulmonary hypertension, Eisenmenger's syndrome, delayed fetal brain development, arrhythmias, and even sudden cardiac death in the absence of surgical or catheter based repair [3–9]. Despite the high prevalence and the significant association with substantial morbidity and mortality, the fundamental etiology responsible for ASD in the vast majority of cases remains unknown.

Abnormally developed atrial septum is implicated in a heterogeneous, complex pathogenic process with environmental and genetic risk factors playing important roles [10, 11]. There is increasing evidence pointing to a critical role of the zinc finger transcription factor GATA4 in septogenesis [12, 13]. The human *GATA4* gene is located on chromosome 8p23.1-p22 and consists of seven exons coding for a protein of 442 amino acids [14]. It is expressed throughout embryonic development and also in the adult heart [12, 13]. Therefore, *GATA4* has recently been one of the prime candidate genes in identifying the molecular determinants for structural congenital heart defects. To date, more than 20 germline mutations in the coding exons of the *GATA4* gene have been identified in patients with a wide variety of congenital heart defects including ASD, ventricular septal defects, atrioventricular septal defects, tetralogy of Fallot, and endocardial cushion defect [15–32]. Nevertheless, ASD is genetically heterogeneous and the genetic determinants for ASD in most patients are still to be identified.

To investigate the occurrence and prevalence of *GATA4* mutations in a newly recruited cohort of 220 unrelated patients with congenital ASD, the coding exons and splice junctions of *GATA4* were sequenced in patients with ASD and matched healthy individuals used as controls. As a result, four novel heterozygous missense *GATA4* mutations, p.P36S, p.H190R, p.S262A, and p.V399G, were identified in 4 unrelated patients with ASD, respectively, which were absent in a matched control population. Genetic analysis of the available family members of the mutation carriers indicated that the mutation co-segregated with ASD in each family. The findings expand the spectrum of mutations in

*GATA4* linked to ASD and provide new insight into the molecular rationale involved in the pathogenesis of ASD.

## Material and methods

### Study population

From January 2010 to August 2011, a cohort of 220 unrelated patients diagnosed with ASD was prospectively recruited among the Chinese Han population. All the subjects were evaluated by individual and familial history, review of the medical records, complete physical examination, 12-lead electrocardiogram (ECG) and two-dimensional transthoracic echocardiography with color flow Doppler. All patients had a classic form of ASD, with a defect diameter of > 5 mm and nearly all patients underwent cardiac catheterization and, if required, cardiac surgery. A total of 200 ethnically matched unrelated healthy individuals who were enrolled from the general population were used as controls to screen for likely mutations in *GATA4*. Peripheral venous blood specimens from subjects and control individuals were prepared. The study protocol was reviewed and approved by the local institutional ethics committee and written informed consent was obtained from all participants or their guardians prior to investigation.

### Genetic studies

Genomic DNA from all participants was extracted from blood lymphocytes with Wizard Genomic DNA Purification Kit (Promega). The candidate gene *GATA4* was screened initially in 220 unrelated patients with ASD and genotyping of *GATA4* in the available relatives of an index patient carrying an identified mutation and the 200 ethnically matched unrelated healthy control individuals was conducted subsequently. The referential genomic DNA sequence of *GATA4* was derived from GenBank (accession No. NC\_000008). By the aid of on-line Primer 3 software (<http://frodo.wi.mit.edu>), the primer pairs used to amplify the coding exons and exon/intron boundaries of *GATA4* by polymerase chain reaction (PCR) were designed as shown in Table I. The PCR was carried out using HotStar Taq DNA Polymerase (Qiagen) on a PE 9700 Thermal Cycler (Applied Biosystems), with standard conditions and concentrations of reagents. Amplified products were analyzed on 1% agarose gels stained with ethidium bromide and purified with QIAquick Gel Extraction Kit (Qiagen). Both strands of each PCR product were sequenced with a BigDye® Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems) under an ABI PRISM 3130 XL DNA Analyzer (Applied Biosystems). The sequencing primers were the same as previously designed for amplification of specific regions. The DNA sequences were

