

# Efficacy of serotonin receptor agonists in the treatment of functional dyspepsia: a meta-analysis

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## Abstract

**Introduction:** Functional dyspepsia (FD) is typically treated with serotonin receptor (5-HT) agonists such as cisapride, mosapride, tegaserod and tansospirone citrate. However, there are conflicting efficacy data, possibly due to significant heterogeneity between studies. In this meta-analysis, we analyzed the efficacy and safety data from studies evaluating the efficacy of serotonin receptor agonists in patients with FD.

**Material and methods:** Relevant studies were selected from the Medline, Cochrane, EMBASE, and Google Scholar databases. The meta-analysis included 10 RCTs which evaluated the efficacy of serotonin receptor agonists in patients with FD (final total of 892 patients in the serotonin receptor agonist group, and 640 participants in the placebo group). The primary outcomes were the response rates and abdominal symptoms score. The Cochrane Collaboration's tool was used to assess risk. Sensitivity analysis was carried out using the leave-one-out approach.

**Results:** Patients treated with serotonin receptor agonists had a significantly higher response rate compared to placebo-treated patients (pooled OR = 2.99; 95% CI: 1.15–7.77;  $p = 0.025$ ). Patients treated with serotonin receptor agonists had a significant improvement in symptom scores compared to the placebo group (pooled standardized mean difference =  $-0.43$ ; 95% CI:  $-0.83$  to  $-0.04$ ;  $p = 0.031$ ). Sensitivity analysis indicated that the pooled estimates for abdominal symptom score might be affected by the Yeoh *et al.* (1997) study.

**Conclusions:** Serotonin receptor agonists had a significantly higher efficacy compared to placebo in the treatment of FD.

**Key words:** functional dyspepsia, serotonin receptor, efficacy, placebo, meta-analysis.

## Introduction

Functional dyspepsia (FD) is defined as the presence of one or more of four gastroduodenal symptoms including epigastric pain, epigastric burning, postprandial fullness, and early satiety, in the absence of organic disease [1–3]. Functional dyspepsia affects approximately 21% of the population worldwide [4]. Although the etiology and pathophysiology of FD are not well understood, recent studies have suggested that abnormalities in gastrointestinal motility, abnormal sensorimotor function (hypersensitivity to distention and impaired meal accommodation), genet-

ic factors, psychosocial factors, and *Helicobacter pylori* infection may be implicated in the pathophysiology of FD [5]. *Helicobacter pylori* infection is more common among FD patients than healthy controls [6]. However, the effect of *H. pylori* eradication therapy on the clinical outcome of FD patients remains unclear [7–9].

Therapeutic strategies for FD include the use of histamine H2 receptor antagonists, mucosal protection agents, tricyclic antidepressants, and *H. pylori* eradication therapy [10–12]. Classification of FD as epigastric pain syndrome (EPS) or postprandial distress syndrome (PDS) aids in development of management and treatment strategies. Patients with EPS are typically treated with proton pump inhibitors (PPIs) [13], while first line therapy in patients with PDS includes prokinetics such as domperidone and itopride (dopamine receptor antagonists), and cisapride, tegaserod, or mosapride (serotonin type 4 receptor (5-HT4) agonists), which stimulate gastric smooth muscle contractions [14, 15]. The 5-HT4 receptor is mainly found in the intestine, and has been shown to increase gastric volumes before and after a meal in healthy volunteers, as well as impacting gastric motor and sensory function in patients with FD [16]. 5-HT4 receptor agonists have also been shown to significantly attenuate visceral pain arising from the colon [17].

