

# Efficacy of gefitinib or erlotinib in patients with squamous cell lung cancer

Zhengbo Song<sup>1,2</sup>, Yiping Zhang<sup>1,2</sup>

<sup>1</sup>Department of Chemotherapy, Zhejiang Cancer Hospital, Hangzhou, China

<sup>2</sup>Key Laboratory Diagnosis and Treatment Technology on Thoracic Oncology, Zhejiang Province, Hangzhou, China

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**Corresponding author:**

Yiping Zhang MD  
Department of Chemotherapy  
Zhejiang Cancer Hospital  
38 Guangji Road 310022  
Hangzhou, P.R. of China  
Phone: +8657188122182  
Fax: +8657188122188  
E-mail:  
yipingzhang@yahoo.cn

## Abstract

**Introduction:** The aim of this study was to evaluate the feasibility of epidermal growth factor receptor-tyrosine kinase inhibitor (EGFR-TKI) and EGFR mutation frequency in advanced squamous cell lung cancer based on the data from our hospital.

**Material and methods:** The clinical data of 102 patients with advanced squamous cell lung cancer who were admitted to Zhejiang Cancer Hospital from January 2007 to December 2011 were retrospectively analyzed. Survival analysis was evaluated by the Kaplan-Meier method. The EGFR mutations were assessed in some of the patients using the pyrosequencing assay.

**Results:** Nine patients (8.8%) showed a partial response (PR), 28 (27.5%) achieved stable disease (SD), and 65 (63.7%) had progressive disease (PD). The disease control rate was 36.3% and the median progression-free survival (PFS) was 1.93 months (95% CI: 1.57–2.29). The PFS in patients who obtained disease control in the prior TKI was 8.63 months and 1.37 months in the disease progression cases ( $p < 0.001$ ). No statistical differences in PFS were observed between gefitinib and erlotinib (2.0 months vs. 1.87 months,  $p = 0.76$ ). The toxicities associated with EGFR-TKI were generally acceptable. In 74 patients with adequate specimens for molecular analysis, 4 (5.4%) had EGFR mutations (2 with deletions within exon 19 and 2 with L858R mutation in exon 21).

**Conclusions:** The EGFR-tyrosine kinase inhibitor seems to be a potential therapeutic option for treatment of advanced squamous cell lung cancer patients. Erlotinib and gefitinib had a similar efficacy in advanced squamous cell lung cancer. The frequency of EGFR mutation was about 5.4% in our single hospital data.

**Key words:** squamous cell lung cancer, erlotinib, gefitinib, efficacy.

## Introduction

Lung cancer is the leading cause of cancer-related death world-wide and squamous cell lung cancer (SCC) accounts for about 30% of all the cases [1]. There are only a few treatment options for patients with lung SCC beyond standard platinum doublet chemotherapy. Gefitinib, an oral small molecule agent that inhibits epidermal growth factor receptor (EGFR) tyrosine phosphorylation, is the first targeted agent to be approved for the treatment of patients with advanced non-small-cell lung carcinoma (NSCLC), which has demonstrated clinical efficacy in NSCLC [2–4]. Erlotinib, another EGFR-tyrosine kinase inhibitor (TKI), also has shown a survival benefit in second-line or third-line treatment for advanced NSCLC [5, 6].

Due to the low frequency of EGFR mutation of SCC, a low percentage of patients enrolled in the clinical trials had SCC; thus the efficacy of EGFR-TKI for these patients is not well known. The disease control rate ranged from 27.2% to 40.6% according to the previous studies and the frequency of EGFR mutation was between 0% and 15% [7–12].

Therefore, we decided to investigate the feasibility and safety of EGFR-TKI in advanced SCC and detect the frequency of EGFR mutation in part of our patient group.

## Material and methods

### Patient eligibility

One hundred and two consecutive, unselected advanced squamous cell lung cancer patients, who were admitted to Zhejiang Cancer Hospital from January 2007 to December 2011, were included in our study. Squamous cell lung cancer staging was performed for all the patients according to the 7<sup>th</sup> TNM classification. Inclusion criteria were as follows: (1) pathologically proven primary stage IIIB or IV squamous cell lung cancer; (2) the disease recurrence was confirmed using chest computed tomography (CT), brain magnetic resonance imaging (MRI) and bone scan as well as ultrasound examination and/or CT of the abdomen; (3) without any local treatment such as radiotherapy or interventional therapy during the period of gefitinib or erlotinib therapy; (4) at least one measurable lesion and an Eastern Cooperative Oncology Group performance status of 0 to 3.