**Table I.** The intronic primers to amplify the coding exons and exon-intron boundaries of *GATA4*

Exon	Forward primer (5' to 3')	Reverse primer (5' to 3')	Amplicon [bp]
2-a	GAT, CTT, CGC, GAC, AGT, TCC, TC	GTC, CCC, GGG, AAG, GAG, AAG	458
2-b	GCT, GGG, CCT, GTC, CTA, CCT	AAA, AAC, AAG, AGG, CCC, TCG, AC	554
3	GGG, CTG, AAG, TCA, GAG, TGA, GG	GAT, GCA, CAC, CCT, CAA, GTT, CC	437
4	GAG, ATC, TCA, TGC, AGG, GTC, GT	GCC, CCT, TCC, AAA, TCT, AAG, TC	390
5	TCT, TTC, TCG, CTG, AGT, TCC, AG	GGG, ATG, TCC, GAT, GCT, GTC	379
6	GCC, ATC, CCT, GTG, AGA, ACT, GT	GAG, GGT, AGC, TCA, CTG, CTT, GC	444
7	AAG, TGC, TCC, TTG, GTC, CCT, TC	TTC, CCC, TAA, CCA, GAT, TGT, CG	479

**Table II.** Clinical characteristics of the 220 unrelated patients with ASDs

Variable	Number	Percentage or range
Male : female	92 : 148	42:58:00
Age at the present study [year]	23	1–58
Distribution of different types of ASDs		
Ostium secundum ASD	180	82
Ostium primum ASD	27	12
Sinus venosus ASD	13	6
Prevalence of ASDs with other defects		
Isolated ASD	185	84
ASD and VSD	12	5
ASD and VSD and PDA	4	2
ASD and VSD and PS	3	1
ASD and ASA	10	5
ASD and PDA	8	4
ASD and PS	5	2
Incidence of arrhythmias		
AVB	8	4
AF	3	1
Treatment		
Surgical repair	135	61
Percutaneous closure	85	39

ASD – atrial septal defect, VSD – ventricular septal defect, PDA – patent ductus arteriosus, PS – pulmonary stenosis, ASA – atrial septal aneurysm, AVB – atrioventricular block, AF – atrial fibrillation

viewed and analyzed with DNA Sequencing Analysis Software v5.1 (Applied Biosystems). The variant was validated by re-sequencing an independent PCR-generated amplicon from the subject and met our quality control thresholds with a call rate > 99%.

### Multiple sequence alignments

The multiple *GATA4* protein sequences across species were aligned using the online program CLUSTALW (<http://www.genome.jp/tools/clustalw/>).