A number of studies have evaluated the efficacy of serotonin receptor agonists for treating FD. A dose-finding study showed no significant difference in efficacy of different doses of mosapride (a 5-HT4 agonist) compared to placebo [18], and a single dose of mosapride was shown to significantly enhance gastric accommodation [19]. Similarly, the efficacy of cisapride (a 5-HT4 agonist) was reported to be similar to that of placebo in FD patients, irrespective of their *H. pylori* or gastritis status [20–22]. In contrast, cisapride was shown to alleviate symptoms in FD patients who were unresponsive to dopamine antagonist therapy [23], and was effective and safe in FD patients in a study from Saudi Arabia [24]. Tandoospirone citrate, a 5-HT1 agonist, was shown to improve the abdominal symptom score in FD patients [25], while R-137696, another 5-HT1A agonist, failed to improve symptoms or visceral hypersensitivity in FD patients [26]. A meta-analysis of 27 studies comparing the efficacy of domperidone, metoclopramide, cisapride, trimebutine, itopride, and mosapride showed that these prokinetic agents were significantly more effective at treating FD compared to placebo [10]. Similarly, data from other meta-analysis studies also suggested that prokinetic drugs such as metoclopramide, domperidone, cisapride, and mosapride had a significantly higher efficacy compared to placebo [27–30].

The major challenge in drawing conclusions from comparisons of previous studies was the

high degree of variability in patient numbers, criteria for patient selection, therapeutic regimens, and response to placebo. In the present study, we aimed to analyze the efficacy of 5-HT4A and 5-HT1A in FD patients. We only analyzed studies which reported response rates, and we compared the improvement in symptom score between the treatment and placebo groups.

## Material and methods

This meta-analysis reviewed a total of 31 studies which investigated the efficacy of serotonin receptor agonists in the treatment of FD. The search strategy involved a detailed review of the Medline, Cochrane, EMBASE, and Google Scholar databases (until November 27, 2015). Reference lists of relevant studies were hand-searched using the following keywords: serotonin receptor agonist, 5-hydroxytryptamine receptor agonist, and functional dyspepsia. The flow diagram for study selection is depicted in Figure 1.

### Selection criteria

The inclusion criteria for this analysis were: 1) randomized controlled trials (RCTs), 2) studies evaluating patients with FD, 3) studies where patients were divided into an intervention group (treated with a serotonin receptor agonist) and a placebo group, and 4) studies which evaluated quantitative outcomes. Exclusion criteria for this analysis were: 1) non-RCT, cohort studies, case series, letters, comments, editorials, case reports; proceedings, personal communications and one-arm studies, 2) studies investigating patients with organic gastrointestinal disease, patients who had undergone major abdominal surgery, or patients with a major physical illness, and 3) studies with no quantitative outcome reported. Studies in which patients were not treated with a 5-HT agonist were excluded.

### Study selection and data extraction

Studies were identified by two independent reviewers based on the inclusion and exclusion criteria. Where there was uncertainty regarding eligibility, a third reviewer was consulted. Information/data that were extracted from the studies included the name of the first author, year of publication, study design, number of participants in each group, age and gender of the participants, diagnostic criteria of FD, protocol of intervention, duration of symptoms, and major outcomes.

### Outcome measures and quality assessment

The primary outcomes evaluated were the response rate and abdominal symptoms score. The