### Response evaluation

All patients were followed up every  $8 \pm 1$  weeks with imaging examination (chest X-ray or computed tomography – CT) during treatment with EGFR-TKIs or were evaluated early when significant tumor progression appeared. Objective tumor responses were evaluated according to the Response Evaluation Criteria in Solid Tumors (RECIST 1.1). Objective tumor responses included complete response (CR), partial response (PR), stable disease (SD) and progressive disease (PD). Disease control rate (DCR) was defined as the addition of objective response and stabilization.

### Epidermal growth factor receptor mutation examination method

Epidermal growth factor receptor mutation was performed using pyrosequencing assay methods with formalin-fixed paraffin embedded archival tissue blocks. Epidermal growth factor receptor mutation analysis was performed using sequencing as previously described [13]. Exons 18–21 of EGFR were examined following the standard protocol.

## Toxicity evaluation

The toxicity profile of EGFR-TKI was assessed by reviewing medical records including skin rash, diarrhea, liver toxicity, and radiological evidence of interstitial pneumonitis. Severity of adverse reactions was determined based on the requirements of dosage reduction or discontinuation of EGFR-TKI. All such toxicities were evaluated according to the National Cancer Institute Common Toxicity Criteria version 3.0 (CTC3.0).

## Follow-up

All the patients were to be evaluated for tumor response and PFS. Follow-up rate was 100%. The last follow-up date was June 1, 2012.

## Statistical analysis

The  $\chi^2$  test was applied to elucidate the differences between different treatment arms. Progression-free survival (PFS) encompassed the time from the first day of TKI treatment to documented progression or death from any cause, or until the date of the last follow-up visit for patients who were still alive and who had not progressed. Survival analysis was conducted with a Kaplan-Meier analysis and log-rank test. A value of *p* of less than 0.05 was regarded as statistically significant. All statistical tests were analyzed using the computer software SPSS version 16.0 (SPSS Inc, Chicago, IL, USA).

## Results

### Patient characteristics

A total of 769 patients with NSCLC were treated with either gefitinib or erlotinib between January 2007 to December 2011. Of these, there were 102 patients (13.3%) with SCC. There were 37 patients (36.3%) in the gefitinib treatment group and 65 patients (63.7%) in the erlotinib treatment group (Table I). The median age was 58.0 years (range 35–76) and there were 74 male patients (72.5%). The characteristics of all the patients and comparison between the DCR and PD patients are summarized in Table I.

### Epidermal growth factor receptor mutation analysis

Seventy-four patients provided tumor samples for EGFR mutation analysis (19 female and 55 male). The EGFR mutations were identified in 4 (5.4%) patients (2 with deletion in exon 19 and 2 with L858R in exon 21). There was no association between gender or smoking and EGFR mutation (1/19 in female and 3/55 in male; 1/13 in non-smoker and 3/61 in smoker).

## Efficacy

Nine patients achieved PR and 28 had SD. No patients achieved CR. The ORR and DCR were 8.8% and

**Table I.** Characteristics of 102 SCC patients

Parameter	N	DCR group	PD group	Value of <i>p</i>
Gender:				0.69
Male	74	26	48	
Female	28	11	17	
PS:				0.21
0-1	83	33	50	
2-3	19	4	15	
Median age	58	59	58	
Age at diagnosis:				0.14
< 65 years	80	32	48	
≥ 65 years	22	5	17	
Smoking:				0.32
Yes	83	32	51	
No	19	5	14	
Regimen:				0.13
Erlotinib	65	20	45	
Gefitinib	37	17	20	
Stage:				0.94
IIIB	8	3	5	
IV	94	34	60	
Prior chemotherapy:				0.29
1	41	14	27	
≥ 2	61	23	38	

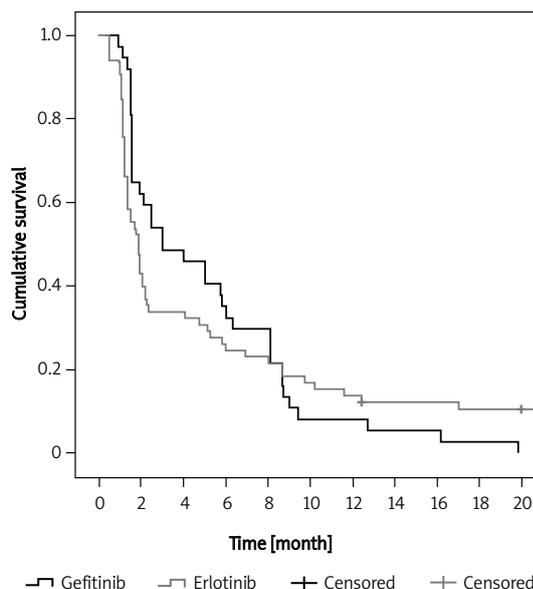
36.3%, respectively. No factors correlated significantly with DCR (Table I). No statistical differences in PFS were observed between gefitinib and erlotinib (2.0 months vs. 1.87 months, *p* = 0.76) (Figure 1).