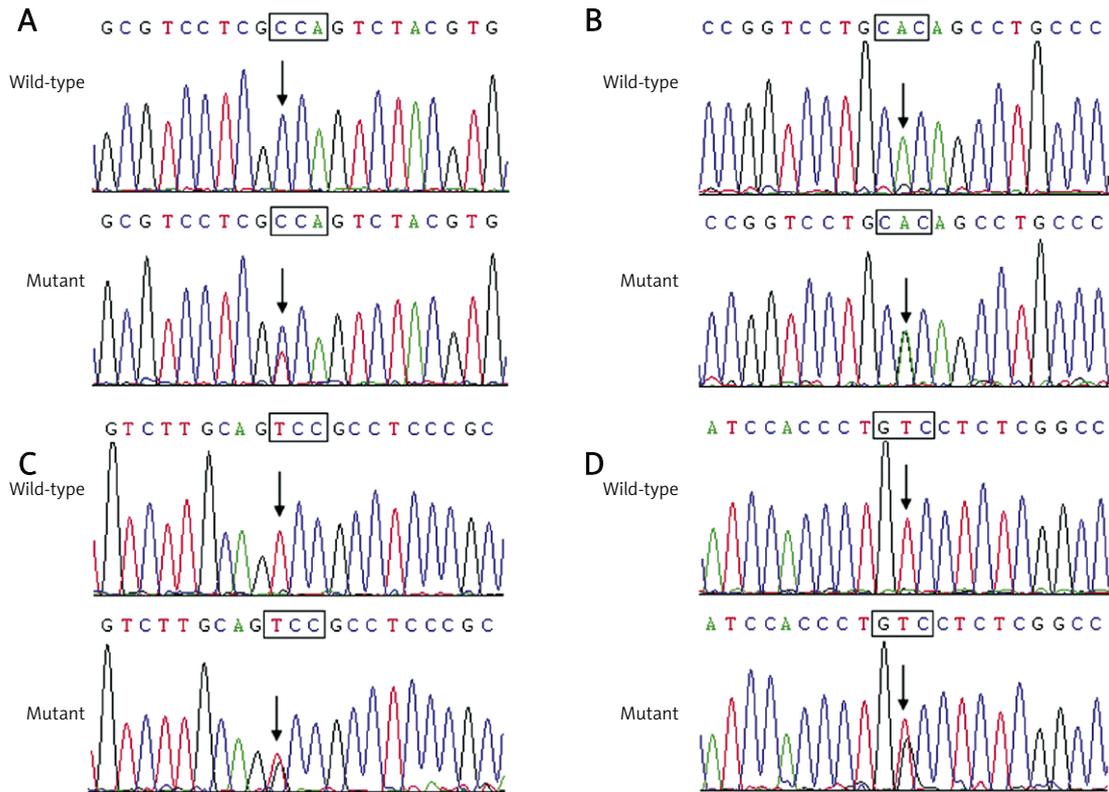
## Results

### Characteristics of the study subjects

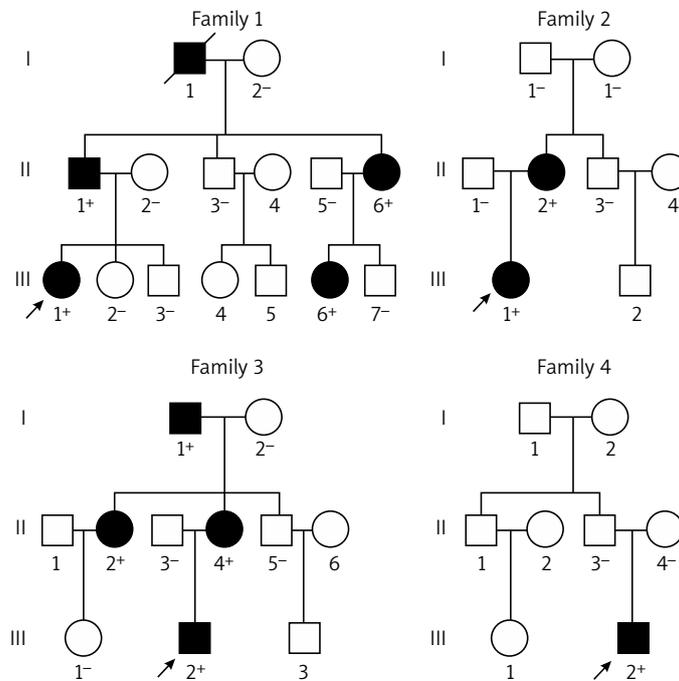
A cohort of 220 unrelated patients with ASD was identified and clinically evaluated in contrast to a total of 200 ethnically matched unrelated healthy individuals as controls. None of them had apparent traditional risk factors for ASD. The baseline clinical characteristics of the 220 unrelated patients with ASD are summarized in Table II.

### *GATA4* mutations

Direct sequencing of the coding exons of the *GATA4* gene was conducted after PCR amplification of genomic DNA from the 220 unrelated ASD patients. Four heterozygous missense mutations in *GATA4* were identified in 4 out of 220 patients, respectively. The total population prevalence of *GATA4* mutations based on the cohort patients was approximately 1.82%. Specifically, a substitution of thymine for cytosine in the first nucleotide of codon 36 of the *GATA4* gene (c.106C>T), predicting the transition of proline to serine at amino acid position 36 (p.P36S), was identified in the index patient from family 1. A change of adenine into guanine in the second nucleotide of codon 190 of the *GATA4* gene (c.569A>G), corresponding to the transversion of histidine to arginine at amino acid residue 190 (p.H190R), was identified in the proband from family 2. A displacement of thymine by guanine in the first nucleotide of codon 262 of the *GATA4* gene (c.784T>G), equivalent to the replacement of serine by alanine at amino acid 262 (p.S262A), was identified in the proband from family 3. A *GATA4* variation of c.1196T>G, resulting in the conversion of valine into glycine at amino acid 399 (p.V399G), was identified in the proband from family 4. The sequence chromatograms showing the detected heterozygous *GATA4* mutations in comparison to control sequences are shown in Figure 1. The variants were neither detected in 200 control individuals nor described in the human SNP database (<http://www.ncbi.nlm.nih.gov/SNP>). Genetic scan of the family members available of the mutation carriers revealed that in each family the variant was