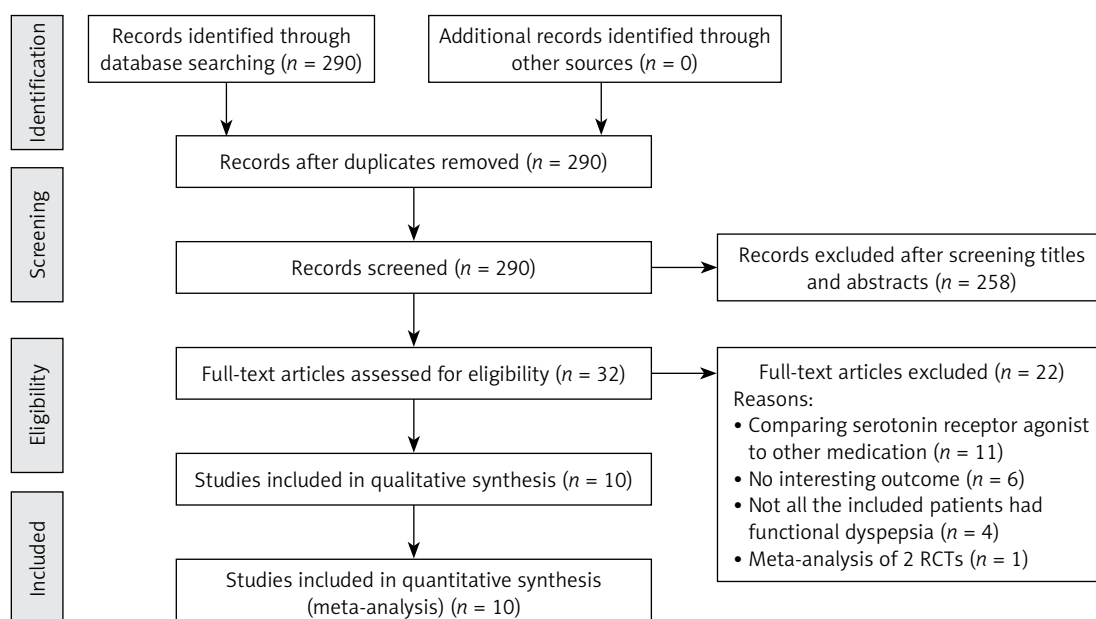


Figure 1. PRISMA flow diagram

Cochrane Collaboration's tool was used to assess risk [31].

### Statistical analysis

Event rates were extracted for dichotomous outcome variables (response rate), and mean and standard deviation were extracted for continuous outcomes (symptoms score). Odds ratios (OR) with 95% confidence intervals (CI) were calculated for dichotomous outcomes between patients in the serotonin receptor agonist group and patients in the placebo group for all the studies combined. OR > 1 indicated that the serotonin receptor agonist group was favored. Since the measurements of the symptoms scores were varied, the standardized mean difference (SMD) and corresponding 95% confidence interval (CI) were calculated for the difference in the mean change of symptoms score between the groups. (SMD = difference in mean of outcome between groups/standard deviation of outcome among groups). If SMD was less than 0, the serotonin receptor agonist group was favored. A  $\chi^2$ -based test of homogeneity was performed and the inconsistency index ( $I^2$ ) and Q statistics were determined. If the  $I^2$  statistic was > 50%, a random-effects model was used. Otherwise, a fixed-effect model was employed. Pooled effects were calculated and a 2-sided  $p$ -value < 0.05 was considered statistically significant. Sensitivity analysis was carried out using the leave-one-out approach. A publication bias analysis was not performed due to the limited number of studies [32]. All analyses were performed using the Comprehensive Meta-Analysis Statistical Software, Version 2.0 (Biostat, Englewood, NJ, USA).

### Results

Of the 31 studies initially evaluated for this meta-analysis, 21 studies were excluded based on the exclusion criteria, and a total of 10 RCTs were included in the final analysis. The meta-analysis therefore included a final total of 892 patients with FD who were assigned to the serotonin receptor agonist group, and 640 participants who were assigned to the placebo group. The protocols of interventions are summarized in Table I. Four of the studies were conducted in Europe, 4 in Asia, 1 in the USA, and 1 in Australia. The most common serotonin receptor agonist used was 5-HT4A (8 studies), while 2 studies used 5-HT1A. Table II shows the characteristics of the 10 RCTs. The average age of the study participants ranged from 32.5 to 50 years, and the percentage of male participants in the various studies ranged from 0% to 53%. The duration of symptoms ranged from < 3 months [18] to 7.3 years [26]. Three studies included the *H. pylori* status of the study participants [18, 20, 25], four studies included the smoking status of study participants [18, 20, 22, 25], and five studies reported the alcohol use of the study participants [20–22, 25, 26].