There were 4 patients with EGFR mutation and 70 with wild-type. The PFS was 8.0 months in the EGFR mutation patients, but only 1.53 months in the wild-type patients (*p* = 0.235) (Figure 2). The PFS was 1.37 months and 8.67 months in PD patients and DCR patients, respectively (*p* < 0.001). The median overall survival of all the patients was 12.2 months. There was a significant difference between the DCR group and PD group in overall survival time (15.2 months vs. 6.4 months, *p* < 0.001).

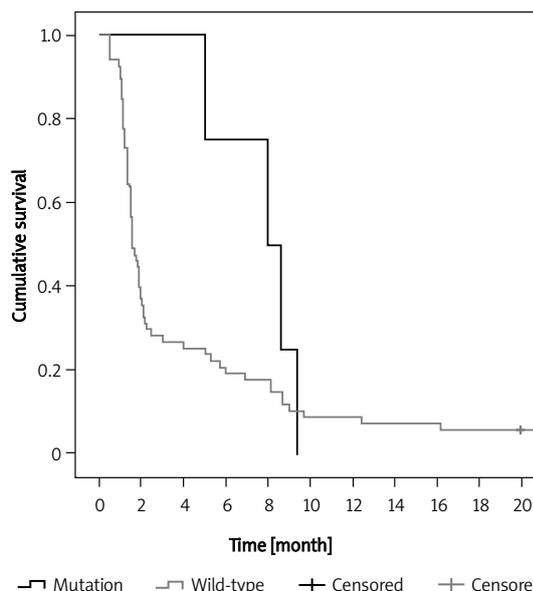
### Factors affecting PFS in univariate and multivariate analysis

Results of univariate analysis for PFS of SCC are shown in Table II. There were no factors influencing the PFS, but we observed the prolonging trends in younger than older patients (*p* = 0.073).

A multivariate Cox regression model was constructed with the incorporation of age, sex, per-



**Figure 1.** PFS of SCC in gefitinib and erlotinib treatment patients (*p* = 0.76)



**Figure 2.** PFS of SCC in EGFR mutation vs. wild-type patients (*p* = 0.235)

formance status score (PS), smoking history, TKI type (erlotinib or gefitinib) and prior chemotherapy. No independent prognostic factor was found to influence the PFS (Table III).

### Toxicities of tyrosine kinase inhibitor treatment

Toxicity was evaluated in all patients. The most common adverse event was skin toxicity in 61 patients (59.8%), including 10 patients with grade 3. The frequency of rash was more common in erlotinib than gefitinib patients (66.2% vs. 48.6%, *p* = 0.02). Other common toxicities included diarrhea (19 cases), and fatigue (16 cases). Two patients

demonstrated hepatic function injuries with erlotinib therapy. One dosage reduction occurred.

## Discussion

In this series of patients with SCC who received gefitinib or erlotinib, the objective response and disease control rates were 8.8% and 36.3%, respectively. The PFS with EGFR mutation and wild-type patients was 8.0 and 1.53 months, respectively. These results support the use of gefitinib or erlotinib as salvage treatment and examination of EGFR mutation in the Asian advanced SCC population. To our knowledge, our study is the largest report that has focused on the efficacy of EGFR-TKIs in patients with EGFR status.

According to the guidelines of the National Comprehensive Cancer Network (NCCN) [14], the EGFR-TKIs are recommended as the second-line or third-line treatment regimen for patients with NSCLC whose ECOG performance status was 0–3 regardless of the histology, which was based on several phase 3 clinical trials such as BR.21 and INTEREST [15, 16]. However, there is a lack of trials focusing on SCC currently. The ORR of EGFR-TKI treatment was 4.9% and the DCR was 40.6% in patients with SCC in the study by Shin *et al.* [8]. However, the ORR was 17.4% and DCR was 27.2% in the Tseng *et al.* study [7]. Female sex and non-smoking were considered as good factors for the response to EGFR-TKI treatment in adenocarcinoma [17]. Tseng *et al.* found that there were trends of a higher response rate in females and non-smokers with erlotinib treatment in SCC patients. In contrast, our study showed that there were no clinical factors associated with response to TKI. The mechanism needs to be investigated in future work.