**Figure 1.** Sequence chromatograms of GATA4 in index patients and controls. The arrow indicates the heterozygous nucleotides of C/T (Figure A), A/G (Figure B), T/G (Figure C), and T/G (Figure D), in the probands from families 1, 2, 3, and 4, respectively (mutant) or the homozygous nucleotides of C/C (Figure A), A/A (Figure B), T/T (Figure C), and T/T (Figure D), in the corresponding control individuals (wild-type). The square denotes the nucleotides comprising a codon of GATA4



**Figure 2.** Pedigree structures of the families with ventricular septal defect. Families are designated as family 1, family 2, family 3, and family 4. Family members are identified by generations and numbers. Squares indicate male family members; circles, female members; closed symbols, affected members; open symbols, unaffected members; symbols with a slash, deceased members; arrow, proband; “+”, carriers of the heterozygous mutations; and “-”, non-carriers

present in all affected family members alive, but absent in unaffected family members tested. Analysis of the pedigrees indicated that each mutation co-segregated with ASD in the family with complete penetrance. The pedigree structures of the families are illustrated in Figure 2. The phenotypic characteristics and results of genetic screening of the affected pedigree members are listed in Table III.

Additionally, a previously reported *GATA4* intronic mutation of c.1146+24\_25insA was identified in a 5-year-old girl with idiopathic ASD, who had a negative family history. The sequence chromatograms showing the heterozygous *GATA4* insertion mutation in contrast to a control sequence are shown in Figure 3.

### Multiple alignments of the *GATA4* protein sequences across species

A cross-species alignment of *GATA4* protein sequences showed that the affected amino acids were highly conserved evolutionarily, as shown in Figure 4, suggesting that these amino acids are functionally important.

### Discussion

In the present study, 4 novel heterozygous missense mutations of *GATA4* were identified in 4 families with congenital ASD, respectively. In each family, the mutation was present in all the affected

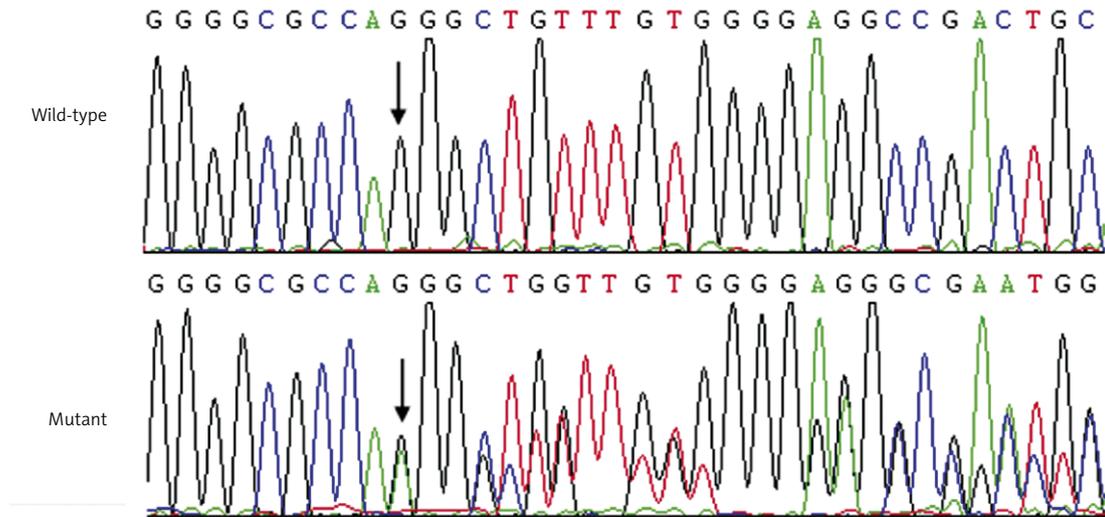
family members alive but absent in unaffected relatives tested and 400 normal chromosomes from a matched control population. A cross-species alignment of *GATA4* protein sequences demonstrated that the altered amino acids were highly conserved evolutionarily, suggesting the potential pathogenic effect of these novel mutations. Therefore, it is very likely that mutated *GATA4* is involved in the pathogenesis of ASD in these families.