### Meta-analysis

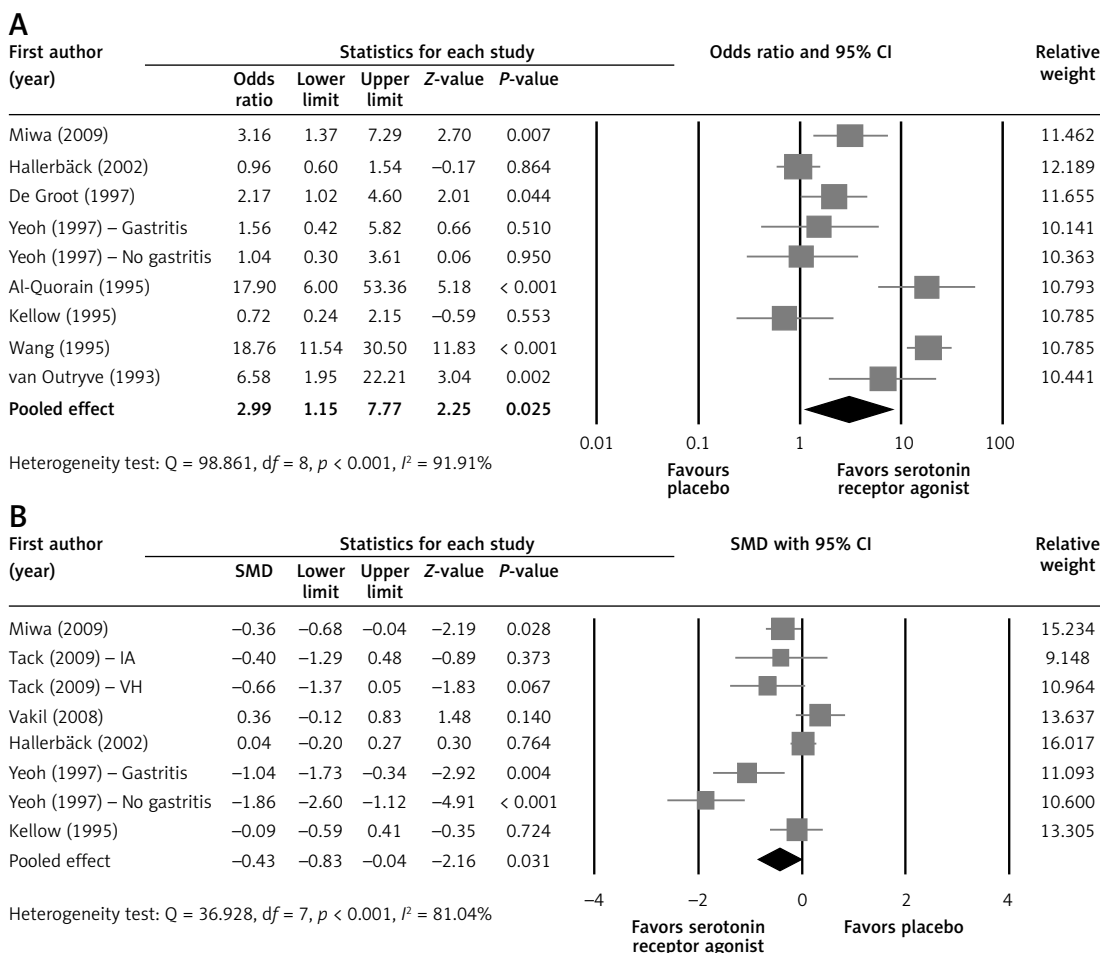
Among the 8 studies which reported response rates, the response rates of study participants in the treatment group and the placebo group were compared using forest plots (Figure 2 A). Two studies [26, 33] which did not provide response rates were excluded from the analysis. Since there was heterogeneity among the studies, all comparisons used a random-effects model ( $Q$  statistic = 98.861,