Epidermal growth factor receptor mutations can be detected in 30–40% of Asian adenocarcinoma patients; however, mutations can be detected in 5–15% in the Asian population with SCC [7–12, 18]. Miyamae *et al.* found 5 mutation among 89 SCC samples using the PNA-enriched sequencing method; however, only three of these five samples were detected by direct sequencing [12]. There were 4 patients with EGFR mutation in our 74 samples using sequencing assay. The mutation frequency is a little lower than previous reports. The ORR in our data was 8.8%, which is higher than the mutation frequency; some false-negatives with the sequencing may explain the outcome.

A difference in efficacy between the EGFR mutation and wild-type patients was rarely reported in SCC patients. In a pooled analysis by Shukuya *et al.* including 27 SCC cases with EGFR mutation [19], the PFS ranged from 0.5 month to 11 months (14 patients reported the PFS data and only 2 patients had PFS more than 8 months). The median PFS was 8.0 months in our EGFR mutation patients, which is longer than most of the EGFR mutation patients in

**Table II.** Univariate analysis of PFS in 102 patients

Parameter	PFS	95% CI	Value of p
Gender:			0.82
Male	1.93	1.57–2.29	
Female	1.93	0.89–2.97	
PS:			0.79
0–1	2.17	1.61–2.74	
2–3	1.67	1.28–2.07	
Age:			0.073
< 65 years	2.1	1.52–2.68	
≥ 65 years	1.5	0.86–2.14	
Smoking:			0.32
Yes	1.53	0.17–2.90	
No	2.03	1.73–2.33	
Regimen:			0.76
Erlotinib	1.87	0.49–5.5	
Gefitinib	2.00	1.45–2.29	
Stage:			0.96
III B	1.99	1.25–2.32	
IV	1.93	1.12–2.55	
Prior chemotherapy:			0.65
1	2.10	1.19–2.43	
≥ 2	1.87	1.57–2.29	
Mutation:			0.235
Yes	8.0	4.44–11.56	
No	1.53	1.20–1.86	

**Table III.** Multivariate analysis of PFS in patients with SCC

Variables	HR	95% Confidence interval	Value of p
Sex	1.220	0.704–2.113	0.478
Age	1.640	0.983–2.736	0.846
PS	1.173	0.693–1.987	0.553
TKI type	1.145	0.746–1.756	0.536
Prior chemotherapy	0.817	0.512–1.978	0.817
Smoking history	1.178	0.693–1.987	0.272

the Shukuya *et al.* pooled analysis. Rare mutations (such as exon 20 A763V, exon 21 N826S) were prevalent in the Shukuya *et al.* study; however, all of our four patients had common mutations (2 with exon 19 deletion and 2 with exon 21 L858R), which may partly explain the efficacy difference in our study.

There are somewhat different pharmacological properties between gefitinib and erlotinib in experiments and the clinic [20]. The maximal tolerated dose (MTD) of gefitinib is lower than that of erlotinib.

Erlotinib has a much better profile of DCR, PFS and OS than gefitinib in the Fan *et al.* study [21]. However, the efficacy difference was not clear in SCC. The efficacy was similar between erlotinib and gefitinib regardless of the PFS and OS in the current study. Further studies are warranted to examine the difference in the treatment effect between the two drugs.

Advances in personalized treatments with targeted biologic agents, including agents that target mutant kinases such as EGFR and ALK, and the multi-targeting antifolate pemetrexed, are not applicable to SCC. Fortunately, many driving genetics have been presented in SCC recently, and there is growing evidence of their biologic significance. Thus, in the near future, the molecular characterization of patients with SCC will probably be as important as deciphering the molecular genetics of adenocarcinoma. Patients with SCC of the lung harboring specific molecular defects such as DDR2, FDFR1 and PI3K are undergoing clinical trials targeting such molecular defects [22].

The major limitation of the present study is its retrospective nature. In addition, sequencing was used to analyze the EGFR mutations in our patients. It has a lower sensitivity than other methods, and may increase the false-negative rate in the EGFR mutation result. However, with few cases even in limited clinical trials, our retrospective study can also be considered to be meaningful.

In conclusion, a significant proportion of SCC patients would derive a clinical benefit from TKI treatment. The mutation frequency was approximately 5.4% in our study. Prospective studies with larger cohorts should be conducted to verify the efficacy of TKI in SCC patients and mutation frequency.

### Conflict of interest

The authors declare no conflict of interest.

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