In humans, a long list of nonsynonymous *GATA4* sequence variants was found to be associated with a great number of cardiac defects including ASD. Garg *et al.* [15] reported that a heterozygous missense mutation of p.G296S (c.886G>A) and a heterozygous frame-shift mutation of p.E359RfsX44 (c.1075delG) in *GATA4* were identified in 2 large unrelated families with ASD, respectively. This is the first report on *GATA4* mutation associated with an isolated congenital heart defect. Okubo *et al.* [16] found a novel *GATA4* mutation of p.S358RfsX45 (c.1074delC) in a large Japanese family with ASD. By PCR sequencing, Hirayama-Yamada *et al.* [18] screened *GATA4* in 16 unrelated families with ASD, and a novel mutation of S52F (c.155C>T) and a known mutation of E359fsX45 (c.1075delG) were identified in 2 families, respectively, with a mutation prevalence of 12.5% in the probands with ASD. Tomita-Mitchell *et al.* [20] investigated the exons and exon-intron boundaries of *GATA4* in a large population of 628 unrelated patients with either

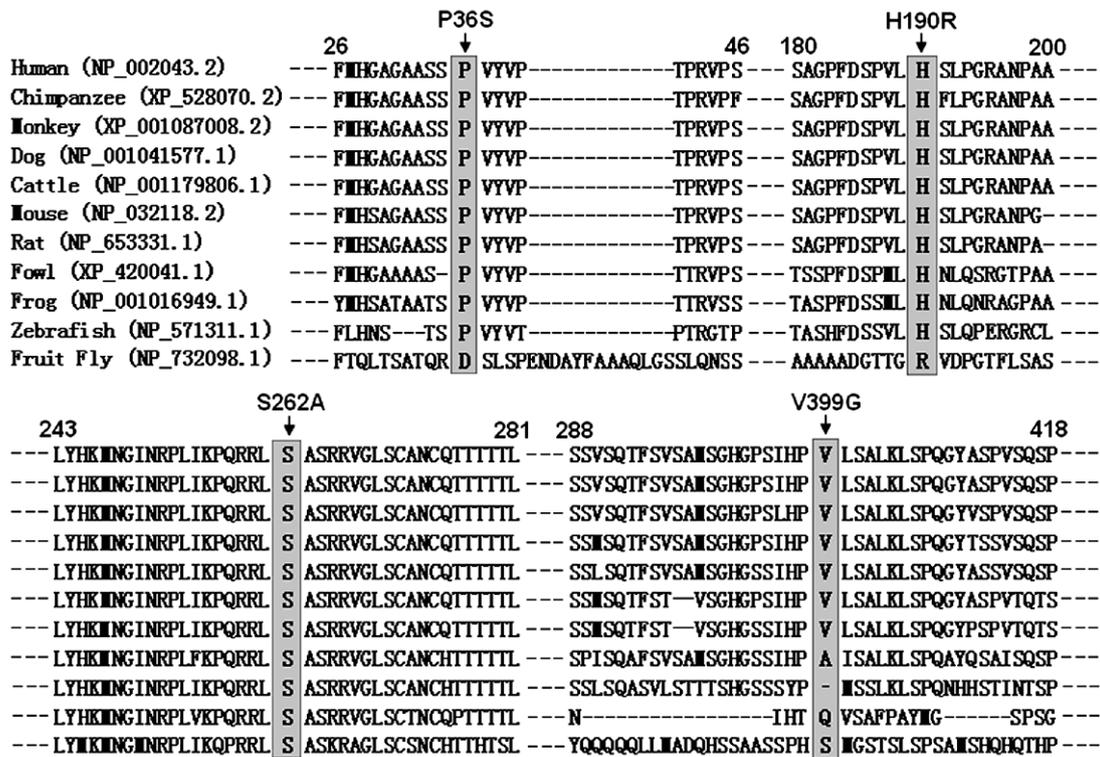
**Table III.** Phenotypic characteristics and status of the *GATA4* mutations in the affected pedigree members