Table 1. Summary of protocol of intervention for selected studies

First author (year)	Country	Diagnostic criteria of functional dyspepsia	Number of patients	Interventions	Intervention protocol	Type of serotonin receptor agonist
Miwa (2009)	Japan	Rome II	75	Serotonin receptor agonist	Tandospirone citrate 10 mg t.i.d. for 4 weeks	5-HT1A
			75	Placebo	Identical placebo tablet t.i.d. for 4 weeks	
Tack (2009)	Belgium	Rome II	29	Serotonin receptor agonist	R-137696 2 mg t.i.d. for 4 weeks	5-HT1A
			24	Placebo	Placebo for 4 weeks	
Vakil (2008)	USA	Rome II	37	Serotonin receptor agonist	Tegaserod 6 mg b.i.d. for 6 weeks	5-HT4A
			33	Placebo	Placebo for 6 weeks	
Hallerbäck (2002)	Denmark, Germany, France, Sweden and the UK	Rome II	143	Serotonin receptor agonist	Mosapride 10 mg b.i.d. for 6 weeks	5-HT4A
			141	Placebo	Placebo for 6 weeks	
De Groot (1997)	Netherlands	History of dyspeptic complaints lasting at least 3 days a week for 4 weeks or more	56	Serotonin receptor agonist	Cisapride 10 mg three times daily, for 4 weeks	5-HT4A
			57	Placebo	Placebo for 4 weeks	
Yeoh (1997)	Singapore	1) Upper abdominal pain or discomfort of more than 4 weeks duration 2) Gastrointestinal endoscopy within the last 14 days	38	Serotonin receptor agonist	Cisapride 10 mg three times daily, for 4 weeks	5-HT4A
			38	Placebo	Placebo for 4 weeks	
Al-Quorain (1995)	Saudi Arabia	Upper gastrointestinal symptoms indicative of FD	44	Serotonin receptor agonist	Cisapride 5 mg three times daily, for 4 weeks	5-HT4A
			45	Placebo	Placebo for 4 weeks	
Kellow (1995)	Australia	Combination of persistent upper gastrointestinal symptoms of at least 3 months duration	30	Serotonin receptor agonist	Cisapride 10 mg three times daily, for 4 weeks	5-HT4A
			31	Placebo	Placebo for 4 weeks	
Wang (1995)	China	Early satiety or upper gastrointestinal fullness, accompanied by nausea, vomiting, heart-burn, upper abdominal pain, anorexia or difficult defecation for more than 4 weeks	414	Serotonin receptor agonist	Cisapride 5 mg three times daily, for 4 weeks	5-HT4A
			169	Placebo	Placebo for 4 weeks	
van Outryve (1993)	Belgium	Chronic or recurrent epigastric pain and/or burning, of moderate to severe intensity and occurring three or more times per week	26	Serotonin receptor agonist	10 mg cisapride three times daily for 2 weeks	5-HT4A
			27	Placebo	Placebo for 2 weeks	

Table II. Summary of basic characteristics of selected studies for meta-analysis

First author (year)	Number of patients	Interventions	Age [years]	Male (%)	Symptom duration	<i>Helicobacter pylori</i> status (+)	Smoking	Alcohol use
Miwa (2009)	75	Serotonin receptor agonist	46.2	24.0	12 months*	20%	10.7%	34.7%
	75	Placebo	46.5	29.7	12 months*	21.60%	10.7%	32.0%
Tack (2009)	29	Serotonin receptor agonist	40.5	41.4	7.3 years	NA	NA	44.8%
	24	Placebo	39.2	25.0	4.1 years	NA	NA	54.2%
Vakil (2008)	37	Serotonin receptor agonist	47.9	0.0	NA	NA	NA	NA
	33	Placebo	48.7	0.0	NA	NA	NA	NA
Hallerbäck (2002)	143	Serotonin receptor agonist	25–50: 47%	34.0	< 3 months: 1% 3 months to 1 year: 28% > 1 year: 71%	29%	NA	NA
	141	Placebo	25–50: 52%	31.0	< 3 months: 0 3 months to 1 year: 27% > 1 year: 73%	30%	NA	NA
De Groot (1997)	56	Serotonin receptor agonist	40.9	39.3	88.8 weeks	17.90%	41.1%	53.6%
	57	Placebo	43.9	52.6	61.0 weeks	36.40%	33.3%	49.1%
Yeoh (1997)	38	Serotonin receptor agonist	38.5	44.7	NA	NA	5.3%	0.0%
	38	Placebo	40.8	44.7	NA	NA	7.9%	7.9%
Al-Quorain (1995)	44	Serotonin receptor agonist	32.5	50.0	> 6 weeks < 1 year: 4% 1 year: 16% Several years: 80%	NA	NA	NA
	45	Placebo	33.7	51.1%	> 6 weeks < 1 year: 6% 1 year: 16% Several years: 78%	NA	NA	NA
Kellow (1995)	30	Serotonin receptor agonist	50	33.3%	NA	NA	7.0%	47.0%
	31	Placebo	46	34.8%	NA	NA	13.0%	43.0%
Wang (1995)	414	Serotonin receptor agonist	43.4	47.8%	< 0.5 year: 54.1% 0.5–1.0 year: 14.7% 1.1–2.0 years: 12.8% 2.1–5.0 years: 9.9% > 5.0 years: 8.5%	NA	NA	NA
	169	Placebo	41.2	41.4%	< 0.5 year: 62.7% 0.5–1.0 year: 17.8% 1.1–2.0 years: 11.8% 2.1–5.0 years: 4.1% > 5.0 years: 3.6%	NA	NA	NA
van Outryve (1993)	26	Serotonin receptor agonist	43	42.3%	12.5 months	NA	NA	NA
	27	Placebo	43	37.0%	7.3 months	NA	NA	NA



**Figure 2.** Forest plots for efficacy of serotonin receptor agonists on (A) response rate, (B) abdominal symptoms score

$I^2 = 91.91\%$ ). The final analysis showed a significantly higher response rate in patients treated with the serotonin receptor agonists compared to patients in the placebo group (pooled OR = 2.99; 95% CI: 1.15–7.77;  $p = 0.025$ ).

The difference in mean change of abdominal symptoms score between the treatment and placebo groups was analyzed using a random effects model ( $Q$  statistic = 36.928,  $I^2 = 81.04\%$ ) (Figure 2 B). The improvement in symptom scores was significantly larger in the group treated with the serotonin receptor agonists compared to the placebo group (pooled SMD = -0.43; 95% CI: -0.83 to -0.04;  $p = 0.031$ ).

Sensitivity analyses were performed using the leave-one-out approach, where the meta-analysis was performed after removing one study at a time (Table III). After each study was removed individually, the pooled estimates on response rate remained in the same directions, although 2 data points [23, 24] became non-significant ( $p$ -values were at the borderline), which indicated an acceptable robustness of the pooled estimates. However, our results indicated that the pooled estimates for symptoms

score could be affected by the Yeoh *et al.* “no gastritis” study [21]. After removal of the Yeoh *et al.* study from the analysis, there was no statistically significant difference in the mean change of symptoms score between the two groups (pooled SMD = -0.23, 95% CI: -0.53 to 0.07,  $p = 0.131$ ).

### Quality assessment

The Cochrane Collaboration’s tool for assessing risk was used for quality assessment. The assessed outcomes for the included studies are described in Figure 3. There was a low risk of bias in random sequence generation, incomplete outcome data and selective reporting in all the included studies. Most of the included studies also had a low risk of bias in blinding of participants, personnel and outcome assessment. However, seven studies had an unclear risk of bias in allocation concealment, and five studies had an unclear risk of bias in the intention-to-treat analysis. Overall the included studies had a low risk of performance bias, detection bias, attrition bias, and reporting bias, while the risk of bias in selection and intention-to-treat analysis was unclear.