Identity	Gender	Subject information			Phenotypes		Genotypes Mutations
		Age at time of study [years]	Age at diagnosis of ASD [years]	ASD [mm]	Other structural defects	AVB	
Family 1							P36S
I-1	M	62 <sup>a</sup>	45	12	VSD, PDA	+	N/A
II-1	M	34	8	19		+	+/-
II-6	F	28	16	8	VSD	-	+/-
III-1	F	12	2	23		-	+/-
III-6	F	4	4	6		-	+/-
Family 2							H190R
II-2	F	26	22	17		-	+/-
III-1	F	3	3	10		-	+/-
Family 3							S262A
I-1	M	56	40	15	PS	+	+/-
II-2	F	30	10	30		-	+/-
II-4	F	27	26	10	VSD	+	+/-
III-2	M	5	4	21		-	+/-
Family 4							V399G
II-2	M	2	2	26		-	+/-

M – male, F – female, ASD – atrial septal defect, N/A – not available or applicable, VSD – ventricular septal defect, PDA – patent ductus arteriosus, PS – pulmonary stenosis, AVB – atrioventricular block; + indicates present and - denotes absent; <sup>a</sup>Age at death



**Figure 3.** Sequence chromatograms showing the insertion mutation of *GATA4* in contrast to its control. The arrow indicates the heterozygous nucleotides of G/A (mutant) or the homozygous nucleotides of G/G (wild-type)



**Figure 4.** Alignment of multiple *GATA4* protein sequences across species. The altered amino acids of P36, H190, S262, and V399 are highly conserved evolutionarily among mammals

septal or conotruncal defects and 4 missense sequence variants were identified in 5 patients, of which p.G93A and p.Q316E were observed in 2 out of 122 ASD patients. So far, over 30 nonsynonymous germline mutations in *GATA4* have been implicated in congenital cardiovascular abnormalities, of which more than half of mutations have been linked to ASD with or without other defects, showing that although *GATA4* mutations are involved in

various cardiac malformations, the most frequent phenotype resulting from a mutation in *GATA4* is ASD [15–32]. In most of these patients, the ASD-causing mutations are familial, whereas sporadic cases remain relatively infrequent [15–32]. Similar to these findings, the figure of 4/220 mutations (roughly 1.82%) in our cohort of patients suggests that *GATA4* mutations could be an uncommon cause of ASD.

Association of compromised *GATA4* with increased susceptibility to ASD has been demonstrated in animal experiments. In the embryonic hearts of knock-down chicks generated by using small interfering RNAs targeted to *GATA4*, the bilateral myocardial rudiments failed to travel to the midline, giving rise to the formation of two separate hearts in lateral positions, an anomaly of *cardia bifida* [33]. In mice *GATA4* is one of the earliest transcription factors expressed in developing cardiac cells and continues to be expressed abundantly in cardiomyocytes throughout the life of mice. Homozygous *GATA4*-deficient mice died between day 7.0 and 9.5, and analysis of the *GATA4*-null embryo substantiated the lethal failure to form a linear heart tube [34, 35]. Transgenic mice expressing *GATA4* mutants demonstrated a wide variety of cardiac malformations including septal defects, right ventricular hypoplasia, endocardial cushion defect, tetralogy of Fallot, double outlets of the right ventricle, and cardiomyopathy, similar to the anomalies seen in humans [34–36]. Taken together, these results from animal experiments define a critical role for *GATA4* in regulating the normal cardiac morphogenesis.

In conclusion, the findings expand the mutation spectrum of *GATA4* linked to ASD and provide additional insight into the molecular etiology implicated in the pathogenesis of ASD, suggesting potential implications for genetic diagnosis, early prophylaxis and gene-specific therapy for this common disease in infancy.

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Yi-Qing Yang and Juan Wang contributed equally to the work.

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