Table III. Sensitivity analysis

First author (year)	Statistics with study removed				
	Points	Lower limit	Upper limit	Z-value	P-value
Response rate:					
Miwa (2009)	2.96	1.00	8.76	1.96	0.049
Hallerbäck (2002)	3.52	1.36	9.08	2.60	0.009
De Groot (1997)	3.11	1.04	9.27	2.04	0.041
Yeoh (1997) – Gastritis	3.22	1.15	9.02	2.22	0.026
Yeoh (1997) – No gastritis	3.38	1.21	9.40	2.33	0.020
Al-Quorain (1995)	2.41	0.88	6.57	1.72	0.086
Kellow (1995)	3.55	1.29	9.76	2.46	0.014
Wang (1995)	2.29	1.12	4.66	2.28	0.023
van Outryve (1993)	2.72	0.96	7.69	1.89	0.059
Symptoms score:					
Miwa (2009)	-0.47	-0.96	0.03	-1.86	0.063
Tack (2009) – IA	-0.44	-0.87	-0.01	-2.03	0.043
Tack (2009) – VH	-0.41	-0.84	0.02	-1.88	0.061
Vakil (2008)	-0.56	-0.98	-0.14	-2.59	0.010
Hallerbäck (2002)	-0.53	-1.01	-0.06	-2.19	0.029
Yeoh (1997) – Gastritis	-0.35	-0.76	0.05	-1.72	0.086
Yeoh (1997) – No gastritis	-0.23	-0.53	0.07	-1.51	0.131
Kellow (1995)	-0.50	-0.95	-0.04	-2.14	0.032

## Discussion

This meta-analysis included 10 RCTs which evaluated the efficacy of serotonin receptor agonists in patients with FD. Our analysis showed that patients treated with serotonin receptor agonists 5-HT4A or 5-HT1A had a significantly higher response rate compared to placebo-treated patients. The treatment groups also had a significantly larger improvement in symptom scores compared to the placebo groups.

The most common adverse event reported in the included studies was diarrhea.

Serotonin (5-hydroxytryptamine) is an important neurotransmitter in the brain as well as in the gastrointestinal tract, where it plays a key role in the regulation of sensory and motor functions [17]. A number of serotonin receptor subtypes have been investigated as therapeutic targets for modulation of gastrointestinal motility, secretion, and sensation [34]. Serotonergic agents which have been used as therapeutic agents include 5-HT1A agonists, 5-HT1B/D agonists, 5-HT2A antagonists, 5-HT3 antagonists, and 5-HT4 agonists [25, 35]. Tansospirone citrate is a 5-HT1A agonist which has been used for treatment of anxiety and

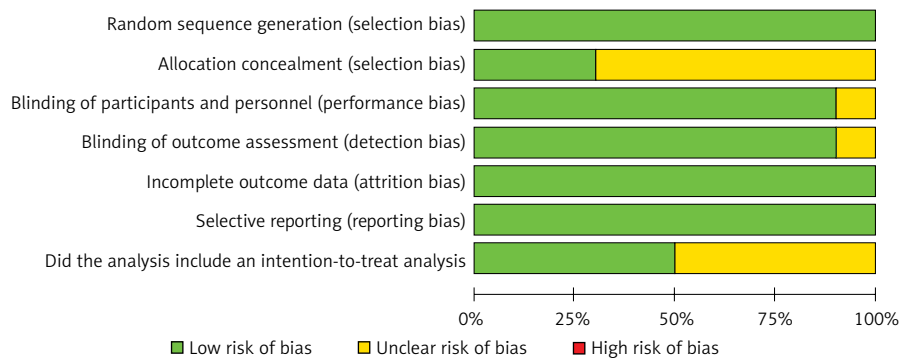
psychosomatic disorders, and significantly improved abdominal symptom scores in FD patients [25]. R-137696 is also a 5-HT1A agonist which has been shown to relax the proximal stomach in a dose-dependent manner [26]. Mosapride and tegaserod are also 5-HT4 agonists which have been shown to improve symptoms, and enhance gastric accommodation in FD patients [36, 37], although other data from a recent meta-analysis showed that mosapride had no significant therapeutic effect on FD [38]. Additionally, gastroprokinetics (cisapride and domperidone) as well as histamine H2 receptor antagonists were reported to have a significantly higher efficacy in relieving FD symptoms compared to placebo, and gastroprokinetics had a higher efficacy compared to histamine H2 receptor antagonists [28, 39].

Our present study analyzed the efficacy of serotonin receptor agonists in the treatment of FD. We included six studies which evaluated the efficacy of cisapride vs. placebo [20–24, 40], one study which evaluated the efficacy of mosapride vs. placebo [18], one study which evaluated the efficacy of tegaserod vs. placebo [33], one study which evaluated the efficacy of R-137696 vs. placebo

**A**

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Did the analysis include an intention-to-treat analysis
Miwa 2009	+	+	+	+	+	+	+
Tack 2009	+	+	+	+	+	+	+
Vakil 2008	+	?	+	+	+	+	+
Hallerbäck 2002	+	+	+	+	+	+	+
De Groot 1997	+	?	+	+	+	+	?
Yeoh 1997	+	?	+	+	+	+	?
Al-Quorain 1995	+	?	+	+	+	+	?
Kellow 1995	+	?	+	+	+	+	?
Wang 1995	+	?	?	?	+	+	?
van Outryve 1993	+	?	+	+	+	+	+

**B**



**Figure 3.** Quality assessment individual studies (A), overall quality (B)

[26], and one study which evaluated the efficacy of tansospirone citrate vs. placebo [25]. Response rates were reported in 8 of these studies. Our random effects model analysis showed that patients treated with a 5-HT1 or 5-HT4 agonist had significantly higher response rates, and a significantly larger improvement in symptom scores compared to placebo-treated patients. Our data were consistent with a number of studies investigating the efficacy of cisapride, a 5-HT4 agonist and 5-HT3 antagonist, which reported a significant improvement of dys-

peptic symptoms and acceleration of gastric emptying in cisapride-treated FD patients compared to placebo-treated patients [41–43]. A previous study showed that 5 mg of cisapride was equally effective as the 10 mg dose when administered three times daily, although the higher dose was more effective at relieving reflux symptoms [24]. However, cisapride has been withdrawn in many countries because its use was associated with QT prolongation, which could be fatal [44]. In our present meta-analysis, four studies used 10 mg of cisapride ad-



ministered three times daily, and two studies used 5 mg of cisapride administered three times daily. Our sensitivity analysis showed that, after removing one study at a time, the pooled estimates of response rate remained in the same direction.

Our present analysis only included studies which compared the efficacy of different agonists with that of a placebo. Head-to-head comparison studies are necessary to determine the best 5-HT agonist (e.g. cisapride versus mosapride) for the treatment of FD, in the context of efficacy, cost, and availability. The treatment period in our included studies ranged from 2 weeks to 6 weeks. However, based on findings that drugs like tansospirone citrate work gradually, and that FD is a chronic condition that persists over several years, it will be important to evaluate long-term studies of efficacy of these therapeutic agents. Although 4 of the included studies reported the smoking status, 5 studies reported alcohol use, and 3 studies reported the *H. pylori* status of the study participants, the correlations of these variables with FD remain unclear. It is important to determine the role of *H. pylori* status and presence of gastritis in the response to 5-HT agonists.

In conclusion, our meta-analysis of 10 studies which investigated the efficacy of 5-HT<sub>1</sub> and 5-HT<sub>4</sub> agonists in FD patients showed significantly higher response rates and improvement of symptoms in patients treated with the serotonin receptor agonists compared to the placebo group. It is important to evaluate a larger number of serotonin receptor subtypes over longer treatment periods, and increase the sample size, along with a careful review of inclusion/exclusion criteria to control for the high reported rates of response to placebo, and for the heterogeneity in patient selection criteria among different studies. It is also important to evaluate the role of factors such as *H. pylori* status in response rates to 5-HT<sub>1</sub> and 5-HT<sub>4</sub> in FD patients.

### Conflict of interest

The authors declare no conflict of interest.

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